

# National Aeronautics and Space Administration FOIA Annual Report

October 1, 2022 THROUGH September 30, 2023

The following Annual Freedom of Information Act report covers the period October 1, 2022, through September 30, 2023, as required by 5 U.S.C. 552.

#### I. BASIC INFORMATION REGARDING THE REPORT

1. Provide name, title, address, and telephone number of person(s) to be contacted with questions about the Report.

Nikki Gramian Principal Agency FOIA Officer NASA Headquarters MS 5-R30, 300 E Street, SW Washington, DC 20546 202-358-0625

Stephanie Fox Deputy Agency FOIA Officer / FOIA Public Liaison NASA Headquarters MS 5-R30, 300 E Street, SW Washington, DC 20546 202-358-1553

2. Provide an electronic link for access to the Report on the agency Web site.

 $\underline{https://www.nasa.gov/foia/foia-reports/annual-foia-report/}$ 

3. Explain how to obtain a copy of the Report in paper form.

Requesters may write to: NASA Headquarters Freedom of Information Act Office MS 5-R30, 300 E Street, SW Washington, DC 20546

Email: <u>hq-foia@mail.nasa.gov</u>

#### II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

#### **Ames Research Center**

Martha Terry Government Information Specialist c/o NASA Headquarters 300 E Street, SW, MS 5-R30 Washington, DC 20546-0001 202-358-2339 hq-foia@mail.nasa.gov

#### **Armstrong Flight Research Center**

Martha Terry Government Information Specialist c/o NASA Headquarters 300 E Street, SW, MS 5-R30 Washington, DC 20546-0001 202-358-2339 hq-foia@mail.nasa.gov

#### **Glenn Research Center**

Martha Terry Government Information Specialist c/o NASA Headquarters 300 E Street, SW, MS 5-R30 Washington, DC 20546-0001 202-358-2339 hq-foia@mail.nasa.gov

#### **Goddard Space Flight Center**

Alyssa Bias Government Information Specialist c/o NASA Headquarters 300 E Street, SW, MS 5-R30 Washington, DC 20546-0001 202-358-4664 hq-foia@mail.nasa.gov

#### **NASA Headquarters**

Derek Moore Government Information Specialist NASA Headquarters 300 E Street, SW, MS 5-R30 Washington, DC 20546-0001 202-358-2681 hq-foia@mail.nasa.gov

#### **Jet Propulsion Laboratory**

Dennis Mahon Government Information Specialist Jet Propulsion Laboratory 4800 Oak Grove Drive Pasadena, CA 91109 818-393-6779

#### jpl-foia@nasa.gov

#### **Johnson Space Center**

Robert Young Government Information Specialist c/o NASA Headquarters 300 E Street, SW, MS 5-R30 Washington, DC 20546 202-358-1030 jsc-hq-foia@mail.nasa.gov

#### **Kennedy Space Center**

Carissa Wheeler
Government Information Specialist
NASA Langley Research Center
Mail Stop 020
5 Langley Boulevard
Hampton, VA 23681
757-746-0585
larc-foia@mail.nasa.gov

#### **Langley Research Center**

Carissa Wheeler
Government Information Specialist
Langley Research Center
Mail Stop 020
5 Langley Boulevard
Hampton, VA 23681
757-746-0585
larc-foia@mail.nasa.gov

#### **Marshall Space Flight Center**

Mireya Nyirenda Government Information Specialist c/o NASA Headquarters 300 E Street, SW, MS 5-R30 Washington, DC 20546 202-358-0680 hq-foia@mail.nasa.gov

#### **NASA Shared Services Center**

Martha Terry Government Information Specialist c/o NASA Headquarters 300 E Street, SW, MS 5-R30 Washington, DC 20546 202-358-2339 hq-foia@mail.nasa.gov

#### Office of Inspector General

Frank LaRocca
OIG FOIA Public Liaison
Office of Inspector General
NASA Headquarters
300 E Street, SW
Washington, DC 20546
202-358-2575
hq-foiaoig@mail.nasa.gov

#### **Stennis Space Center**

Sallie Bilbo
Government Information Specialist
Stennis Space Center, MS 39529
228-688-3182
ssc-foia@nasa.gov

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

Generally, when requests are not granted it is due to the fact that the documents are exempt from release in accordance with the FOIA. NASA processes a substantial number of requests for contracts as well as deliberative process materials.

#### III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

- 1. Include the following definitions of terms used in this Report:
  - a) **Administrative Appeal** a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
  - b) **Average Number** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
  - c) **Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
  - d) **Component** for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
  - e) **Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
  - f) **Exemption 3 Statute** a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
  - g) **FOIA Request** a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the

benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. Consultations are reported separately in Section of this Report.

- h) Full Grant an agency decision to disclose all records in full in response to a FOIA request.
- i) **Full Denial** an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j) Median Number the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k) Multi-Track Processing a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
  - i. **Expedited Processing** an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
  - ii. **Simple Request** a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
  - iii. **Complex Request** a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- 2. Include the following concise descriptions of the nine FOIA exemptions:
  - a) Exemption 1 classified national defense and foreign relations information

- b) Exemption 2 internal agency rules and practices
- c) Exemption 3 information that is prohibited from disclosure by another federal law
- d) Exemption 4 trade secrets and other confidential business information
- e) Exemption 5 inter-agency or intra-agency communications that are protected by legal privileges
- f) **Exemption 6** information involving matters of personal privacy
- Exemption 7 records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h) **Exemption 8** information relating to the supervision of financial institutions
- i) **Exemption 9** geological information on wells
- 3. Agency Component Abbreviations

Component Abbreviation	Component Name
HQ	Headquarters
ARC	Ames Research Center
AFRC	Armstrong Flight Research Center
GRC	Glenn Research Center

GSFC	Goddard Space Flight Center
JPL	Jet Propulsion Laboratory
JSC	Johnson Space Center
KSC	Kennedy Space Center
LaRC	Langley Research Center
MSFC	Marshall Space Flight Center
OIG	Office of the Inspector General
NSSC	NASA Shared Services Center
SSC	Stennis Space Center
OGC	Office of General Counsel (International Law Division): (Appeals and Litigation Only)

#### IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
5 U.S.C. § 13109(a)(2)	Confidential financial disclosure report pertaining to certain government	Meyerhoff v. EPA, 958 F.2d 1498, 1500-02 (9th Cir. 1992); Boyd v.	OIG	2	
(formerly at 5 U.S.C. app. 4 § 107(a)(2))	employees	Exec. Off. for U.S. Att'ys, 161 F. Supp. 3d 1, 7 (D.D.C. 2015); Seife v. NIH, 874 F. Supp. 2d 248, 254 (S.D.N.Y. 2012).	OGC	1	3

10 U.S.C. § 424	Organization or any function of an organization, and certain information pertaining to, employees of the Defense Intelligence Agency, the National Reconnaissance Office, and the National Geospatial-Intelligence Agency	Hamdan v. DOJ, 797 F.3d 759, 776 (9th Cir. 2015); Freedom Watch, Inc. v. NSA, 197 F. Supp. 3d 165, 174 (D.D.C. 2016); Wickwire Gavin, P.C. v. Def. Intel. Agency, 330 F. Supp. 2d 592, 602 (E.D. Va. 2004).	GSFC	1	1
16 U.S.C. § 470hh	Information pertaining to the nature and location of certain archaeological resources	Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 29-30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004); Starkey v. U.S. Dep't of the Interior, 238 F. Supp. 2d 1188, 1192-94 (S.D. Cal. 2002).	HQ	1	1
18 U.S.C. § 208(d)(1)	Providing that "a copy of any determination granting an exemption under subsection (b)(1) or (b)(3) [from application of penalties for acts affecting personal financial interests determined to constitute bribery, graft, or conflicts of interest] shall be made available to the public," but exempting from this disclosure requirement "any information contained in the determination that would be exempt from disclosure under section 552 of title 5"	Seife v. NIH, 874 F. Supp. 2d 248, 256 (S.D.N.Y. 2012).	OIG	1	1
22 U.S.C. § 2778(e)	Certain information pertaining to export license applications	Council for a Livable World v. U.S. Dep't of State, 1998 U.S. Dist.	GRC	2	
	**	LEXIS 23642, at *11 (D.D.C. Jan. 21, 1998), amended (D.D.C. Nov.	GSFC	1	
		23, 1998).	HQ	5	21
			JPL	3	
			JSC	1	
			KSC	2	

			LaRC	4	
			MSFC	2	
			SSC	1	
28 U.S.C. § 652(d)	"[C]onfidential dispute resolution communications"	Yelder v. DOD, 577 F. Supp. 2d 342, 346-47 (D.D.C. 2008).	GSFC	1	1
	Contractor bid or proposal information; source selection information	Legal & Safety Emp. Rsch., Inc. v. U.S. Dep't of the Army, No. Civ.	GSFC	1	
41 U.S.C. §	source selection information	S001748, 2001 WL 34098652, at *3-	HQ	1	
423(a)(1))		4 (E.D. Cal. May 4, 2001) (dictum).	JPL	1	6
			JSC	2	
			KSC	1	
	Contractor proposals that are in the possession or control of an executive	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15	HQ	7	
at 41 Ù.S.C. §	agency and that have not been set forth or	(S.D. Ohio Mar. 15, 2012); Margolin	JPL	1	
253b(m)(1))	incorporated by reference into contracts	v. NASA, No. 09- 00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 035257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	LaRC	1	9
50 U.S.C. § 4820(h)(1)	Information pertaining to license applications under the Export	Wis. Project on Nuclear Arms Control v. U.S. Dep't of Com., 317	GRC	4	
(formerly at 50	Administration Act	F.3d 275, 284 (D.C. Cir. 2003);	HQ	2	
U.S.C. § 4614(c)(1))		Times Publ'g Co. v. U.S. Dep't of Com., 236 F.3d 1286, 1289-90 (11th	JPL	1	9
		Cir. 2001); Lessner v. U.S. Dep't of Com., 827 F.2d 1333, 1336-37 (9th	MSFC	1	
		Cir. 1987).	SSC	1	

54 U.S.C. §	Information about the location, character or	N/A	HQ		
307103	ownership of a historic property				
(formerly at 16				1	1
Ù.S.C. § 470w-					
3)					

#### V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
AFRC	0	32	32	0
ARC	2	41	42	1
GRC	2	38	37	3
GSFC	6	69	72	3
HQ	31	368	357	42
JPL	7	57	61	3
JSC	8	126	123	11
KSC	8	74	78	4
LaRC	4	35	39	0
MSFC	6	61	61	6
NSSC	1	32	32	1
OGC	0	0	0	0
OIG	3	48	44	7

SSC	1	24	25	0
AGENCY OVERALL	79	1005	1003	81

## V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

		Number			Nı	ımber of Full	Denials Ba	sed on Reason	s Other than	Exemption	18		
Agency / Component	Number of Full Grants	of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	TOTAL
AFRC	1	1	0	1	0	2	1	1	3	3	15	4	32
ARC	1	2	1	5	0	1	0	5	7	1	15	4	42
GRC	1	7	1	1	0	0	2	4	3	0	15	3	37
GSFC	6	17	4	5	1	2	7	3	5	1	20	1	72
HQ	45	91	9	46	9	8	8	57	19	5	17	43	357
JPL	2	16	1	4	0	5	0	6	2	6	15	4	61
JSC	28	15	2	20	0	8	12	11	3	0	17	7	123
KSC	13	6	3	12	3	3	4	4	4	0	19	7	78
LaRC	3	6	1	2	0	2	0	3	2	0	17	3	39
MSFC	9	12	3	4	0	2	6	1	5	0	15	4	61
NSSC	0	4	4	1	0	2	0	2	3	0	15	1	32
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	2	12	1	6	7	1	0	1	6	0	7	1	44

SSC	2	2	1	0	0	0	0	1	4	0	13	2	25
AGENCY OVERALL	113	191	31	107	20	36	40	99	66	16	200	84	1003

## V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
AFRC	Directed requester to publicly available information	4	4
ARC	Directed requester to publicly available information	4	4
GRC	Directed requester to publicly available information	3	3
GSFC	Directed requester to publicly available information	1	1
HQ	Directed requester to publicly available information	43	43
JPL	Directed requester to publicly available information	4	4
JSC	Directed requester to publicly available information	7	7
KSC	Directed requester to publicly available information	7	7

LaRC	Directed requester to publicly available information	3	3
MSFC	Directed requester to publicly available information	4	4
NSSC	Directed requester to publicly available information	1	1
OGC	N/A	0	0
OIG	Directed requester to publicly available information	1	1
SSC	Directed requester to publicly available information	2	2
AGENCY OVERALL			84

## V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
AFRC	0	0	0	0	0	1	0	0	0	0	0	0	0	0
ARC	0	0	0	0	0	2	1	0	0	0	0	0	0	0
GRC	0	0	5	6	0	5	0	0	1	0	0	0	0	0
GSFC	0	0	4	7	7	17	0	0	3	0	1	0	0	0
HQ	0	10	14	26	38	79	0	0	4	0	5	0	0	0
JPL	0	1	4	10	2	14	0	0	0	0	7	0	0	0
JSC	0	1	3	7	2	8	0	0	0	0	0	0	0	0
KSC	0	0	3	5	3	3	0	0	1	0	0	0	0	0

LaRC	0	0	5	4	4	3	0	0	0	0	0	0	0	0
MSFC	0	0	3	13	2	12	0	0	1	0	0	0	0	0
NSSC	0	0	0	6	1	2	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	3	1	8	11	2	0	11	4	2	0	0	0
SSC	0	0	2	2	0	1	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	12	46	87	67	158	3	0	21	4	15	0	0	0

## VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
OGC	6	17	14	9
OIG	0	0	0	0
AGENCY OVERALL	6	17	14	9

#### VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OGC	4	4	4	2	14
OIG	0	0	0	0	0
AGENCY OVERALL	4	4	4	2	14

## VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	1	1	2	2	3	0	0	2	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	1	1	2	2	3	0	0	2	0	0	0	0	0

### VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
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OGC	2	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	2	0	0	0	0	0	0	0	0	0	0

## VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

#### VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	42	125.07	2	627
OIG	N/A	N/A	N/A	N/A
AGENCY OVERALL	42	125.07	2	627

#### VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal	N/A	2023-09- 26	2023-09- 25	2023-08- 18	2023-06- 27	2023-05- 31	2023-05- 26	2023-04- 10	2021-03- 03	2021-01- 07
OGC	Number of Days Pending	0	3	4	29	66	84	86	120	649	673
010	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY	Date of Appeal	N/A	2023-09- 26	2023-09- 25	2023-08- 18	2023-06- 27	2023-05- 31	2023-05- 26	2023-04- 10	2021-03- 03	2021-01- 07
OVERALL	Number of Days Pending	0	3	4	29	66	84	86	120	649	673

## VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

	SIMPLE					COM	PLEX		EXPEDITED PROCESSING				
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days		Average Number of Days	Lowest Number of Days	Highest Number of Days	
AFRC	1	8.73	<1	63	<1	1.22	<1	11	N/A	N/A	N/A	N/A	
ARC	1	3.06	<1	20	<1	17.93	<1	220	N/A	N/A	N/A	N/A	

GRC	1	6.96	<1	39	12	28.86	<1	116	N/A	N/A	N/A	N/A
GSFC	1	6.88	<1	32	10	39.72	<1	351	N/A	N/A	N/A	N/A
HQ	3	6.38	<1	31	19	40.19	<1	358	31	31	31	31
JPL	11.5	15.68	<1	90	37	55.84	<1	383	N/A	N/A	N/A	N/A
JSC	3	5.77	<1	29	21.5	26.25	<1	119	N/A	N/A	N/A	N/A
KSC	3	13.49	<1	327	26	34	1	153	N/A	N/A	N/A	N/A
LaRC	2	3.63	<1	17	2	20.93	1	106	N/A	N/A	N/A	N/A
MSFC	8	9.64	<1	54	10	32.77	<1	199	24	24	24	24
NSSC	<1	0.82	<1	4	4	9.56	<1	36	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	<1	3	<1	17	20	24.1	<1	84	N/A	N/A	N/A	N/A
SSC	1	4.9	<1	18	<1	3.3	<1	20	N/A	N/A	N/A	N/A
AGENCY OVERALL	2	7.61	<1	327	13	33.30	<1	383	27.5	27.5	24	31

### VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

		SIM	PLE			COM	PLEX		EXI	PEDITED 1	PROCESS!	ING
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AFRC	13.5	13.5	10	17	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ARC	12	12	4	20	220	220	220	220	N/A	N/A	N/A	N/A
GRC	31	25.5	1	39	36.5	50.25	12	116	N/A	N/A	N/A	N/A

GSFC	14	13.13	<1	28	50	85.47	8	351	N/A	N/A	N/A	N/A
HQ	10	11.28	1	31	39	62.02	<1	358	31	31	31	31
JPL	24	24.11	5	61	96	110.33	39	383	N/A	N/A	N/A	N/A
JSC	10	9.89	1	29	33	38.6	2	119	N/A	N/A	N/A	N/A
KSC	15	11.43	1	19	39.5	43.25	10	153	N/A	N/A	N/A	N/A
LaRC	11	10	2	17	32	45.5	19	106	N/A	N/A	N/A	N/A
MSFC	14	13.29	4	21	58	58.23	4	199	24	24	24	24
NSSC	N/A	N/A	N/A	N/A	22	23.75	15	36	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	10.5	10.5	4	17	34.5	36.75	4	84	N/A	N/A	N/A	N/A
SSC	13.5	13.5	9	18	15.5	15.5	11	20	N/A	N/A	N/A	N/A
AGENCY OVERALL	12	13.18	<1	61	61	39.5	<1	383	27.5	27.5	24	31

## VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
AFRC	17	0	1	1	0	0	0	0	0	0	0	0	0	19
ARC	16	0	0	0	0	0	0	0	0	0	0	0	0	16
GRC	19	4	0	0	0	0	0	0	0	0	0	0	0	23
GSFC	21	4	0	0	0	0	0	0	0	0	0	0	0	25
HQ	94	9	0	0	0	0	0	0	0	0	0	0	0	103

JPL	27	4	0	2	1	0	0	0	0	0	0	0	0	34
JSC	63	2	0	0	0	0	0	0	0	0	0	0	0	65
KSC	42	0	0	0	0	0	0	0	0	0	0	1	0	43
LaRC	19	0	0	0	0	0	0	0	0	0	0	0	0	19
MSFC	24	3	1	0	0	0	0	0	0	0	0	0	0	28
NSSC	11	0	0	0	0	0	0	0	0	0	0	0	0	11
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	16	0	0	0	0	0	0	0	0	0	0	0	0	16
SSC	10	0	0	0	0	0	0	0	0	0	0	0	0	10
AGENCY OVERALL	379	26	2	3	1	0	0	0	0	0	0	1	0	412

### VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
AFRC	9	0	0	0	0	0	0	0	0	0	0	0	0	9
ARC	13	0	0	0	0	0	0	0	0	0	1	0	0	14
GRC	4	2	0	0	0	1	0	0	0	0	0	0	0	7
GSFC	24	3	5	2	2	1	0	0	0	0	1	1	0	39
HQ	95	27	18	10	8	5	1	2	1	6	1	3	0	177
JPL	8	3	3	0	1	3	0	0	0	0	0	1	0	19
JSC	22	13	6	1	1	1	0	0	0	0	0	0	0	44

KSC	12	7	5	0	1	0	0	2	0	0	0	0	0	27
LaRC	9	4	1	0	0	1	0	0	0	0	0	0	0	15
MSFC	15	2	3	3	2	0	0	0	0	1	0	0	0	26
NSSC	13	3	0	0	0	0	0	0	0	0	0	0	0	16
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	12	4	3	1	1	0	0	0	0	0	0	0	0	21
SSC	10	0	0	0	0	0	0	0	0	0	0	0	0	10
AGENCY OVERALL	246	68	44	17	16	12	1	4	1	7	3	5	0	424

### VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
AFRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ARC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	1	0	0	0	0	0	0	0	0	0	0	0	1
JPL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
KSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
LaRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0

MSFC	0	1	0	0	0	0	0	0	0	0	0	0	0	1
NSSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	2	0	0	0	0	0	0	0	0	0	0	0	2

## VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

. ,		SIMPLE		(	COMPLEX	ζ	EXPEDI	TED PRO	CESSING
Agency / Component	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
AFRC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
ARC	0	N/A	N/A	1	7	7	0	N/A	N/A
GRC	2	5	5	1	21	21	0	N/A	N/A
GSFC	1	25	25	2	23	23	0	N/A	N/A
HQ	4	14	12.5	28	26	73.18	1	67	67
JPL	1	49	49	1	490	490	0	N/A	N/A
JSC	10	26	38.5	0	N/A	N/A	0	N/A	N/A
KSC	1	5	5	3	68	73.33	0	N/A	N/A
LaRC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
MSFC	0	N/A	N/A	5	17	31.8	0	N/A	N/A

NSSC	0	N/A	N/A	1	25	25	0	N/A	N/A
OGC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OIG	3	10	12.67	1	404	404	0	N/A	N/A
SSC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
AGENCY OVERALL	22	15	25.55	43	25	79.56	1	67	67

## VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AFRC	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2023-09- 20
ARC	Number of Days Pending	0	0	0	0	0	0	0	0	0	7
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2023-09- 22	2023-09- 22	2023-09- 05
GRC	Number of Days Pending	0	0	0	0	0	0	0	5	5	21

	Date of Receipt	N/A	2023-08- 31	2023-08- 29	2023-08- 24						
GSFC	Number of Days Pending	0	0	0	0	0	0	0	21	25	25
	Date of Receipt	2023- 06-26	2023-06- 22	2023-04- 25	2023-04- 18	2023-02- 24	2023-02- 02	2022-12- 09	2022-11- 14	2022-11- 10	2022-01- 18
HQ	Number of Days Pending	67	71	109	111	154	166	207	220	221	428
	Date of Receipt	N/A	2023-06- 02	2021-10- 05							
JPL	Number of Days Pending	0	0	0	0	0	0	0	0	49	490
	Date of Receipt	N/A	2023-09- 25	2023-09- 26	2023-08- 28	2023-09- 11	2023-08- 14	2023-08- 07	2023-08- 04	2023-08- 04	2022-12- 02
JSC	Number of Days Pending	0	0	3	7	19	33	38	39	39	207
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2023-09- 22	2023-09- 06	2023-06- 05	2022-12- 27
KSC	Number of Days Pending	0	0	0	0	0	0	5	17	68	135
LaRC	Date of Receipt	N/A									

	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2023-09- 26	2023-09- 18	2023-09- 06	2023-06- 28	2023-06- 27
MSFC	Number of Days Pending	0	0	0	0	0	3	9	17	65	65
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2023-08- 24
NSSC	Number of Days Pending	0	0	0	0	0	0	0	0	0	25
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OGC	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2023-09- 26	2023-09- 15	2023-08- 29	2022-02- 02
OIG	Number of Days Pending	0	0	0	0	0	0	6	10	22	404
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SSC	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

AGENCY	Date of	2022-	2023-02-	2023-02-	2022-12-	2022-12-	2022-11-	2022-11-	2022-02-	2022-01-	2021-10-
	Receipt	12-27	24	02	09	02	14	10	22	18	05
OVERALL	Number of Days Pending	135	154	166	207	207	220	221	404	428	490

### VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
AFRC	0	0	N/A	N/A	0
ARC	0	0	N/A	N/A	0
GRC	0	0	N/A	N/A	0
GSFC	0	8	1	16.53	5
HQ	1	23	2	7	19
JPL	0	0	N/A	N/A	0
JSC	0	5	1.5	2.17	5
KSC	0	1	1	1	1
LaRC	0	0	N/A	N/A	0
MSFC	1	2	21	19	1
NSSC	0	0	N/A	N/A	0
OGC	0	0	N/A	N/A	0

OIG	0	0	N/A	N/A	0
SSC	0	0	N/A	N/A	0
AGENCY OVERALL	2	39	1	9.02	31

## VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
AFRC	1	1	1	1
ARC	0	3	1	1.33
GRC	0	1	1	1
GSFC	0	4	3.5	3.5
HQ	4	28	1	12.47
JPL	0	0	N/A	N/A
JSC	0	5	3	4
KSC	0	2	2.5	2.5
LaRC	0	0	N/A	N/A
MSFC	1	1	1	1
NSSC	0	1	1	1
OGC	0	0	N/A	N/A
OIG	0	0	N/A	N/A

SSC	0	0	N/A	N/A
AGENCY OVERALL	6	46	1	8.85

#### IX. FOIA Personnel and Costs

	P	PERSONNEL			COSTS	
Agency / Component	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full- Time FOIA Staff"	Processing Costs	Litigation- Related Costs	Total Costs
AFRC	0	0.25	0.25	33604.00	0.00	33604.00
ARC	0	0.25	0.25	33994.91	0.00	33994.91
GRC	0	0.25	0.25	33705.25	0.00	33705.25
GSFC	1	0.00	1.00	108280.38	0.00	108280.38
HQ	3	0.00	3.00	502212.85	0.00	502212.85
JPL	0	0.45	0.45	63513.47	0.00	63513.47
JSC	1	0.00	1.00	124620.28	0.00	124620.28
KSC	0	0.50	0.50	59020.03	0.00	59020.03
LaRC	0	0.50	0.50	59222.06	0.00	59222.06
MSFC	1	0.00	1.00	112943.82	0.00	112943.82
NSSC	0	0.25	0.25	33604.00	0.00	33604.00
OGC	0	1.25	1.25	155700.00	2700.00	158400.00

OIG	0	1.00	1.00	126949.00	0.00	126949.00
SSC	0	0.20	0.20	25710.70	0.00	25710.70
AGENCY OVERALL	6	4.90	10.90	1473080.75	2700.00	1475780.75

## X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
ARC	0.00	0.0000
AFRC	390.91	1.1600
GRC	101.25	0.3000
GSFC	2804.23	2.5900
HQ	768.21	0.1500
JPL	99.38	0.1600
JSC	631.96	0.5100
KSC	2165.76	3.6700
LaRC	0.00	0.0000
MSFC	589.38	0.5200
NSSC	0.00	0.0000
OGC	0.00	0.0000
OIG	0.00	0.0000

SSC	104.50	0.4100
AGENCY OVERALL	7655.58	0.5200

### XI.A. Number of Times Subsection (C) Used

	N 1 CT: C 1 :
Agency / Component	Number of Times Subsection Used
ARC	0
AFRC	0
GRC	0
GSFC	0
HQ	0
JPL	0
JSC	0
KSC	0
LaRC	0
MSFC	0
NSSC	0
OGC	0
OIG	0
SSC	0
AGENCY OVERALL	0

### XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
AFRC	0	0
ARC	0	0
GRC	0	0
GSFC	0	0
HQ	1	3973
JPL	0	0
JSC	0	0
KSC	0	0
LaRC	0	0
MSFC	1	0
NSSC	0	0
OGC	0	0
OIG	5	27
SSC	0	0
AGENCY OVERALL	7	4000

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
AFRC	0	N/A
ARC	0	N/A
GRC	0	N/A
GSFC	2	N/A
HQ	17	N/A
JPL	2	N/A
JSC	5	N/A
KSC	1	N/A
LaRC	0	N/A
MSFC	2	N/A
NSSC	1	N/A
OGC	0	7
OIG	2	0
SSC	0	N/A
AGENCY OVERALL	32	7

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year
AFRC	0	0	0	0
ARC	0	0	0	0
GRC	0	0	0	0
GSFC	0	0	0	0
HQ	1	29	29	1
JPL	0	0	0	0
JSC	0	2	2	0
KSC	0	0	0	0
LaRC	0	0	0	0
MSFC	0	0	0	0
NSSC	0	0	0	0
OGC	0	0	0	0
OIG	0	0	0	0
SSC	0	0	0	0
AGENCY OVERALL	1	31	31	1

## XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AFRC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ARC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
GRC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
GSFC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2023-09-21
HQ	Number of Days	0	0	0	0	0	0	0	0	0	6
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
JPL	Number of Days	0	0	0	0	0	0	0	0	0	0
JSC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A									
KSC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A									
LaRC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A									
MSFC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A									
NSSC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A									
OGC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A									
OIG	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A									
SSC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	2023-09-11								

AGENCY OVERALL	Number of Days	0	0	0	0	0	0	0	0	0	6
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## XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

	NUMBER OF <u>RECE</u>		NUMBER OF REQUESTS <u>PROCESSED</u>		
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
AFRC	27	32	27	32	
ARC	61	41	60	42	
GRC	18	38	16	37	
GSFC	56	69	50	72	
HQ	373	368	376	357	
JPL	58	57	52	61	
JSC	118	126	120	123	
KSC	81	74	82	78	
LaRC	35	35	33	39	
MSFC	55	61	58	61	
NSSC	29	32	29	32	
OGC	0	0	0	0	

OIG	59	48	56	44
SSC	23	24	23	25
AGENCY OVERALL	993	1005	982	1003

## XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
AFRC	0	0
ARC	1	0
GRC	0	0
GSFC	4	2
HQ	19	17
JPL	4	2
JSC	2	5
KSC	4	1
LaRC	0	0
MSFC	1	2
NSSC	0	1

OGC	0	0
OIG	3	2
SSC	0	0
AGENCY OVERALL	38	32

## XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

	NUMBER O <u>RECE</u>			F APPEALS ESSED
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OGC	8	17	10	14
OIG	1	0	1	0
AGENCY OVERALL	9	17	11	14

## XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

	Number of	Number of
A / C	Backlogged	Backlogged
Agency / Component	Appeals as of	Appeals as of
	End of the	End of the
	Fiscal Year	Fiscal Year

	from Previous Annual Report	from Current Annual Report
OGC	6	7
OIG	0	0
AGENCY OVERALL	6	7