



Ellipsometry and XPS for Thin Film Aluminum Analysis

18th Annual Mirror Technology SBIR/STTR Workshop

Brian Johnson, Tahereh Gholian-Avval, Dr. David Allred, Dr. Steve Turley, Dr. Matthew Linford

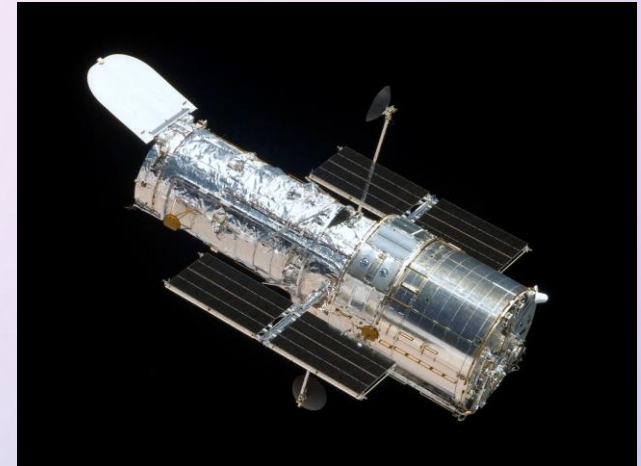
Brigham Young University

Provo, Utah

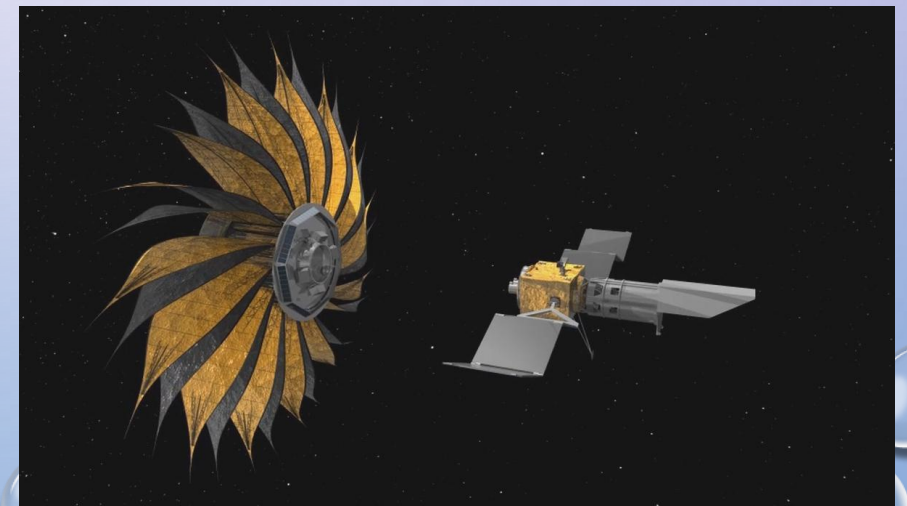


Aluminum as a Reflector

- **NASA (Goddard Space Flight Center)**
 - **Currently developing LUVIOR (Large, UV-optical-IR)**
- **LUVOIR**
 - **NASA observatory of the 2020's or 30's**
 - **Largest mirrors ever put in space**
 - **Being designed to operate in**
 - **Ultraviolet regions**
 - **Visible Regions**
 - **Infrared Regions**
 - **Reflective coating will be Aluminum**



By Ruffnax (Crew of STS-125) - http://catalog.archives.gov/OpaAPI/media/23486741/content/stillpix/255-sts/STS125/STS125_ESC_JPG/255-STs-s125e011848.jpg, Public Domain, <https://commons.wikimedia.org/w/index.php?curid=6826183>



By NASA/JPL - <http://photojournal.jpl.nasa.gov/catalog/PIA20911>, Public Domain, <https://commons.wikimedia.org/w/index.php?curid=51001293>



Aluminum as a Reflector

- **Pros of Aluminum**

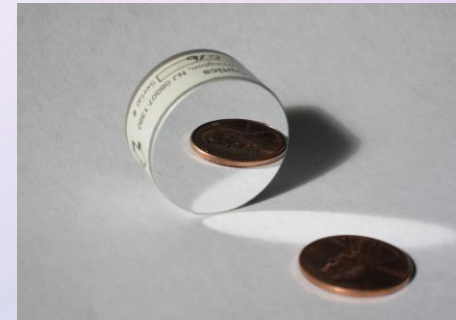
- **Inexpensive**
- **Easy to work with**
 - **Depositions are trivial**
 - **Deposition is reproducible**
- **Superior reflective material**
 - **Widest bandwidth of reflectivity of all materials**

- **Cons**

- **Aluminum begins oxidizing instantly**
- **Oxidation causes optical losses and attenuation-UV**



By FK1954 - Own work, Public Domain,
<https://commons.wikimedia.org/w/index.php?curid=7727093>



By Zaereth - Own work, CC0,
<https://commons.wikimedia.org/w/index.php?curid=19963506>



By Lewis Ronald - Own work, CC BY-SA 3.0,
<https://commons.wikimedia.org/w/index.php?curid=20026696>



Protection of Aluminum

- **Barrier layers**
 - **Fluoride thin films**
 - **Transparent**
 - **MgF₂ is generally used**
 - **Wide bandgap**
 - **Minimal optical interference**
 - **25 nm is used**
 - **Other fluorides**
 - **LiF**
 - **AlF₃**
- **Multiple thin film barriers with different fluorides**
 - **Superior protection barrier**
 - **The right combination of fluorides**
 - **Can create “dielectric mirrors”**
 - **Able to reflect between 91 and 105 nm independent from aluminum**



<http://www.samaterials.com/fluorine/1227-magnesium-fluoride-mgf2-powder.html>



<http://www.samaterials.com/lithium/1209-lithium-fluoride-lif.html>

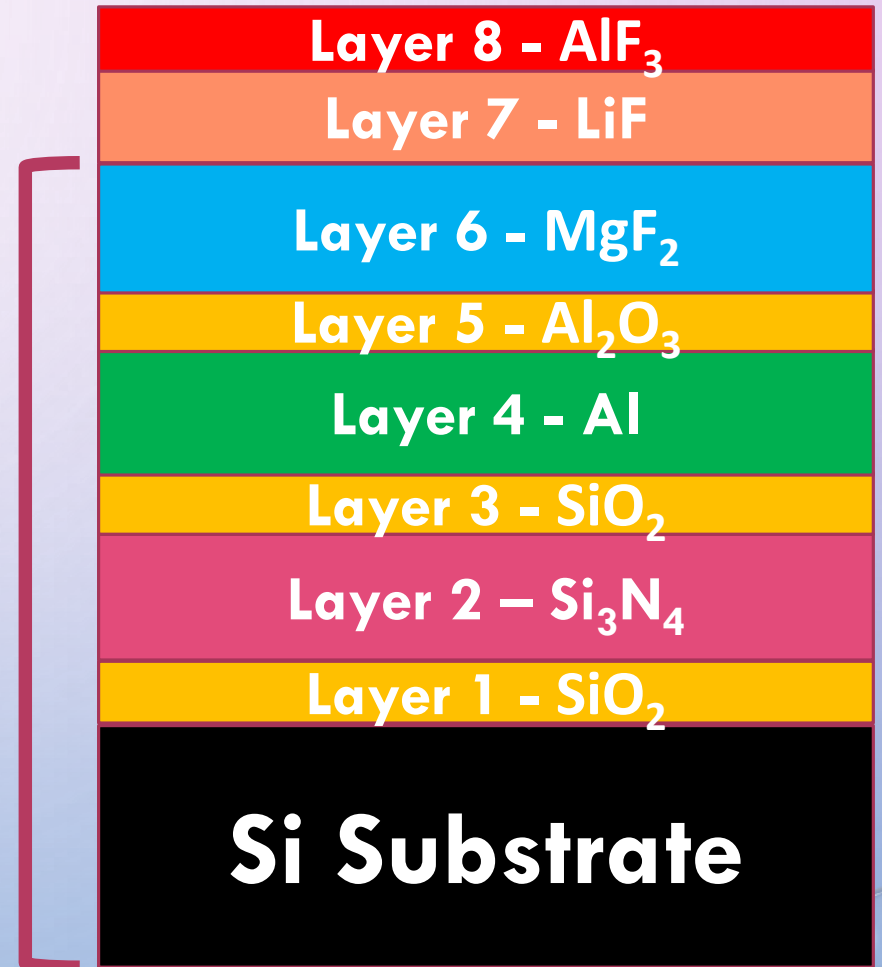


<http://www.samaterials.com/fluorine/1375-sodium-aluminum-fluoride-na3alf6-cryolite.html>



Dr. Matthew Linford/Dr. David Allred Labs

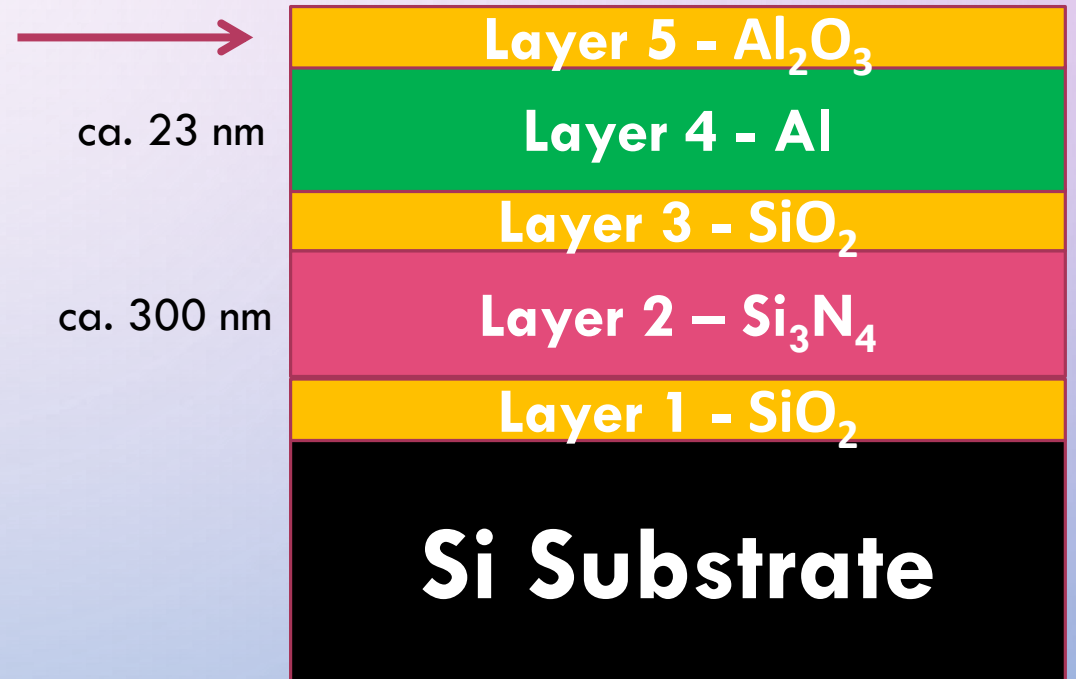
- **End Goal**
 - Dielectric mirror
 - Deposit and analyze
- **Multiple thin film barriers with different fluorides**
 - Superior Al protection barrier
 - The right combination of fluorides
 - Can create “dielectric mirrors”
 - Able to reflect between 91 and 105 nm independent from aluminum
- **Develop/Refine analytical techniques**





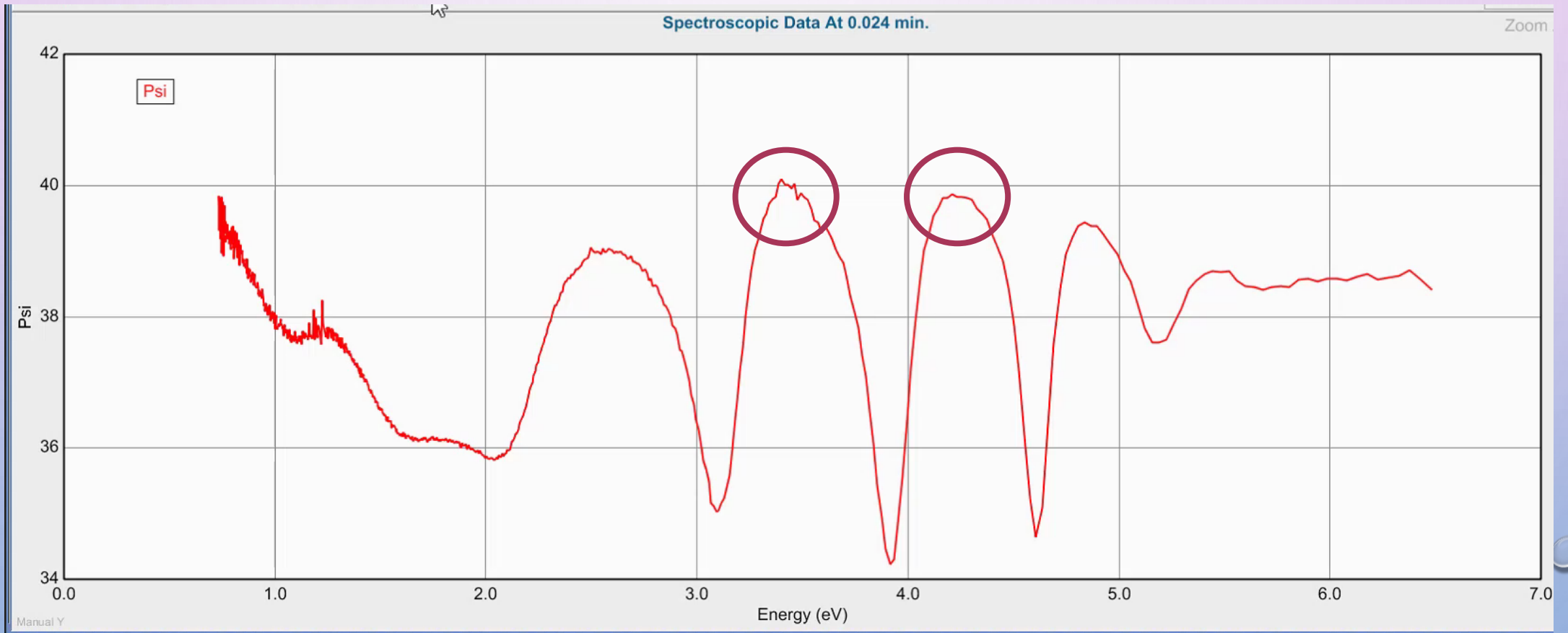
First Step-Oxidation of Bare Al

- Kurt J Lesker PVD 75
- JA Woolam SE
 - 5 feet apart
 - Dynamic mode



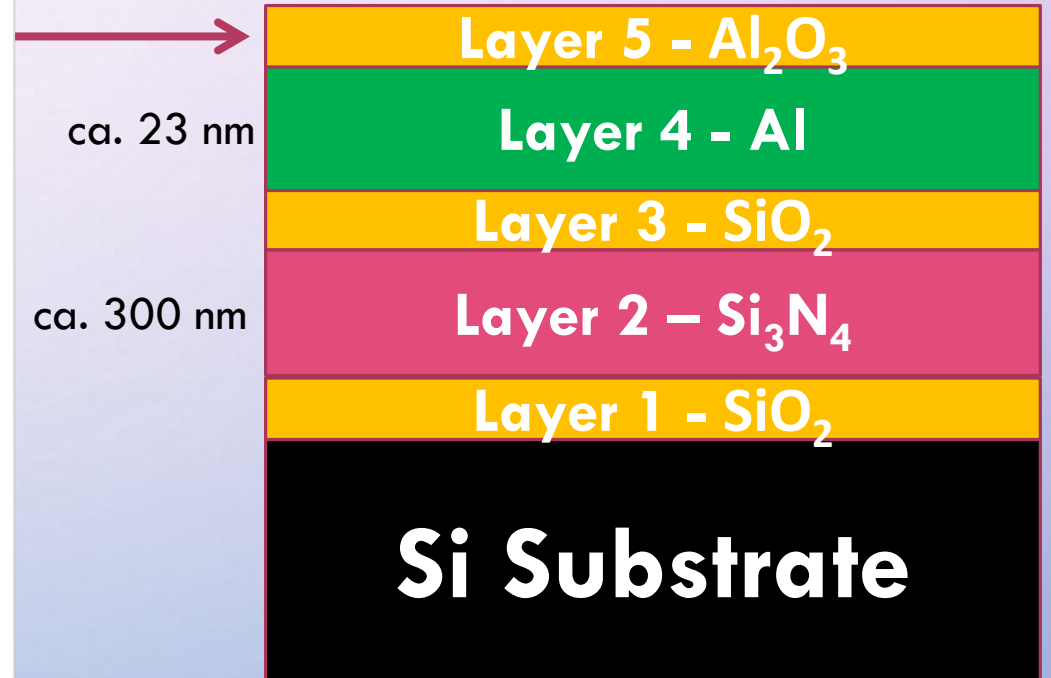
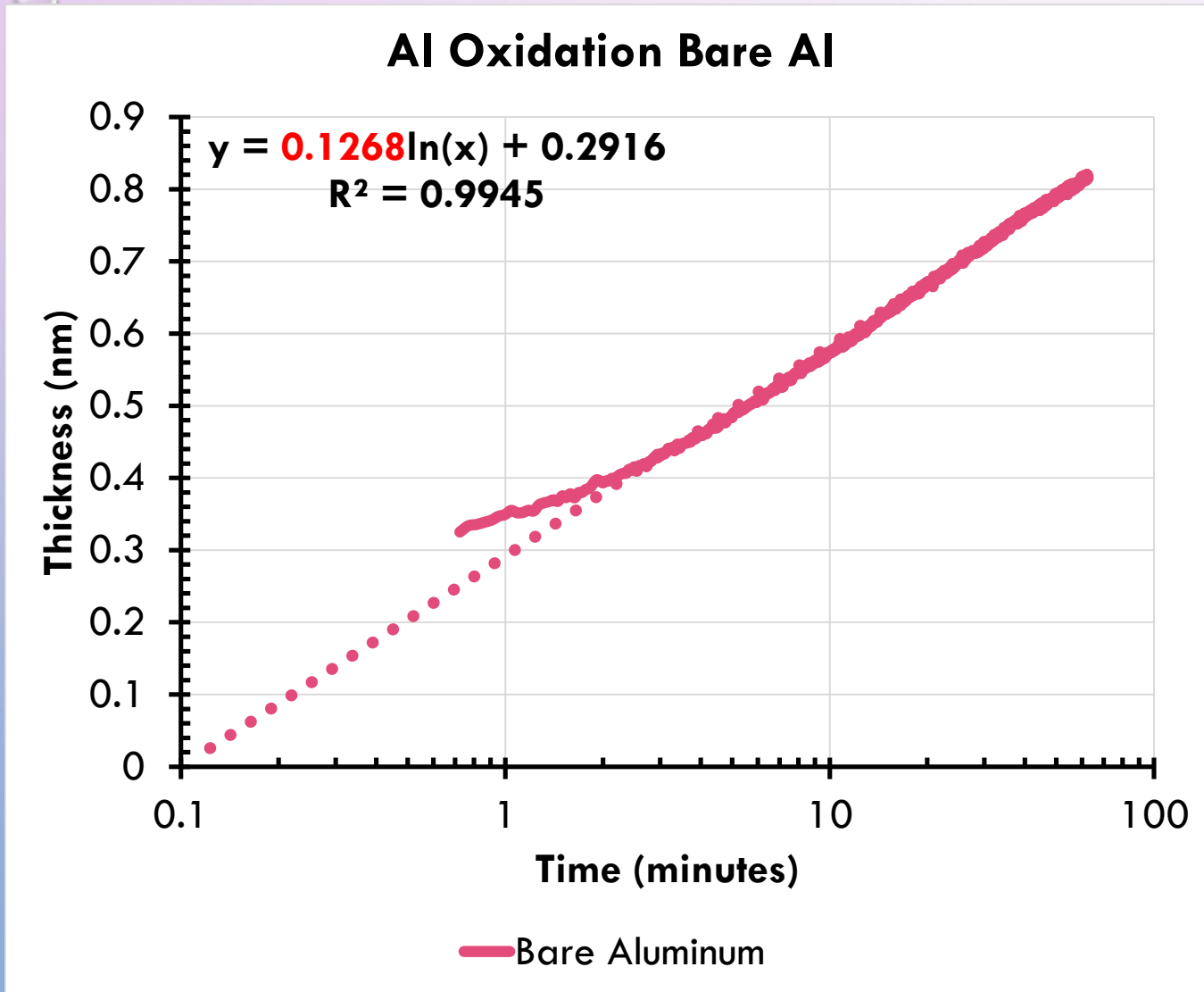


First Step-Oxidation of Bare Al





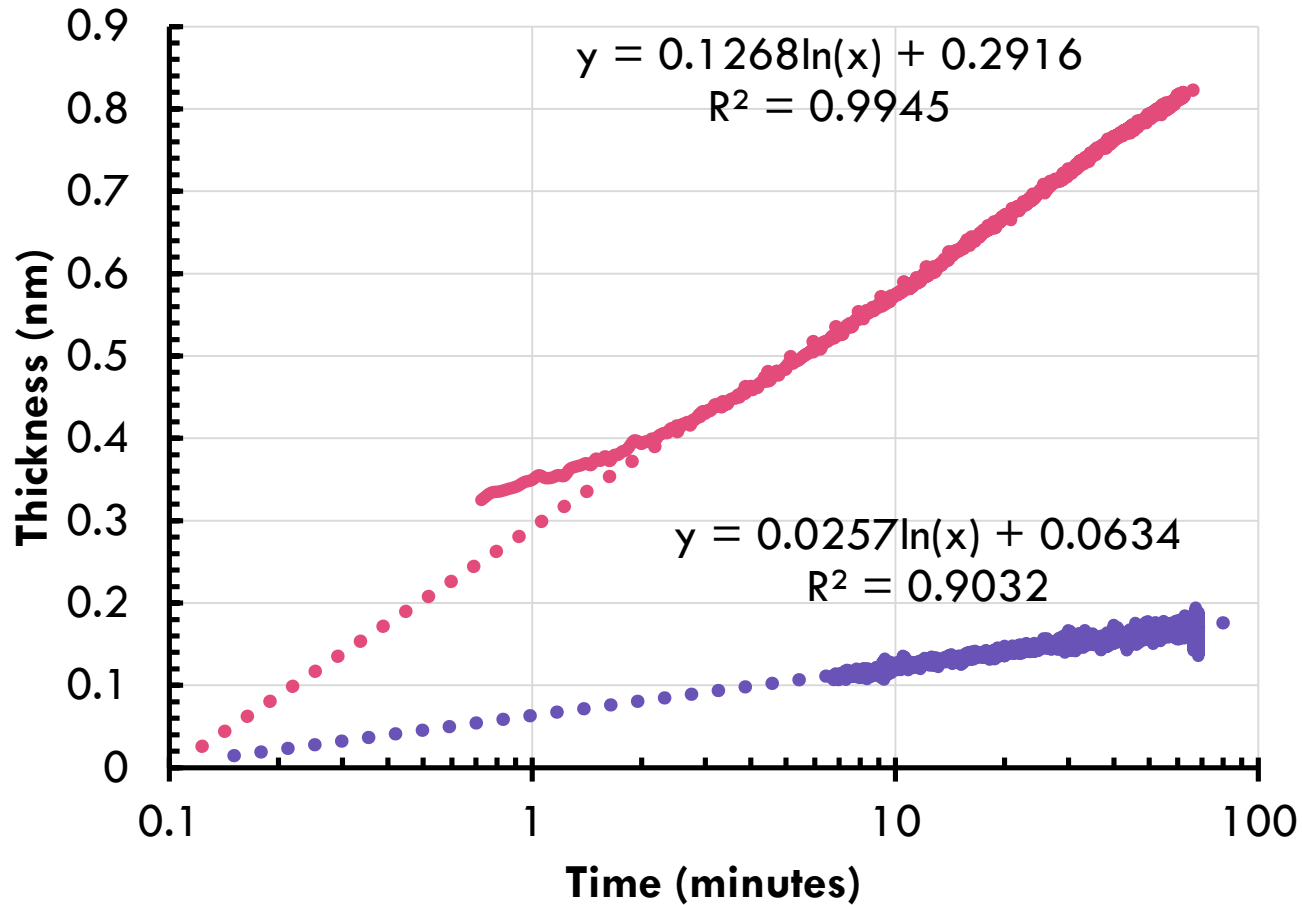
First Step-Oxidation of Bare Al





Oxidation of Protected Al

Al Oxidation on Bare Al/Protected Al



— Bare Aluminum — 8.07 nm MgF2



ca. 15 nm

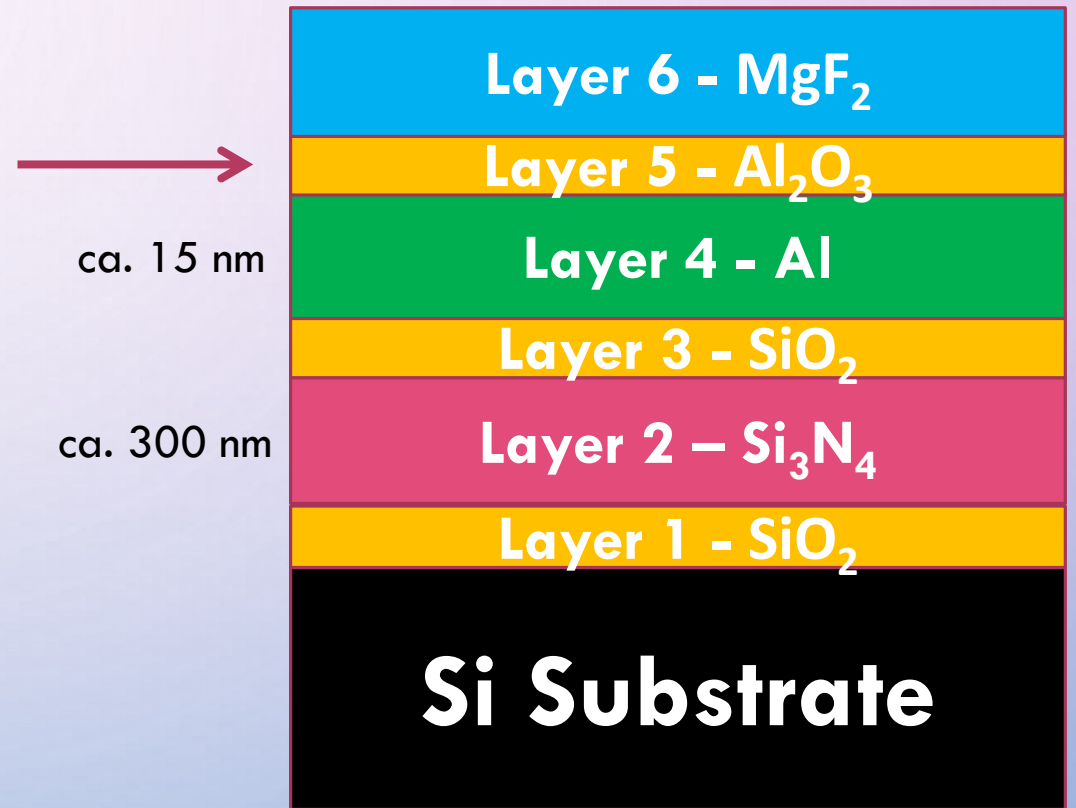
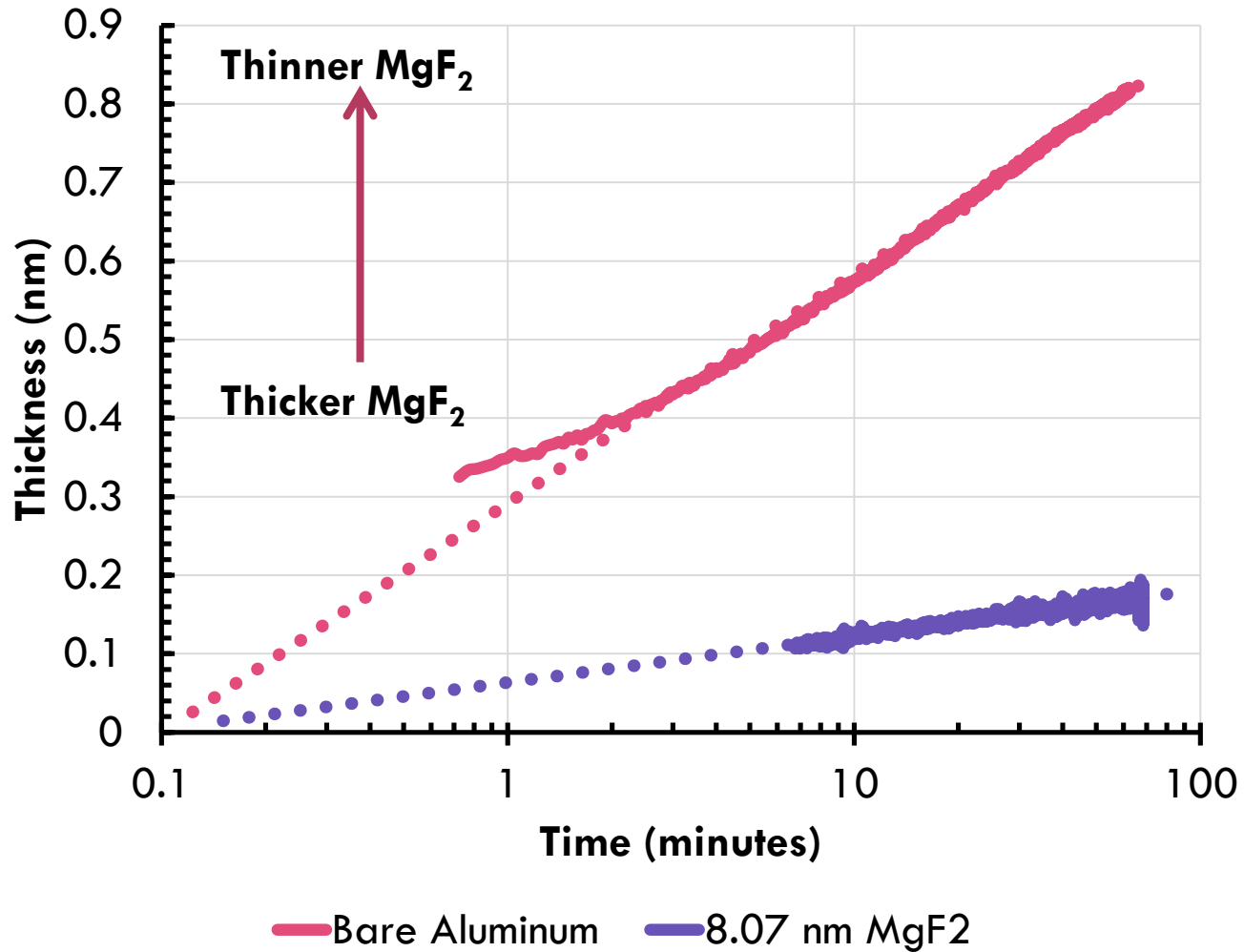
ca. 300 nm





Oxidation of Protected Al

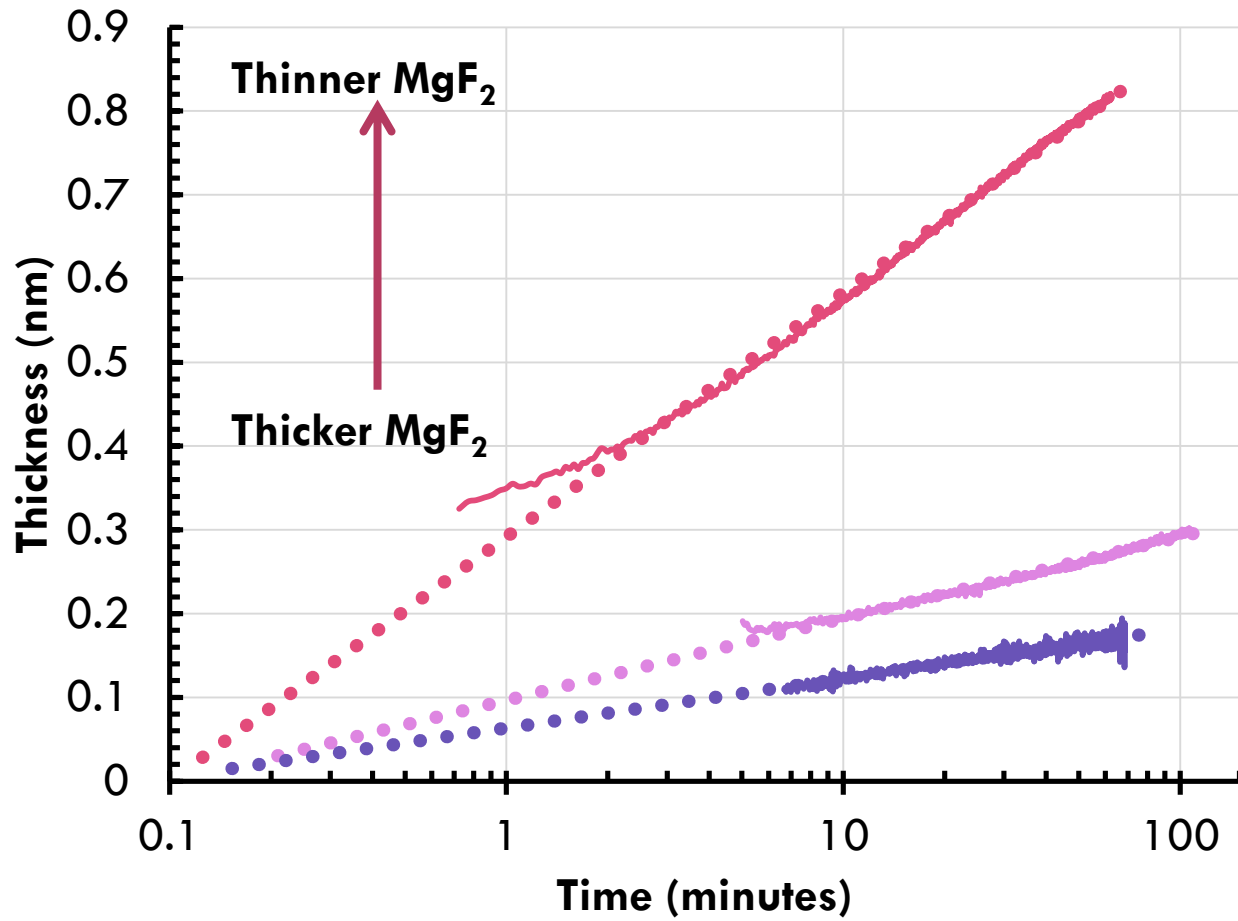
Al Oxidation on Bare Al/Protected Al



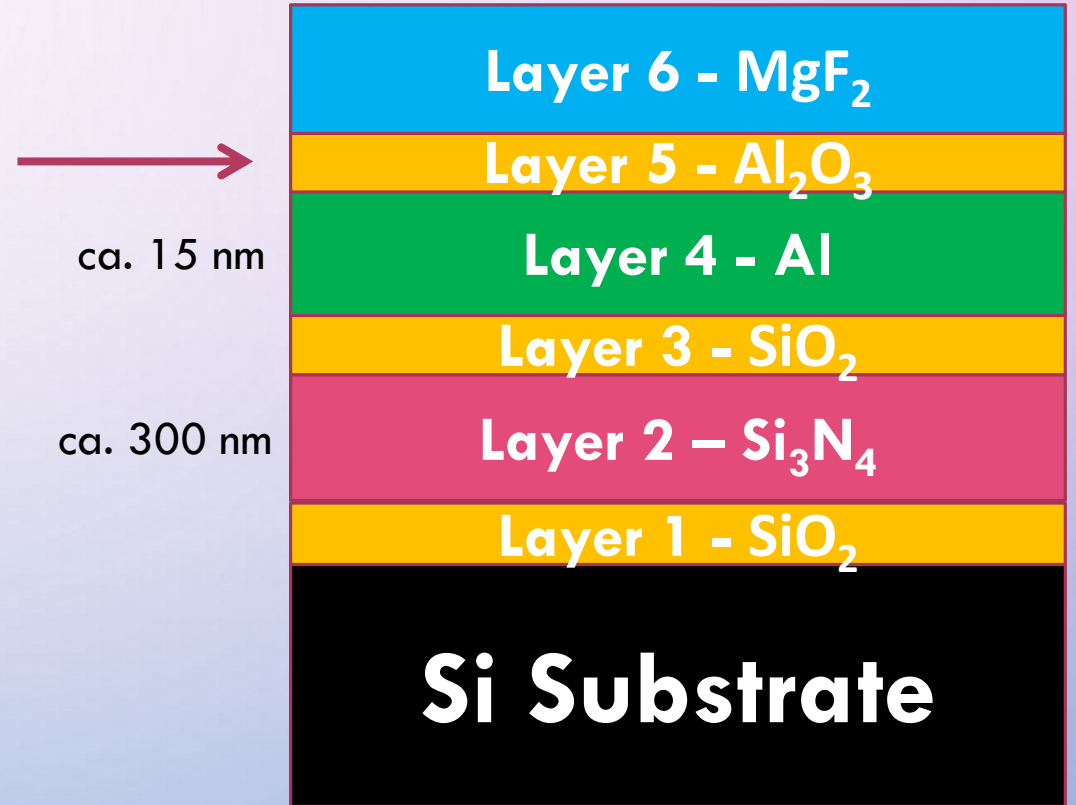


Oxidation of Protected Al

Al Oxidation on Bare Al/Protected Al



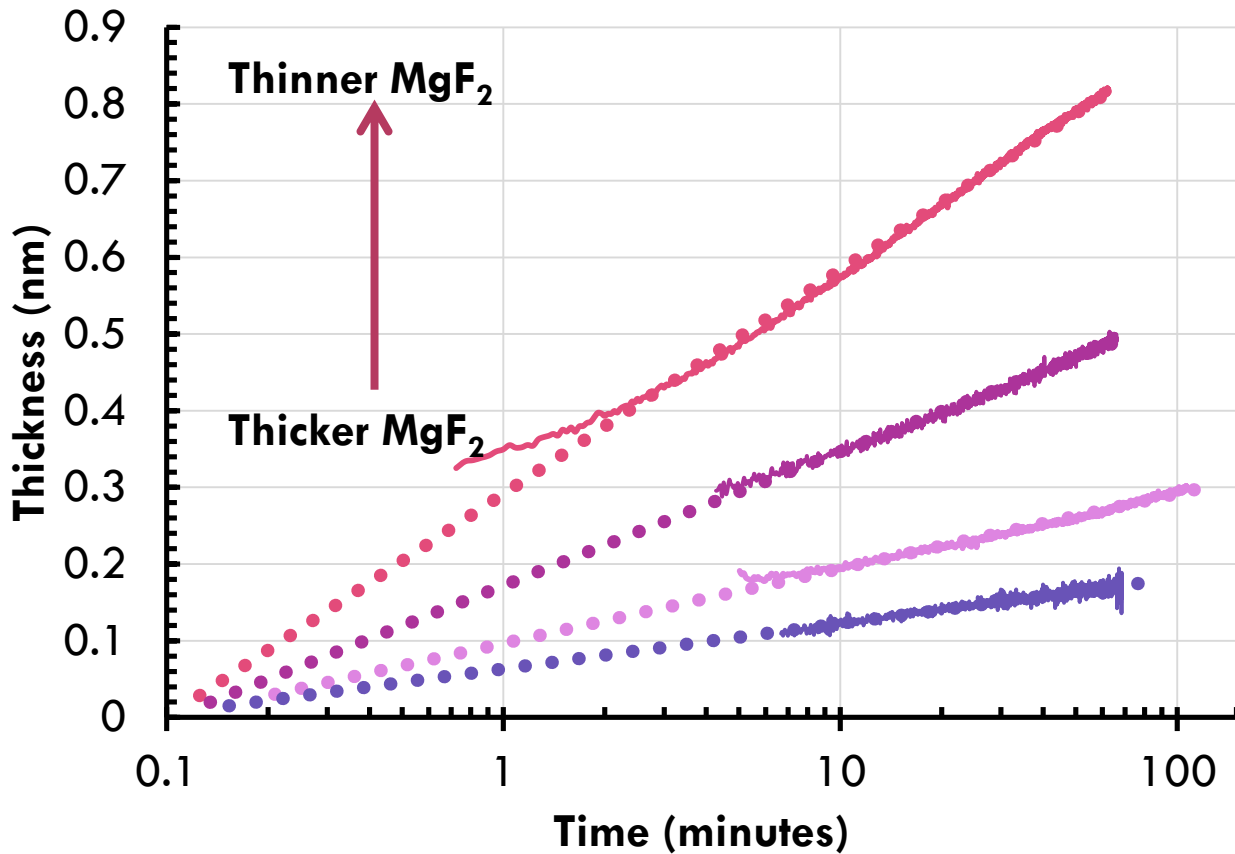
— Bare Aluminum — 6.93 nm of MgF2 — 8.07 nm MgF2



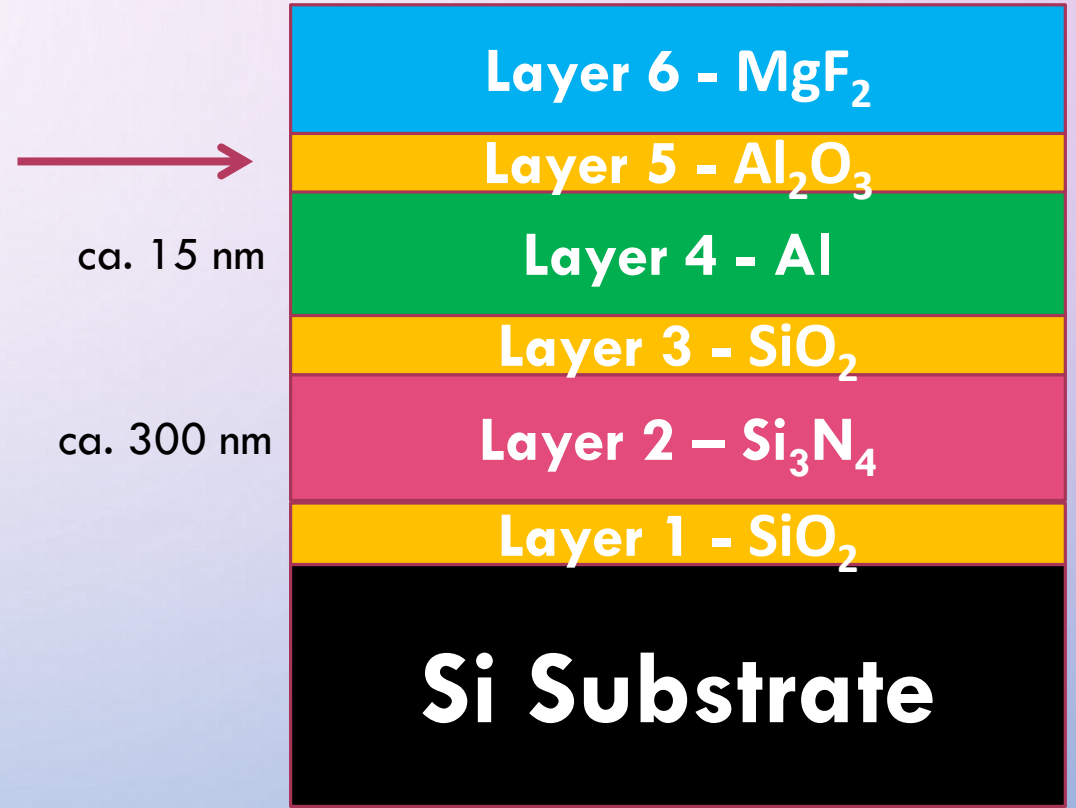


Oxidation of Protected Al

Al Oxidation on Bare Al/Protected Al



- Bare Aluminum
- 4.74 nm of MgF₂
- 6.93 nm of MgF₂
- 8.07 nm MgF₂

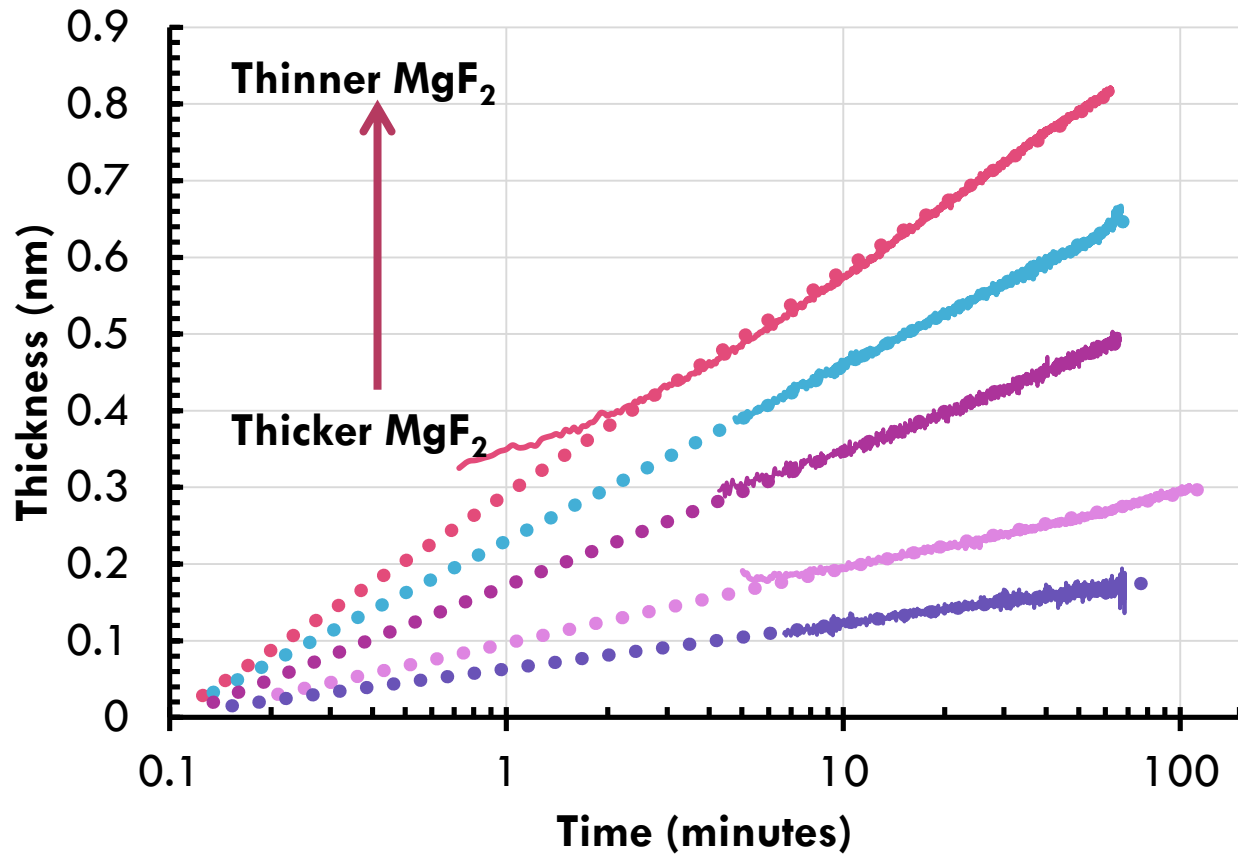


Si Substrate

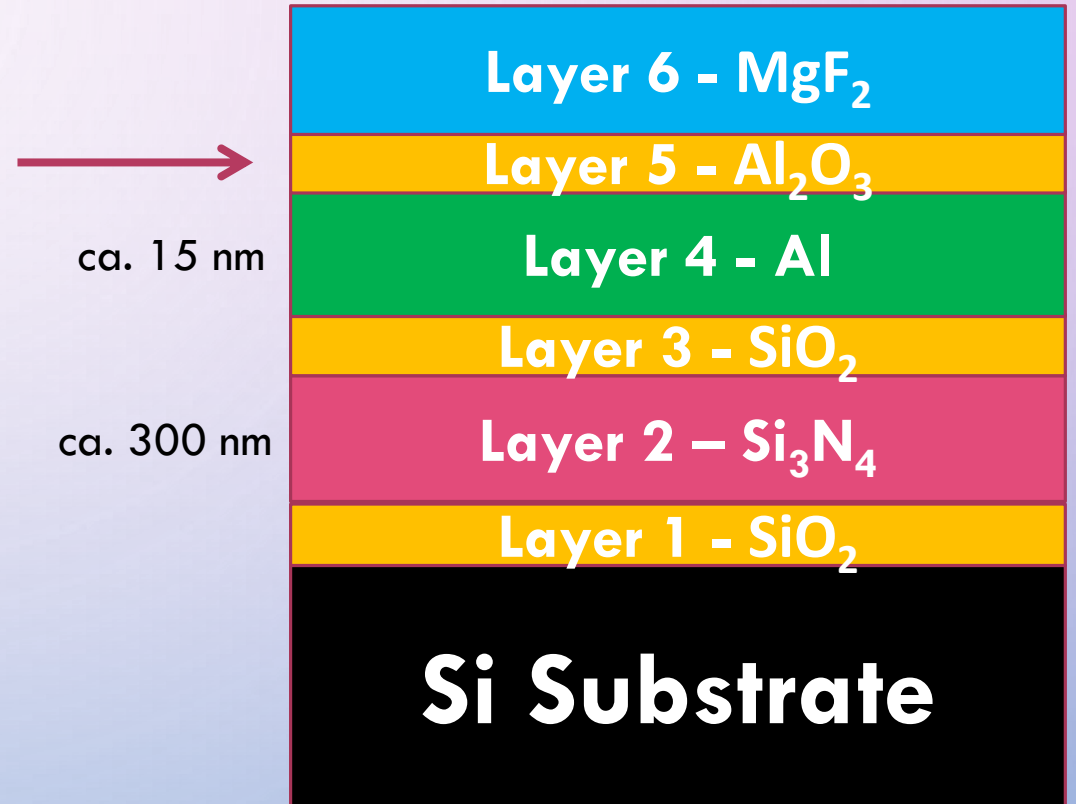


Oxidation of Protected Al

Al Oxidation on Bare Al/Protected Al



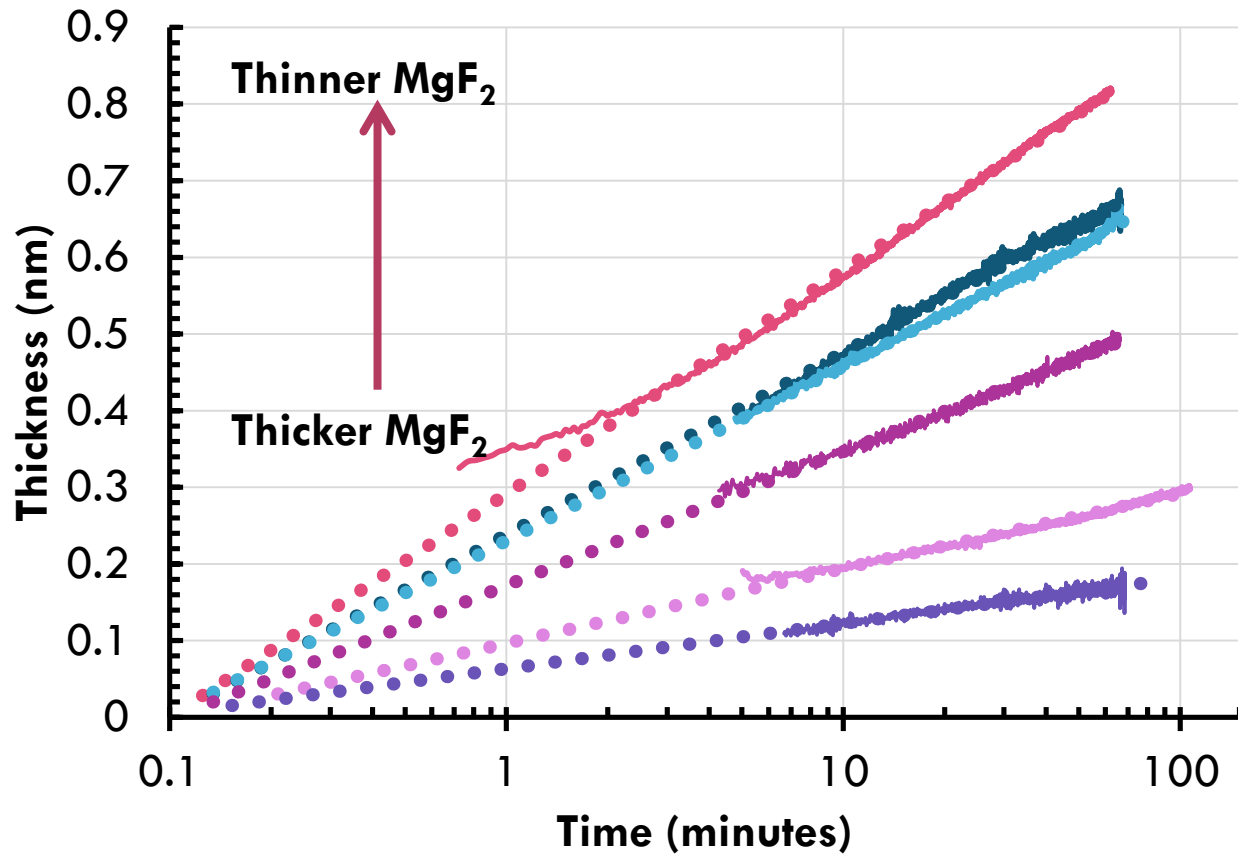
— Bare Aluminum — 1.33 nm of MgF₂ — 4.74 nm of MgF₂
— 6.93 nm of MgF₂ — 8.07 nm MgF₂





Oxidation of Protected Al

Al Oxidation on Bare Al/Protected Al



- Bare Aluminum
- 1.29 nm MgF_2
- 1.33 nm of MgF_2
- 4.74 nm of MgF_2
- 6.93 nm of MgF_2
- 8.07 nm MgF_2



ca. 15 nm

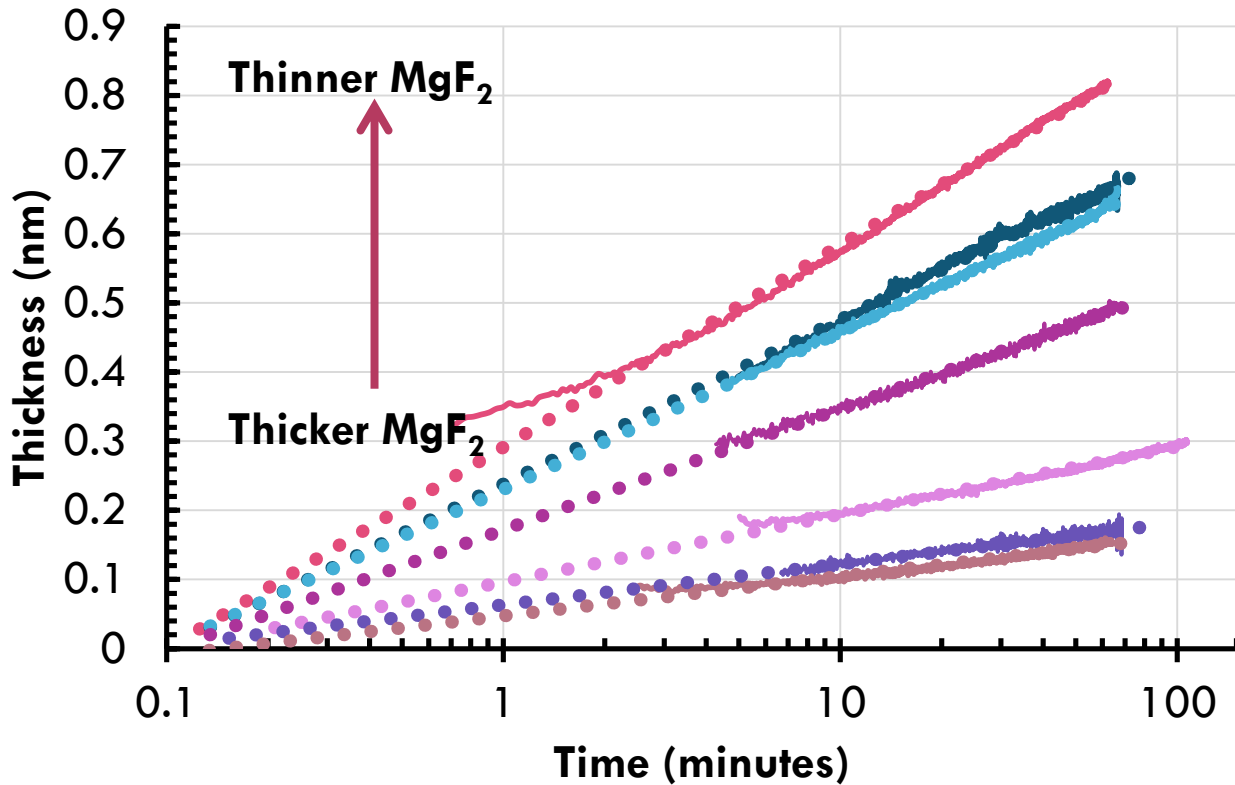
ca. 300 nm



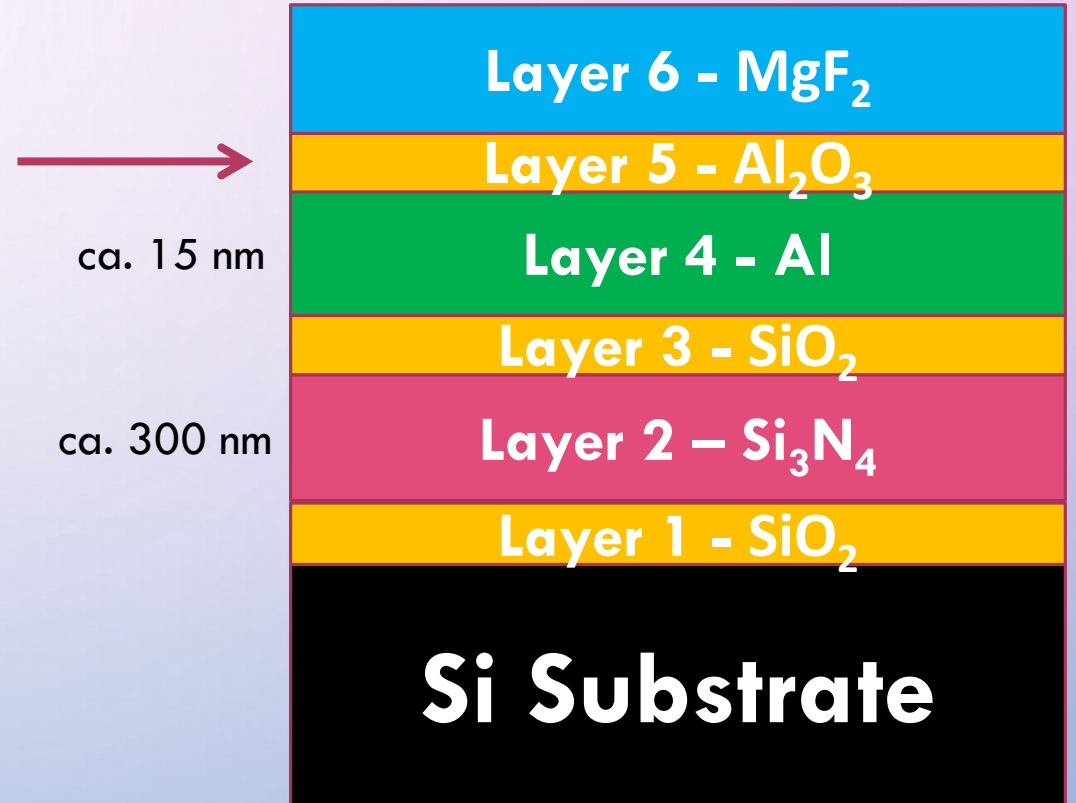


Oxidation of Protected Al

Al Oxidation on Bare Al/Protected Al



- Bare Aluminum
- 1.29 nm MgF_2
- 1.33 nm of MgF_2
- 4.74 nm of MgF_2
- 6.93 nm of MgF_2
- 8.07 nm MgF_2
- 10.94 nm MgF_2

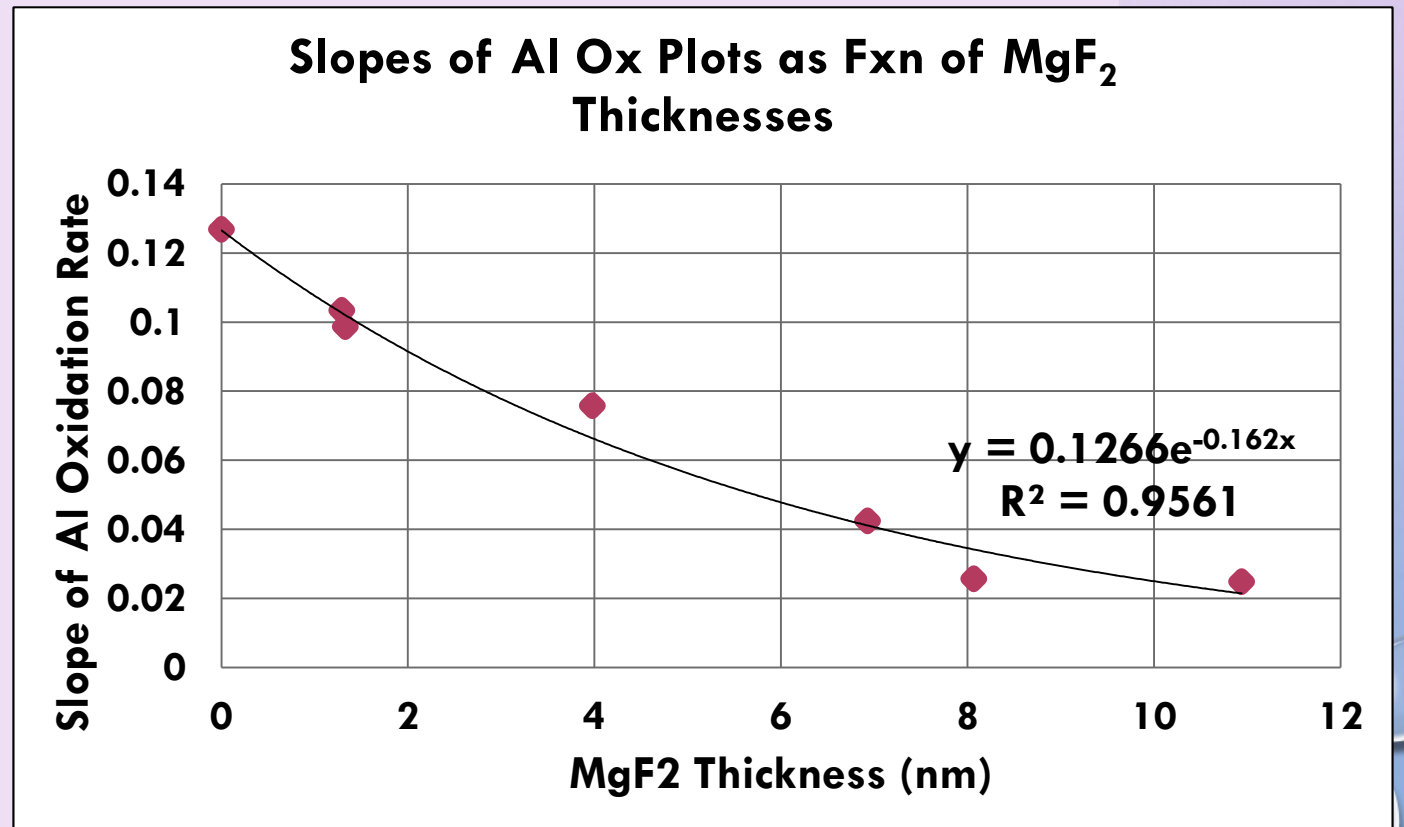




Oxidation of Al

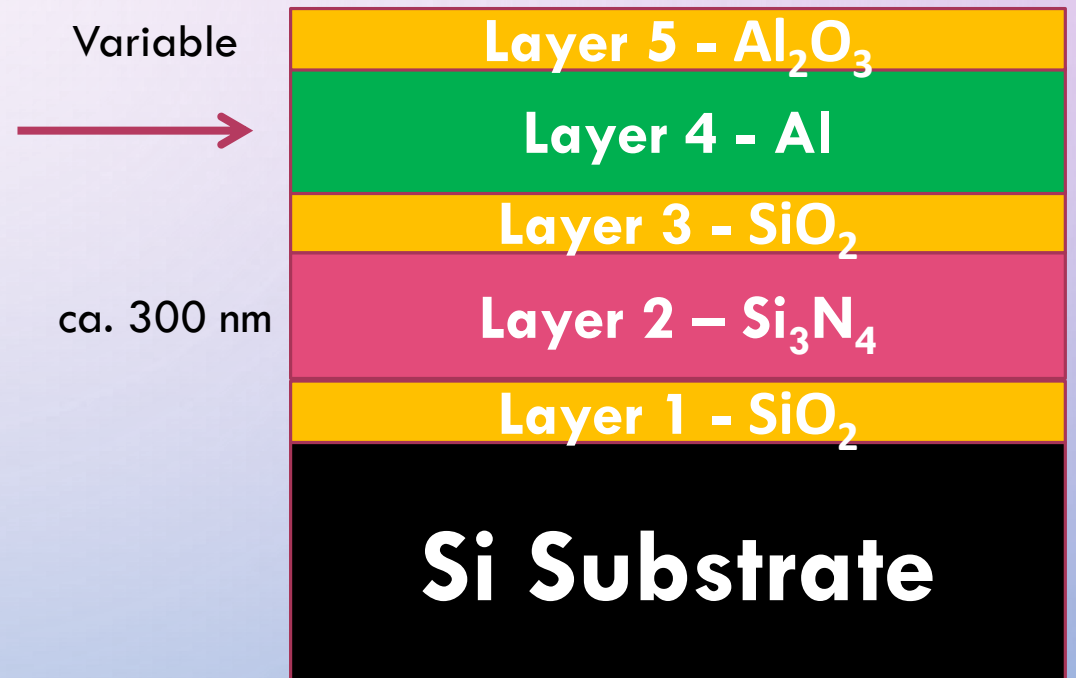
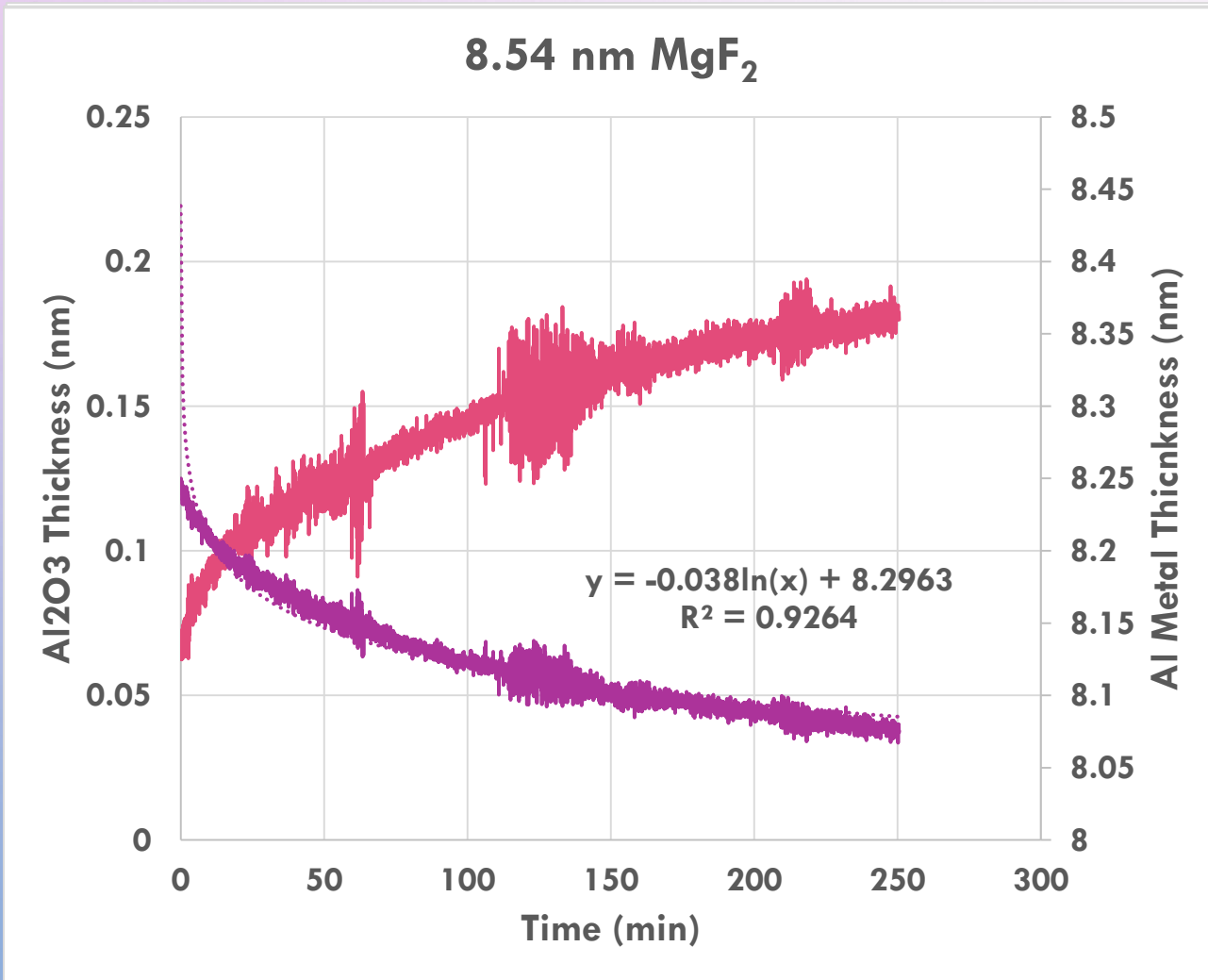
Slope of Oxidation
Plots as a function of
MgF₂ thickness

MgF ₂ Thickness	Oxidation Slope
0	0.1268
1.29	0.1034
1.33	0.0987
3.98	0.0758
6.93	0.0424
8.07	0.0257
10.94	0.0248



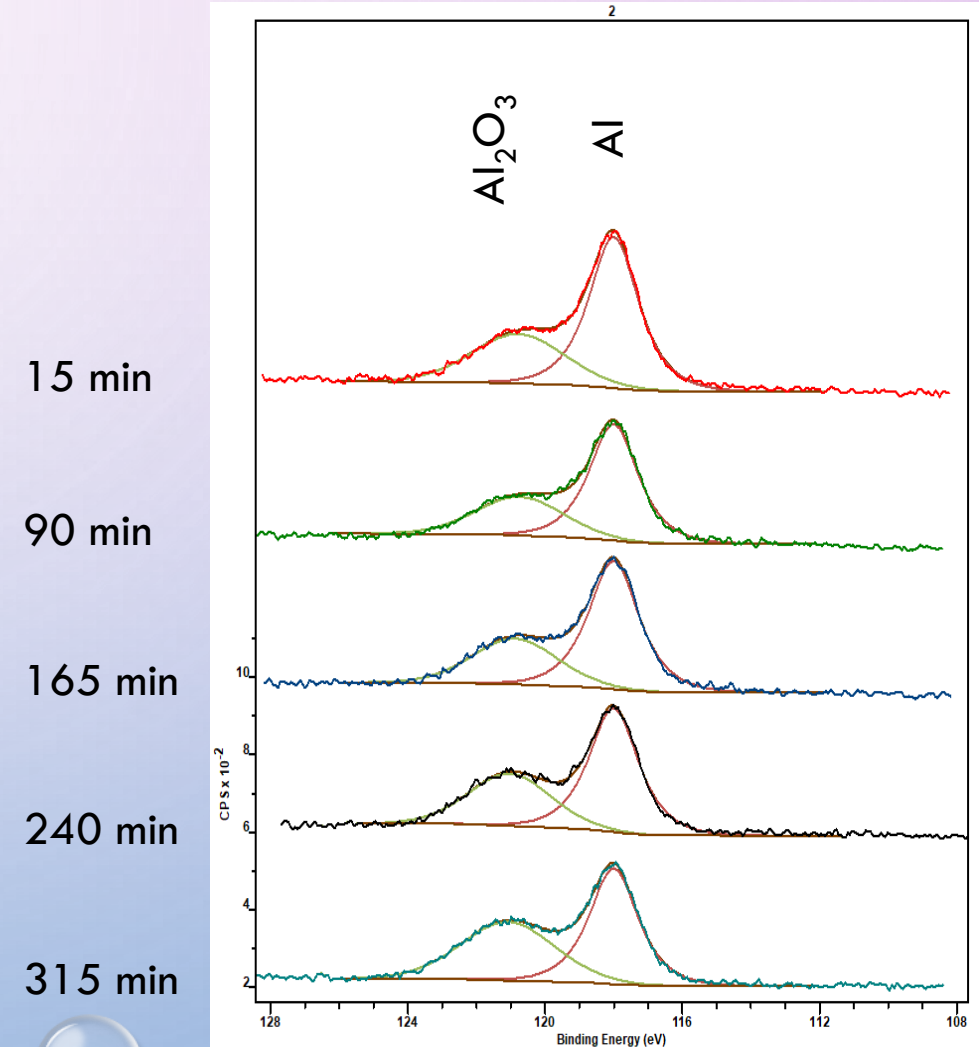
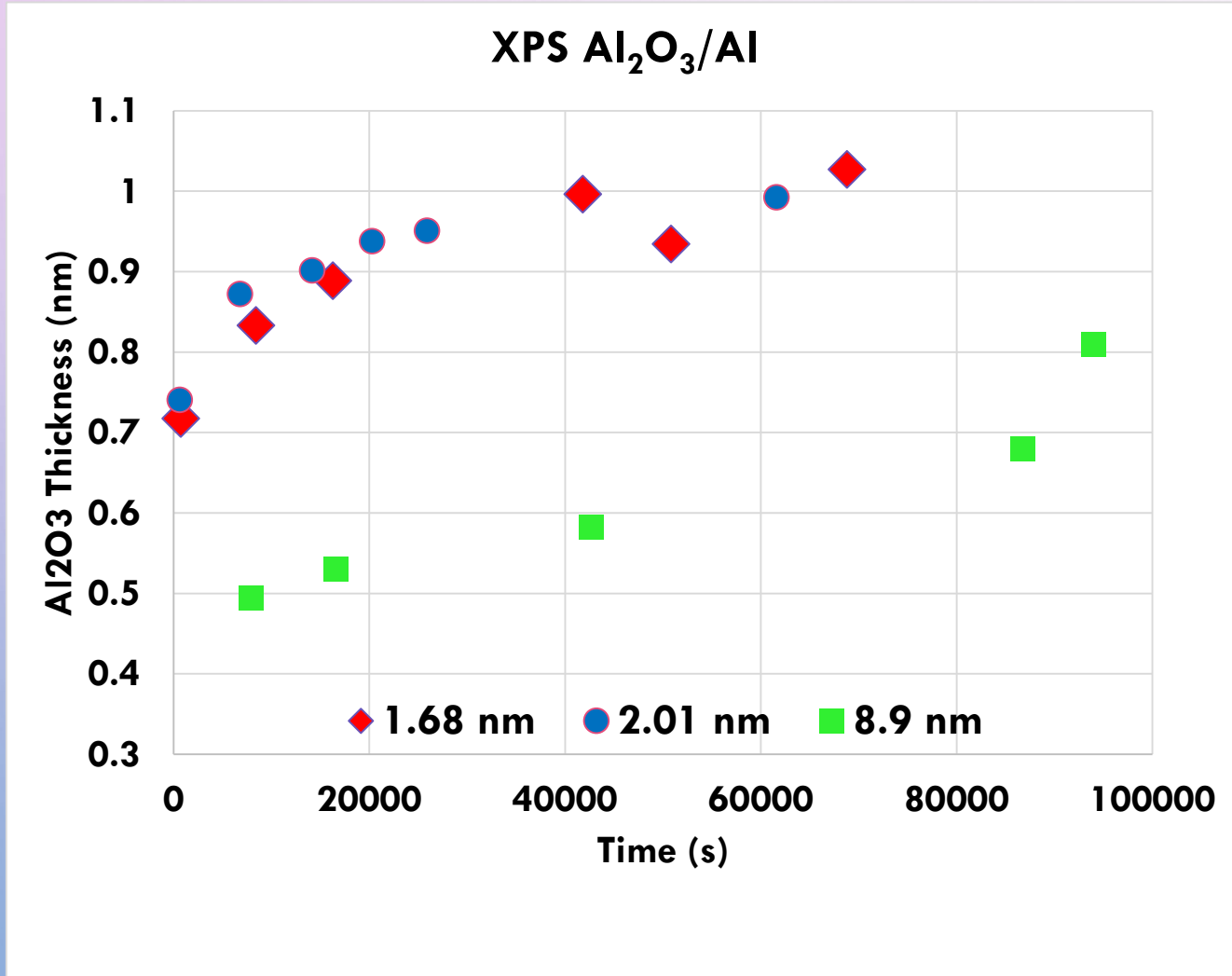


Decrease of Al Thickness





XPS





Conclusion

- **Analyze Al Oxidation**
 - Bare Al
 - Protected Al
- **Use of dynamic SE**
 - Iterate SE models
 - Make consistent starting thicknesses with the Al_2O_3 and MgF_2
 - Plot oxidation slopes as functions of MgF_2 thickness
- **XPS**
 - Followed SE trends
 - Showed changes in Al oxidation state



- **Acknowledgements**

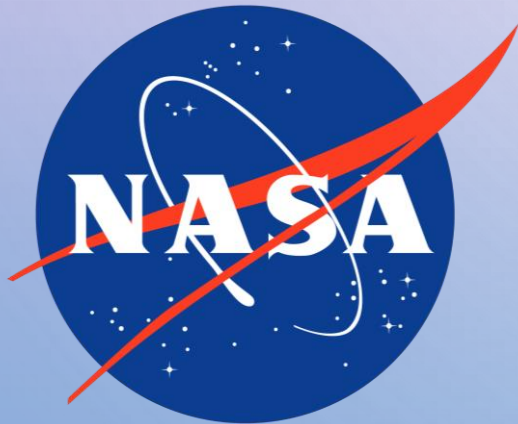
- **Linford group**

- **Cody Cushman, Tahereh gholian-Avval,
Matthew Linford**

- **Dr. Allred**

- **Brigham Young University**

- **NASA**



DEPARTMENT OF
CHEMISTRY AND BIOCHEMISTRY



QUESTIONS?

WHAT WE ARE DOING

- **Understanding surface oxidation rates**
 - **Bare Al air oxidation**
- **Kurt J Lesker PVD 75**
 - **Sputtered Al**
 - **18-23 nm on Si_3N_4**
 - **Sample immediately placed on SE (<45 seconds)**
 - **Ex situ dynamic data collection in air for 1 h (1.8 s)**
 - **Build up of Al_2O_3 with time**
 - **Al metal thickness decreases**

FIRST STEPS

****_TWO FITS**

STATIC

DYNAMIC

1-PUT THE AL₂O₃ TO 0 TO 0.1 NM

2-FIX THE MGF2 THICKNESS TO WHAT THE QCM INDICATED

3-FIX THE AL THICKNESS TO WHAT THE QCM INDICATED

4-USE AL LORENTZ MODEL

**5-ADJUST THE COEFFICIENTS FOR THE PARAMETERS IN THE STANDARD WOOLLAM
AL LORENTZ MODEL-TO GET LOW MSE**

6-FIT AL THICKNESS

7-RUN DYNAMIC FIT

8-USE DYNAMIC DATA TO SET THICKNESS OF AL₂O₃ TO 0 AT TIME 0

9-FIX THE AL₂O₃ THICKNESS IN THE MODEL TO THIS POINT

**10-ITERATE IN ORDER TO REDUCE MSE AND BE CONSISTENT WITH THE
EVAPORATION**

FIRST STEPS

Static Fit

Fix Al_2O_3 to 0.1 nm

Fix MgF_2 and Al to QCM values

Relax Al coefficients

Low MSE

Dynamic fit

Iterate $\text{Al}_2\text{O}_3/\text{MgF}_2/\text{Al}$

Low MSE

Now what is it that we can see from the dynamic fit that helps us?