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PREAMBLE

National Aeronautics and Space Administration (NASA) Centers are active research institutions that have developed around Highly Technical or Scientific Facilities (HTSF) and as such the design, construction, and physical appearance of NASA Centers are determined largely by functional needs. The challenges facing agencies like NASA were acknowledged in the 1991 Advisory Council on Historic Preservation (ACHP) publication Balancing Historic Preservation Needs with the Operations of Highly Technical or Scientific Facilities, prepared in response to a Congressional request seeking counsel on "how a balance could be struck between the preservation of physical reminders of the scientific legacy of the United States and the ongoing operation and upgrading of scientific and technical research facilities." The ACHP publication aimed to demonstrate how historic HTSF could be managed consistent with the NHPA, in particular Section 106, if the respective parties—preservation regulators and agency resource managers—understood and accounted for one another's goals. A major theme in this publication was the acknowledgement that modification is expected and necessary to maintain active use of HTSF.

As an agency dedicated to the fields of aeronautics research, human exploration and operations, science, and space technology, NASA must utilize its built assets in a manner that is often at odds with traditional historic preservation approaches. NASA routinely modifies, upgrades, reconfigures, cannibalizes, and replaces its resources. Traditional approaches to preservation are often not feasible. Alteration inconsistent with the Secretary of the Interior's (SOI's) Standards for the Treatment of Historic Properties is identified as a potential adverse effect under Section 106, but the SOI standards were written with traditional historic buildings and structures in mind. In the case of HTSF, modification that enables continued use should be viewed as a character-defining feature (CDF) rather than an adverse effect, as it is the active use of the asset that ensures its preservation.

Compounding the challenges of managing HTSF is the fact that NASA assets are more likely to meet National Register of Historic Places (NRHP) Criteria Consideration G (CCG) because of the agency's extraordinary mission. According to the NRHP Criteria, properties less than 50 years of age are not normally eligible for listing unless they are exceptionally important. Acknowledging the importance of its unique assets, NASA completed an agency-wide evaluation of 335 Space Shuttle Program-related assets in anticipation of the sunsetting of the program in 2011. The majority of these assets were less than 50 years of age at the time of the evaluation, but this still represents a relatively small percentage of NASA's approximately 2,900 U.S. real property assets less than 50 years of age in 2023.

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NRHP evaluation of assets less than 50 years of age, regardless of the type, presents a number of challenges. As a result, it has become common practice among federal agencies to focus Section 110 surveys on properties 50 years of age and older—and for most agencies, this is justifiable given the low potential for its resources to be NRHP eligible under CCG. This is not the case for NASA, and traditional NRHP evaluation of all assets under CCG is not feasible. In response to this challenge, NASA has developed a probability model that assesses the likelihood of its assets less than 50 years of age to be NRHP eligible.

Developed in consultation with the ACHP, NCSHPO, and other consulting parties, the Resource Significance Framework (RSF) analyzed a representative sample of NASA's exceptionally important activities and achievements of the preceding 50-year period (i.e., since 1973) and associated property types to identify objective and readily available data points that correlate to potential NRHP eligibility. A key data point was derived from NASA's Facility Classification Coding System (FCCS), which categorizes all real property assets based upon their function. Data points were used to develop a four-factor numerical rating (RSF Model) that ranks assets according to their potential significance. NASA finalized the RSF in 2022 and intends to use the RSF Model to proactively identify assets less than 50 years of age that may require additional consideration should they be within the Area of Potential Effects (APE) of an undertaking.

NASA's built infrastructure is also aging. The nature of NASA's activities means that built assets age into obsolescence in a relatively short period of time without continual investment. Once an asset reaches 40 years of age—as roughly half of NASA's assets have—NASA assumes that it has a declining capacity to perform the function for which it was designed. Mission-essential assets are prioritized for regular maintenance, repair, and upgrades to keep them functional. While work can sometimes be deferred in an office or storage building without compromising the mission, that is not the case for HTSF and other purpose-built assets like laboratories, testing facilities, and buildings housing highly specialized scientific activities that, if allowed to decline, would severely undermine NASA's ability to carry out its mission. While NASA's real property portfolio ages and the cost of maintenance and upgrades increases, NASA's budget has remained flat, leaving limited funding to go towards assets that are not mission-critical, many of which are obsolete historic properties.

Furthermore, in 2015 the Office of Management and Budget released the National Strategy for the Efficient Use of Real Property (National Strategy), which is the federal framework to improve real property management by freezing growth of the federal real property inventory, measuring performance to identify opportunities for improvements in efficiency, and finally reducing the size of the inventory through consolidation, colocation, or disposal of properties. The National Strategy's companion policy is Reduce the Footprint, which requires federal agencies to aggressively dispose of surplus properties, make more efficient use of the Government's real property assets, and reduce the total square footage of their domestic office and warehouse inventory relative to an established baseline. As a result, the NASA Mission Support Council (MSC) has aggressively promoted rightsizing through facilities consolidation and is undertaking an agency-wide review of assets for the purpose of identifying those to sustain, invest in, outgrant, or divest.

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The purpose of this Agencywide Programmatic Agreement (APA) is to create an alternate process by which NASA can meet its responsibilities to manage its U.S. real property assets under Sections 106 and 110 of the NHPA in a manner that accommodates NASA's mission, and addresses the unique challenges of managing its historic properties in a traditional manner. The APA includes the following components:

• It establishes parameters that reflect the HTSF nature of NASA Centers and assets;

• It incorporates an approach to historic property identification that utilizes traditional evaluation and predictive modeling, so that the agency may focus limited financial and personnel resources on the small percentage of its assets that are historic rather than on demonstrating the lack of significance of the majority;

• It reinforces the consideration of alternatives to adverse effects in consultation with Section 106 stakeholders at the early stages of asset planning; and

• It establishes programmatic mitigation measures to address cumulative effects and standard project-based mitigation that builds upon NASA's already robust recordation and information-sharing infrastructure to maximize broad public access, utility, engagement, and appreciation of NASA's remarkable history.

The APA has been developed as a management approach that fosters more meaningful integration of historic properties into NASA's already robust project planning and cultural resources management infrastructure. It strengthens the Center master planning process, reinforces the value of cultural resources survey and evaluation, and enhances public access to and understanding of NASA's history as conveyed through the built environment.

RECITALS

WHEREAS, NASA undertakes activities in the areas of aeronautics research, human exploration and operations, science, and space technology for the peaceful benefit of all mankind, and these activities depend upon the maintenance and continued use of HTSF at its Centers across the country (Appendix D – NASA Centers) in state-of-the-art condition; and

WHEREAS, NASA Centers are active research institutions that have developed around HTSF, and the design, construction, and physical appearance of HTSF are determined largely by functional needs and not by aesthetics; and

WHEREAS, the unique and specialized purpose of NASA Centers, and their HTSF character and uses, merit a management approach that provides flexibility to the agency in meeting its responsibilities under Sections 106 and 110 of the National Historic Preservation Act of 1966, as amended (54 USC 306108) (NHPA) and that acknowledges the particular constraints within which NASA operates its Centers; and

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218 WHEREAS, NASA recognizes that there are discrete areas within NASA Centers that have a unique and 219 distinguishable design aesthetic (Heritage Zones) (Appendix K – Heritage Zones), and that measures 220 should be taken to preserve that aesthetic; and

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WHEREAS, to meet agency needs and federal real property directives, NASA is applying a strategy for an agency-wide, mission-driven, and affordable real property portfolio based upon an evaluation of mission relevance, future need, sustainment costs, and condition of each of its Assets to determine whether to sustain, invest, outgrant, or divest; and

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WHEREAS, NASA has determined that its property management activities are undertakings requiring review pursuant to 36 CFR Part 800, the regulations implementing Section 106; and

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WHEREAS, NASA integrates the consideration of historic properties into its facilities planning at both the agency and the Center level so that opportunities to avoid adverse effects may be explored in advance of such activities, such as reuse by NASA, lease to an outside entity, and transfer to another federal agency, and placing the asset on standby or mothball status; and

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WHEREAS, the decision to sustain, invest, outgrant, or divest Assets is substantially determined years in advance of the action being carried out through decisions made during the Center master planning process, and NASA has determined that consideration of feasible alternatives to adverse effects should occur at these early planning stages during the development of the Center Master Plan (CMP); and

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WHEREAS, NASA has developed the APA to streamline the Section 106 process so that its limited resources may be used to maximum public benefit consistent with federal agency responsibilities under the NHPA; and

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WHEREAS, the APA will apply to multiple individual but similar undertakings across multiple states, such that the use of a programmatic agreement is appropriate to complete the Section 106 process (36 CFR § 800.14(b)(1)(i)); and

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WHEREAS, the APA does not invalidate NASA's other programmatic agreements or any other of its Section 106 agreements, including project-specific memoranda of agreement (MOAs); and

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WHEREAS, NASA consulted with the ACHP and the National Conference of State Historic Preservation Officers (NCSHPO) pursuant to 36 CFR Part 800; and

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WHEREAS, NASA invited federally recognized Indian Tribes (Tribes) (Appendix C – Indian Tribes Invited to Consult on the APA) and Tribal Historic Preservation Officers (THPOs) with a demonstrated interest in NASA undertakings at its Centers nationwide to consult on the development of this APA pursuant to 36 CFR Part 800; and

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- 259 WHEREAS, NASA invited the National Trust for Historic Preservation (NTHP) and the National 260 Association of Tribal Historic Preservation Officers (NATHPO) to consult (Appendix B – APA Consulting
- 261 Parties); and

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WHEREAS, NASA provided opportunities for public review and comment through formal public notice in the Federal Register [dates of publication here], and through the NASA Environmental Management Division (EMD) Cultural Resources Management web page; and

NOW, THEREFORE, NASA, ACHP, and NCSHPO agree that implementation of the APA in accordance with the following stipulations will allow NASA to meet its responsibilities under Section 106 of the NHPA for the undertakings subject to the APA.

STIPULATIONS

NASA will ensure the following stipulations are carried out for any undertaking for which this APA is used to comply with Section 106.

I. APPLICABILITY

A. Participating Centers

Centers to which the APA applies shall be referred to in the APA as Participating Centers. The APA shall be used to satisfy Section 106 requirements for Projects at NASA Centers that do not have an executed Center-wide programmatic agreement (Appendix J – Participating Centers). If an existing Center-wide programmatic agreement expires or is terminated, that Center shall thereafter be governed by the APA—i.e., it shall be a Participating Center. Should a Center-wide programmatic agreement be executed at a Participating Center, the APA shall no longer apply at that Center.

Procedures for Centers opting-in to the APA after its execution are provided in Stipulation XVII (Adoptability).

B. Exclusion of Projects Affecting National Historic Landmarks (NHLs)

The APA shall not apply to NHLs, including those governed by the 1989 Programmatic Agreement among the National Aeronautics and Space Administration, the National Conference of State Historic Preservation Officers, and the Advisory Council on Historic Preservation (NHL PA), so long as that agreement remains in effect.

C. Applicable Project Types

Projects governed under the APA will fall under one or more of the Project types (APA Project Types) described below.

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1. Repair & Maintenance

Repair & Maintenance activities are those carried out on a routine or regular basis to sustain an Asset for its continued use. Repair & Maintenance Projects are generally localized, small-scale and in-kind actions affecting an Asset, but may also include larger-scale activities affecting a substantial portion of an Asset carried out in response to sudden damage (e.g., weather, accidental) or structural and/or material failure. Repair & Maintenance Projects should not alter the physical appearance of an Asset or diminish its historical integrity, and should not go beyond the existing footprint. Repair & Maintenance includes, but is not limited to: exterior painting; repair of windows and doors, including caulking and weather stripping; repair of roof cladding and sheeting, flashing, gutters, soffits, and downspouts with no change in roof pitch or configuration; routine road maintenance, repair, and resurfacing where work is confined to previously maintained surfaces; and changes to water systems such as water wells, cooling water systems, potable water systems, storm sewers, waste water treatment systems, plant drainage, and plumbing.

2. Interior Modification

Interior Modification is any activity physically affecting an Asset or the contents therein and occurring entirely within the building envelope. Interior Modifications have no impact on the exterior features of an Asset and require no ground disturbance. Interior modification includes, but is not limited to: reconfiguring floor plans; replacing mechanical systems; removing equipment, furniture, and other personal property; renovating bathrooms and kitchens; and seismic retrofits.

3. Exterior Modification within Asset Envelope

Exterior Modification within Asset Envelope includes changes to the exterior of an Asset that do not extend beyond the existing Asset envelope, do not result in additional square footage horizontally or vertically, and do not require ground disturbance beyond the existing footprint. Exterior Modification within Asset Envelope includes, but is not limited to: removal and replacement of exterior materials (e.g., roof sheathing); changes in fenestration (e.g., creating a new window opening or door); removal, replacement, or addition of exterior features (e.g., window replacement, addition of solar shades, removal of entrance canopy, addition of a vent); application of new materials to exterior walls (e.g., synthetic stucco); and replacement of utility lines within an existing utility corridor.

4. New Construction

New Construction includes changes to the exterior of an Asset outside of the existing envelope and utility corridors, resulting in additional square footage horizontally or vertically, an increase in interior space, or greater overall mass and/or size (i.e.,

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additions). It also includes construction of entirely new built Assets. New construction includes, but is not limited to: raising a roof, adding rooms or stories, and enclosing exterior spaces to increase usable space; constructing a building in a former parking lot adjacent to an existing Asset; constructing new sources of power such as a solar farm or substation; and new construction on a previously undeveloped and/or undisturbed site. This Project Type involves ground disturbance.

5. Other Ground Disturbing Activities

Other Ground Disturbing Activities are those that are not associated with New Construction. This may include, but is not limited to: environmental site investigations; environmental testing, monitoring, and remediation; ground water well installation; soil relocation; and vegetation removal such as that required to create lines-of-sight.

6. Mothballing

Mothballing may be undertaken on Assets that are temporarily not in use and have an anticipated reactivation period of more than 36 months. Aimed at preventing significant deterioration, Mothballing includes such activities as: preparation of utility systems and collateral equipment for long-term inactivation; equipment of the interior with appropriate environmental controls; removal of hazardous materials; maintenance of the integrity and appearance of the exterior shell; and assessment of personal property contained therein to determine if it should remain with the facility, be reutilized, or be disposed. Mothballing is providing the least level of maintenance required to maintain functionality for possible future use.

7. Demolition

Demolition is defined as eliminating or reducing underutilized and obsolete real property assets or equipment no longer required for agency missions. Demolition may apply to part of an asset or the total asset. This Project Type may include ground disturbance.

8. Outgrant

Outgrant is the non-permanent transfer of real property rights from NASA to others by means of lease (or any other form of acceptable legal instrument that recognizes NASA as the landlord and the lessee as the tenant); permit; easement; right-of-way; license; Space Act Agreement (SAA); or agreement such as memorandum of understanding (MOU), memorandum of agreement (MOA), or concessionaire agreement. The most common Outgrant for NASA Assets is a lease, which may or may not include restrictions relating to the Asset's historic status. Outgrant may result in, but is not limited to: shared use of a facility; sole use of a facility; granting of new utility easements; or construction of buildings on vacant land.

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9. Transfer

This Project Type is the permanent transfer of real property rights from NASA to others. Transfer may result in, but is not limited to: facility transfer to another federal entity with Section 106 responsibilities; or the divestment of real property to a non-federal entity where NASA no longer has control over the asset.

ROLES AND RESPONSIBILITIES II.

A. NASA

1. NASA Federal Preservation Officer

NASA's Cultural Resource Management Program is directed by the agency's Federal Preservation Officer (FPO), EMD, NASA Headquarters. The FPO provides guidance to the Cultural Resource Managers (CRMs) at each Center. The FPO is responsible for overseeing the implementation of the APA for the agency, including the development of APA programmatic mitigation, and APA-Level Annual Reporting. The FPO shall serve as the liaison between NASA, the ACHP, and the NCSHPO for the purposes of APA implementation, and shall be NASA's decision-maker on amendments or disputes arising from the APA. The FPO shall also notify the NCSHPO and ACHP in writing when the FPO is engaged to resolve disputes at the Center level.

2. NASA Center Cultural Resource Manager

The Center CRM is responsible for implementing NASA Cultural Resource Management Program activities at the Centers. The Center CRM shall be responsible for carrying out the terms of the APA at the Center level, including participation in Center master planning efforts, coordinating with Center Construction of Facilities (CoF) program managers and facilities project managers on the requirements of the APA, and Center-Level Annual Reporting. The CRM is the primary point-of-contact between NASA and Center Consulting Parties, including the relevant State Historic Preservation Officer (SHPO) and Tribes. The Center CRM shall take the lead in ensuring that Gate-to-Gate (G2G) Surveys are carried out consistent with the terms of the APA. The Center CRM is also responsible for ensuring that NRHP evaluations are carried out by qualified personnel, and for entering, updating, and maintaining accurate data, including Asset NRHP evaluation status in relevant databases.

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B. Consulting Parties

1. <u>APA Consulting Parties</u>

The consulting parties to the APA are the ACHP, NCSHPO, NATHPO, the NTHP, and the federally recognized Tribes that elected to participate (Appendix C – Indian Tribes Invited to Consult on the APA). The APA Consulting Parties shall consult with respect to the major provisions of the APA, but shall not participate in consultation at the Center level, unless specifically called to do so under the terms of the APA.

2. Center Consulting Parties

Center Consulting Parties are consulting parties with a demonstrated interest in historic properties at a Participating Center, with which NASA shall consult under the applicable terms of the APA. Center Consulting Parties shall include the respective SHPO for the state in which the Participating Center is located, Center Consulting Tribes per Stipulation II.B.3 (Center Consulting Parties), and other parties consistent with 36 CFR § 800.2. The Center CRM will maintain a list of Center Consulting Parties and will ensure that they are identified in the Center Integrated Cultural Resources Management Plan (ICRMP).

3. <u>Center Consulting Tribes</u>

Center Consulting Tribes have a demonstrated religious or cultural interest in historic and cultural properties at a Participating Center, especially as it relates to ground disturbance.

4. Advisory Council on Historic Preservation

At any time, any of the parties described in Stipulations II.B.1-3 may request ACHP involvement or comment on the implementation of the APA in general or on Projects governed by the procedures set forth in the APA. Such a request for ACHP involvement shall be in writing, copying all signatories, the relevant SHPO, and Center Consulting Parties. If ACHP elects to participate in Project consultation, it shall do so as a Center Consulting Party.

III. PLANNING-LEVEL SURVEYS AND PROPERTY MANAGEMENT TOOLS

The following planning-level surveys and property management tools will be implemented by NASA to front-load identification efforts—both formal survey and evaluation as well as predictive modeling—thereby facilitating early planning and efficient execution of the Project review process as stipulated in this APA.

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A. Built Environment

The APA establishes two management concepts for Assets at Participating Centers: Management Categories, which are assigned to individual Assets based upon known or probable NRHP significance; and Heritage Zones, which are areas within Participating Centers that are recognizable for their unique and distinguishable architectural design aesthetic.

1. Management Categories

Management Categories are defined as follows:

- a. Category 1 Assets are individually listed in the NRHP, or are known or likely to be individually eligible for listing in the NRHP;
- b. Category 2 Assets are listed in the NRHP as contributing resources to a historic district, or are known or likely to be eligible for listing in the NRHP as such; and
- c. Category 3 Assets are known or likely to be ineligible for listing in the NRHP.

Assets that have been evaluated for listing in the NRHP will be assigned a Management Category based upon that evaluation. Assets that have not been evaluated will either be assigned a Management Category based upon probability, or will remain uncategorized until formally evaluated.

The process for categorizing Assets is outlined in Appendix F – Asset Categorization. Unevaluated Assets less than 50 years of age are categorized based on NASA's RSF Model rating. Unevaluated Assets that have reached 50 years of age will be categorized based on their NASA FCCS Class Code (Appendix G – NASA FCCS Class Codes) as shown in the agency Real Property Management System (RPMS). Those in Facility Classes 1, 2, 3, or 6 are considered the most likely to be individually eligible for listing in the NRHP, and shall not be categorized until they are formally evaluated, either as part of a G2G Survey per Stipulation III.A.4 (G2G Architectural Surveys), or at such time as they are within the APE of a Project as identified under Stipulation V (Individual Project Review: Initial Review).

2. Asset Lists

The assignment of Management Categories shall be documented at the Center level in an Asset List, to be appended to the APA (Appendix L – Center Asset List). Upon execution of the APA, a Participating Center shall manage its Assets consistent with the appended Asset List until such time as a G2G Survey is completed under the terms of the APA per Stipulation III.A.4 (G2G Architectural Surveys).

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Asset Lists will be revised and/or updated when one of the following occurs:

- a. A new Asset List is produced as part of the G2G Survey Report under Stipulation III.A.4 (G2G Architectural Surveys);
- b. An Asset is evaluated during Individual Project Review, in which case the Asset List will be updated to reflect the new information; or
- c. The Center CRM and SHPO agree to do so.

Once revised and/or updated, the Center CRM will append the Asset List to the APA (Appendix L – Center Asset List), copying the SHPO and Center Consulting Parties.

3. <u>Heritage Zones</u>

Heritage Zones are defined as discrete areas within NASA Centers that have a unique and distinguishable design aesthetic and are listed in Appendix K – Heritage Zones. They shall be managed under the terms of the APA consistent with Stipulation VII (Individual Project Review: Exterior Modification and New Construction Inside Heritage Zones) and Stipulation VIII (Individual Project Review: Other Projects Inside Heritage Zones). The boundaries of Heritage Zones may be revised if the Center CRM and the SHPO agree to do so. If so, the Center CRM shall update Appendix K – Heritage Zones per Stipulation XXII (Amendments).

4. Gate-to-Gate Architectural Surveys

Within five years of the execution of the APA, a gate-to-gate survey of Assets (G2G Survey) shall be performed at Participating Centers. Thereafter, NASA shall update G2G Surveys every ten years. The survey requirement may be waived if it has been less than ten years since the last G2G survey.

a. Scope of G2G Surveys

As G2G Surveys will support the implementation of the APA, NRHP evaluations will be performed consistent with the following.

i. Unevaluated Assets 40 years of age or older, that are identified in the agency RPMS in Facility Classes 1, 2, 3 and 6 (Appendix G – NASA FCCS Class Codes) shall be the priority in G2G Surveys. Assets will be evaluated as individual resources within the historic contexts most likely to be relevant to the Asset, and as resources that contribute to a historic district. If found individually eligible, the level of significance (i.e., national, State, and/or local) shall be specified.

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- ii. NASA will not evaluate Assets in the agency RPMS in Facility Classes 4, 5, 7 or 8, except as contributing resources to a newly identified or updated historic district. These Assets will automatically be managed as Category 3 Assets unless otherwise identified in G2G Surveys.
- iii. G2G Surveys shall preliminarily identify Assets less than 40 years of age deemed likely to be eligible under NRHP Criterion C and CCG as the work of a master and/or for exceptional architectural design. This need not be a full NRHP evaluation; rather, it is intended only to inform the assignment of a Management Category until a formal NRHP evaluation is performed.
- b. Survey Reports

G2G Survey Reports shall include a comprehensive list of all Assets (Asset List) with the following information.

- i. Building number, building name, date of construction, and FCCS Class Code.
- ii. SHPO resource or site number, if applicable.
- ii. Individual NRHP evaluation status, applicable Criteria, and level of significance; and contributing or non-contributing status within an identified historic district and the name of the historic district.
- iii. For Assets evaluated prior to the current survey effort, the date of their evaluation, and date of SHPO concurrence, if known. If SHPO concurrence was not received, the lack of SHPO objection will be noted.
- iv. Assets preliminarily identified under Criterion C and CCG for architecture.
- v. The assigned Management Category under which the Asset will be managed. Any changes to previously assigned Management Categories will be noted.
- vi. If relevant, Assets located within a Heritage Zone, the name of the Heritage Zone, and any changes to boundaries of the Heritage Zone.

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G2G Survey Reports shall include maps that show the location of all Assets coded according to Management Category and the boundaries of Heritage Zones.

G2G Survey Reports shall be prepared consistent with applicable SHPO standards. Should the SHPO standards be in conflict with the requirements of the APA, then the APA shall take precedence.

c. Review of G2G Survey Reports

The Center CRM shall submit the G2G Survey Report electronically to the SHPO, copying the Center Consulting Parties. The SHPO review period shall be 60 days, unless the Center CRM and the SHPO agree in writing to a specified extension. During this review period, the SHPO may request a site visit to aid in their review of the G2G Survey Report.

i. No SHPO Objection

If the SHPO does not object in writing within the review period, the Center CRM shall finalize the G2G Survey Report per Stipulation III.A.4.d (Final G2G Survey Reports).

ii. SHPO Objection

If within the review period the SHPO objects to NASA's findings, then it shall do so in writing to the Center CRM, copying the Center Consulting Parties, indicating the findings that are in dispute. The Center CRM shall either accept the SHPO's objection and revise NASA's findings or consult to resolve the objection.

If the Center CRM elects to consult, they will schedule a conference call or meeting with the SHPO and shall invite the Center Consulting Parties to participate. The Center CRM and the SHPO shall work to reach consensus on the findings that are the subject of the dispute. If consensus is reached, the Center CRM shall finalize the G2G Survey Report consistent with that consensus.

If the Center CRM determines that consensus cannot be reached within 30 days of the consultation call or meeting, then they shall notify the FPO. The FPO shall determine whether additional consultation to reach consensus is warranted, and if so, the length of the additional consultation period. If consensus is reached within the FPO-determined consultation period, NASA shall finalize the G2G Survey Report consistent with that consensus.

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If consensus is still not reached at the end of the FPO-designated consultation period, the FPO will make a decision on the subject(s) of the dispute, and NASA shall finalize the G2G Survey Report accordingly.

d. Final G2G Survey Reports

NASA's G2G Survey Reports shall be deemed final when the Center CRM sends a copy of the finalized G2G Survey Report to the SHPO, copying the Center Consulting Parties. Findings therein shall govern NASA's management of the built environment under the APA until the next G2G Survey Report is finalized.

B. Archaeology

1. <u>Assessment of Completed Archaeological Studies</u>

Within one year of APA execution, CRMs of Participating Centers shall submit all previously conducted archaeological surveys, evaluations, and predictive models (Archaeological Studies) that meet SHPO standards which have not yet received SHPO concurrence to the SHPO, copying the Center Consulting Tribes. SHPOs and the Center Consulting Tribes shall have 30 days to review and comment on the Archaeological Studies. The Center CRM shall contact the SHPO and Center Consulting Tribes to confirm their intent to comment within the 30-day review period. The Center CRM will consider requests from the SHPO and Center Consulting Tribes to extend the review period to a maximum of 45 days. At the end of the review period, if no party has objected in writing to the Center CRM, then NASA will conclude its assessment of Archaeological Studies per Stipulation III.B.1.b (Final Archaeological Studies).

If, at the end of the specified review period, the SHPO or any of the Center Consulting Tribes have objected to the findings in writing, then the Center CRM shall either accept the objection or consult to resolve the objection per Stipulation III.B.1.a (Review of Archaeological Studies).

a. Review of Archaeological Studies

The Center CRM shall schedule a meeting with the SHPO to consult regarding the disputed findings, and shall invite the Center Consulting Tribes to participate. The parties shall work to reach consensus on the findings that are the subject of the dispute. If consensus is reached, then NASA will conclude its assessment of Archaeological Studies per Stipulation III.B.1.b (Final Archaeological Studies).

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If the Center CRM determines that consensus cannot be reached within 30 days of the consultation call or meeting, then they shall notify the FPO. The FPO shall determine whether additional consultation to reach consensus is warranted, and if so, the length of the additional consultation period. If consensus is still not reached at the end of the FPO-designated consultation period, the FPO will make a decision on the subject(s) of the dispute, and NASA shall conclude its assessment of Archaeological Studies per Stipulation III.B.1.b (Final Archaeological Studies).

b. Final Archaeological Studies

 The Center CRM shall document the results of the assessment of Archaeological Studies in writing, copying the SHPO and Center Consulting Tribes. NASA shall thereafter use the results of the Review of Archaeological Studies to create the Archaeology Management Plan described in Stipulation III.B.2 (Development of Archaeological Management Plan).

2. <u>Development of Archaeology Management Plan</u>

Within three years of the execution of the APA, Participating Centers will create a GIS-based tool that will be used to determine the need for archaeological survey or other archaeological studies under the APA. The tool will include:

a. Mapping of Previous Archaeological Surveys

Each Participating Center will create a GIS data layer that designates areas that have been surveyed for archaeological resources and finalized under Stipulation III.B.1.b (Final Archaeological Studies).

b. Mapping of Archaeological and Cultural Sensitivity

GIS data layers will also include the results of predictive modeling finalized under Stipulation III.B.1.b (Final Archaeological Studies). In the absence of a predictive model finalized under Stipulation III.B.1.b., each Participating Center will develop an archaeological and cultural sensitivity model. The model should include all potential archaeological resources and meet the standards of the respective SHPO.

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Factors that may be considered in the development of the sensitivity model include, but are not limited to:

- i. Previous archaeological surveys and locations of any known sites;
- ii. Historical occupation of and/or activities in the area;
- iii. Geological characteristics of the area; and
- iv. Areas of cultural sensitivity as conveyed to the Center CRM by Center Consulting Tribes.

Participating Centers will create GIS data layers that illustrate areas that have low, moderate, or high archaeological potential based on the sensitivity model. GIS data layers capturing areas of cultural sensitivity to Tribes will be created by NASA in consultation with Center Consulting Tribes to determine the nature and scale of these areas of cultural sensitivity and any potential buffers around them.

c. Archaeological Disturbance Assessment

The archaeological disturbance assessment will identify areas with no potential for archaeological resources and areas characterized as high disturbance, moderate disturbance, and low disturbance.

- i. Areas with no potential are those where no archaeological sites are considered possible (e.g., made land or areas where very heavy grading and/or substantial removal of soil has occurred).
- ii. Areas of high disturbance are those where few-to-no archaeological sites are considered likely (e.g., areas where moderate-to-heavy grading and removal of soil has occurred, highly developed areas with a high concentration of infrastructure and underground utilities, and areas where soil remediation has occurred).
- iii. Areas of moderate disturbance are those where archaeological sites may be intact, despite some level of disturbance (e.g., infrastructure exists, but may be limited on a geographic scale both vertically and horizontally, such as a parking lot or ball field).
- iv. Areas of negligible disturbance are those where little-to-no ground disturbance has occurred (e.g., greenfield sites).

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d. Review of Archaeology Management Plan

The Center CRM shall submit the draft Archaeology Management Plan electronically to the SHPO, copying the Center Consulting Tribes, for review. The SHPO and Center Consulting Tribes shall have 30 days to review the plan and provide written comments to the Center CRM. The Center CRM shall contact the Center Consulting Tribes to confirm the Tribes intent to comment within 30 days from receipt of the draft plan.

i. No Objection

If the SHPO and Center Consulting Tribes do not object within the 30-day review period, then the Center CRM shall finalize the plan and submit an electronic copy to the SHPO and Center Consulting Tribes.

ii. Objection

If the SHPO or Center Consulting Tribes object to the findings of the plan within the 30-day review period, the Center CRM shall notify the FPO. The FPO shall determine whether additional consultation to reach consensus is warranted, and if so, the length of the additional consultation period. If consensus is reached within the FPO-determined consultation period, the Center CRM shall finalize the plan consistent with that consensus and submit an electronic copy to the SHPO and Center Consulting Tribes.

If consensus is still not reached at the end of the FPO-designated consultation period, the FPO will make a decision on the subject(s) of the dispute. Thereafter the Center CRM shall finalize the plan, submit an electronic copy to the SHPO and Center Consulting Tribes.

e. Updating the Archaeology Management Plan

NASA will revise and update the GIS data set as needed, but minimally every five years. If there have been no substantive changes to a NASA Center five years after the GIS tool is completed (e.g., new construction), then the next update may be deferred for an additional five years (i.e., ten years total).

3. <u>Using the Archaeology Management Plan</u>

The Center CRM shall apply the data in the Archaeology Management Plan to determine the need for archaeological and cultural studies for a Project involving ground disturbance. If an area has been previously surveyed archaeologically, the Center CRM shall use the findings of that survey to complete Individual Project Review.

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If an area has not been previously surveyed, the Center CRM shall determine the level of archaeological work that may be required consistent with the matrix below.

Assessment Matrix for Areas Not Previously Surveyed

	Level of Previous Ground Disturbance			
Archaeological Sensitivity	No Potential	High Disturbance	Moderate Disturbance	Negligible Disturbance
Low Archaeological Potential	No survey	No survey	No survey	No survey
Moderate Archaeological Potential	No survey	No survey	Archaeological survey	Archaeological survey
High Archaeological Potential	No survey	No survey	Archaeological survey	Archaeological survey

Irrespective of archaeological potential and disturbance, if an area has been determined to be culturally sensitive by Center Consulting Tribes, the Center CRM will consult with the Center Consulting Tribes to determine the best path forward. If a Center Consulting Tribe requests archaeological monitoring during Individual Project Review, it will be done in accordance with Stipulation XIV (Archaeological Monitoring).

4. Archaeological Survey

If an archaeological survey is conducted, then NASA shall do so consistent with SHPO requirements. The Center CRM will consider Center Consulting Tribe requirements, if provided in writing. The Center CRM shall submit the archaeological survey to the SHPO, and Center Consulting Tribes if precontact resources are documented, for review. SHPO and Center Consulting Tribes, if applicable, shall have 30 days to review the report and provide written comments to the Center CRM. The Center CRM shall contact the Center Consulting Tribes to confirm their intent to comment within 30 days from receipt of the draft report.

a. No Objection

If the SHPO and Center Consulting Tribes do not object within the 30-day review period, then the Center CRM shall finalize the report, submit an electronic copy to the SHPO and Center Consulting Tribes, if applicable, and update the Archaeology Management Plan accordingly.

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b. Objection

If the SHPO or Center Consulting Tribes, if applicable, object to the findings of the archaeological survey within the 30-day review period, the Center CRM shall notify the FPO. The FPO shall determine whether additional consultation to reach consensus is warranted, and if so, the length of the additional consultation period. If consensus is reached within the FPO-determined consultation period, the Center CRM shall finalize the report consistent with that consensus, submit an electronic copy to the SHPO and Center Consulting Tribes, if applicable, and update the Archaeology Management Plan accordingly.

If consensus is still not reached at the end of the FPO-designated consultation period, the FPO will make a decision on the subject(s) of the dispute. Thereafter the Center CRM shall finalize the report, submit an electronic copy to the SHPO and Center Consulting Tribes, if applicable, and update the Archaeology Management Plan accordingly.

IV. INCORPORATION OF HISTORIC PRESERVATION IN CENTER PLANNING

NASA shall make a reasonable and good faith effort to identify feasible alternatives to adverse effects in the earliest possible stages of Project planning. For Projects within identified Heritage Zones, NASA shall give special consideration to the maintenance of the design aesthetic, and shall, to the extent possible while meeting mission requirements, ensure New Construction is compatible with the Heritage Zone aesthetic.

A. Center Master Plan (CMP)

1. Consulting Party Review of CMP

NASA shall engage the Center Consulting Parties during the development of the CMP to allow for consideration of alternatives to avoid adverse effects to historic properties. The Center CRM shall deliver a draft CMP electronically to the SHPO, copying the Center Consulting Parties. The SHPO will have 30 days to review and comment upon the draft. NASA shall maintain a record of potential adverse effects to historic properties discussed during the CMP update process, the alternatives considered, and the final recommendations integrated into the CMP.

2. <u>Integration of Historic Properties into CMP</u>

CMPs shall integrate information about historic properties and Heritage Zones into the development plans. Heritage Zones shall be identified as areas of heightened design sensitivity subject to consultation under the APA.

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895 B. Integrated Cultural Resources Management Plans (ICRMPs) 896

Participating Center ICRMPs will be updated to include information relevant to the APA, including:

- 1. A copy of the executed APA, or link to its location;
- 2. Asset List for the Center;

- 3. Maps and descriptions of Heritage Zones; and
- 4. Standard Operating Procedures (SOPs) for Center G2G Surveys consistent with the APA.

C. Heritage Zone Design Guidelines

Participating Centers with Heritage Zones may elect to develop Heritage Zone Design Guidelines in consultation with SHPO to manage Projects within Heritage Zones in a manner that preserves the unique and distinguishable design aesthetic. Heritage Zone Design Guidelines must be reviewed by and concurred with by the SHPO.

If a Heritage Zone falls within an identified NRHP-eligible or listed historic district, and that Center has SHPO-approved historic district design guidelines for that historic district, then those design guidelines may be used in lieu of Heritage Zone Design Guidelines.

D. Periodic Construction of Facilities (CoF) Program Review

The Center CoF Program Manager and the Center CRM shall meet periodically to discuss Projects planned for the upcoming calendar year. At this meeting, the Center CoF Program Manager and Center CRM shall identify those Projects with the potential to adversely affect historic properties, and discuss the requirements of the Section 106 process for those Projects. Where applicable, Center Consulting Party comments offered during Center master planning and the steps taken by NASA to consider alternatives to adverse effects to historic properties will be reviewed.

V. INDIVIDUAL PROJECT REVIEW – INITIAL REVIEW

When a Project is presented to the Center CRM for review, the Center CRM will review the Project information and determine the appropriate process to be followed under the APA.

For Outgrant Projects, the Center CRM may elect to define the undertaking as the Outgrant, including all Projects that are reasonably foreseeable under the Outgrant, or they may elect to treat any Project that arises out of the Outgrant as an individual undertaking and proceed according to Individual Project Review.

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A flow chart for Stipulation V is included in Appendix E – Individual Project Review Flow Charts.

A. Initial Screening for Programmatic Allowance Applicability

If the Center CRM determines that all aspects of the Project meet the Programmatic Allowances ("excluded" Project) per Appendix H – Programmatic Allowances, then the Center CRM shall document this determination for inclusion in the Center-Level Annual Report (Appendix M – Center-Level Annual Report). The Project may proceed without further action under the APA, notwithstanding unanticipated discoveries per Stipulation XV (Unanticipated Studies). Any cumulative or adverse effects that may occur as a result of the application of a Programmatic Allowance will be mitigated through Programmatic Mitigation per Stipulation X (Treatment Measures).

If a project is not excluded from further review per a Programmatic Allowance, the Center CRM will continue to Stipulation V.B (Identification of Affected Properties).

B. Identification of Affected Properties

1. Defining the Project APE

The Project Area of Potential Effects (APE) shall be defined using the table below. For multi-component Projects, the APE shall be the aggregate of all component APEs.

Project Type	APE
Exterior Modification within Asset Envelope	Asset Envelope
New Construction	Asset Envelope, and Limits of Disturbance (LOD)
Other Ground Disturbing Activities	LOD
Demolition	Asset Envelope
Outgrant	Variable
Transfer	Asset envelope, and Parcel

2. Identification of Properties in the APE

 The CRM shall consider whether any of the following are located within the APE:

 a. A Heritage Zone (defined in Appendix K – Heritage Zones); or

 b. A Category 1 Asset (per Appendix L – Center Asset List); or

c. A NRHP-eligible or potentially eligible archaeological site or Tribal cultural resource.

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If a built Asset within the APE is not included in the Asset List, then the Center CRM shall assign a Management Category per Appendix F – Asset Categorization. Should Appendix F indicate that the Asset should be evaluated, then the Center CRM shall either: assume that the Asset is individually eligible for listing in the NRHP (i.e., Category 1); or formally evaluate the Asset and obtain SHPO concurrence prior to determining the applicable procedures under the APA.

If the presence or absence of NRHP-eligible or potentially eligible archaeological sites or Tribal cultural resources within the APE is unknown, the Center CRM will refer to the Archaeological Management Plan and Assessment Matrix for Areas Not Previously Surveyed to determine the approach to make a good-faith effort to identify such resources.

If the CRM determines that none of the situations apply, then the Center CRM shall document this determination for inclusion in the Center-Level Annual Report (Appendix M – Center-Level Annual Report). The Project may proceed without further action under the APA, notwithstanding unanticipated discoveries per Stipulation XV (Unanticipated Discoveries). Any cumulative or adverse effects that may occur as a result of concluding the process at this point will be mitigated through Programmatic Mitigation per Stipulation X (Treatment Measures).

If the CRM determines that any of the situations apply, then the CRM will proceed to the appropriate Stipulation:

- d. Stipulation VI (Individual Project Review: Projects Outside Heritage Zones);
- e. Stipulation VII (Individual Project Review: Exterior Modification or New Construction Inside a Heritage Zone); or
- f. Stipulation VIII (Individual Project Review: All Other Projects Inside a Heritage Zone).

VI. INDIVIDUAL PROJECT REVIEW: PROJECTS OUTSIDE OF HERITAGE ZONES

For any non-excluded Project outside of Heritage Zones that contain Category 1 Assets and/or eligible or potentially eligible archaeological sites or Tribal cultural resources, the following stipulations shall apply.

A flow chart for Stipulation VI is included in Appendix E – Individual Project Review Flow Charts.

1015	A.	The Center CRM will make a determination of effect.
1016		
1017		event of a likely adverse effect, NASA will first consider whether the Project can be
1018	teasibl	y altered to avoid or minimize adverse effects.
1019		
1020	В.	The Center CRM will initiate consultation via a Notification Letter, per Stipulation IX.A
1021	(Notific	cation Letter).
1022		
1023	C.	If objections are received in response to the Notification Letter, the Center CRM will
1024	follow	the process outlined in Stipulation IX.B (Resolving Objections) to resolve the objection.
1025		
1026	D.	No Adverse Effects
1027		
1028	If the C	Center CRM does not receive any objections to a determination of no adverse effect, the
1029	Project	t may proceed without further action under the APA, notwithstanding unanticipated
1030		eries per Stipulation XV (Unanticipated Discoveries).
1031		
1032	E.	Adverse Effects
1033		
1034	If a Co	ategory 1 Asset will be adversely affected, then NASA will complete recordation of the
1035	Asset p	per Stipulation X.C (Recordation of Category 1 Assets).
1036	·	
1037	lf eligik	ole archaeological historic properties will be adversely affected, then NASA will develop
1038	an Arc	haeology Mitigation Plan per Stipulation X.D (Archaeology Mitigation Plan).
1039		
1040	VII. INDIVI	DUAL PROJECT REVIEW – EXTERIOR MODIFICATION AND NEW CONSTRUCTION
1041	INSIDE HERITA	AGE ZONES
1042		
1043	For any non-ex	xcluded Project that includes either Exterior Modification or New Construction, where
1044	the APE includ	es any portion of a Heritage Zone, the following stipulations shall apply.
1045		
1046	A flow chart fo	or Stipulation VII is included in Appendix E – Individual Project Review Flow Charts.
1047		
1048	A.	The Center CRM will make a determination of effect.
1049		
1050	In the	event of a likely adverse effect, NASA will first consider whether the Project can be
1051	feasibl	y altered to avoid or minimize adverse effects.
1052		
1053	В.	The Center CRM will initiate consultation via a Notification Letter, per Stipulation IX.A
1054	(Notific	cation Letter).
1055	`	•
1056	C.	If no objections are received, the Center CRM will proceed to Stipulation VII.E (No
1057	Advers	e Effects) or Stipulation VII.F (Adverse Effects) as appropriate.
1058		

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D. If objections are received in response to the Notification Letter, NASA shall proceed according to the following steps.

1061

1. Continued Consultation

During Phase A (Concept Development) of the CoF Project Life Cycle, and prior to the 60% design stage, the Center CRM will invite the SHPO and Center Consulting Parties to a consultation meeting that will be attended by the Center FPM and the Center CRM. If the Project proponent is a tenant, a representative of the tenant with decision-making authority will be present. The FPO, ACHP, and additional relevant Center personnel shall be notified of the consultation meeting and invited to attend.

The consultation meeting will include a detailed presentation of the Project purpose and need, Project details, and alternatives considered. After the consultation meeting, the SHPO, ACHP, and Center Consulting Parties shall have 15 days to provide the Center CRM with suggestions of ways to avoid or minimize adverse effects in writing. NASA shall evaluate the feasibility of suggestions received.

2. <u>Project Revisions</u>

If at any time, NASA elects to revise the Project to avoid diminishment of the CDF of the Heritage Zone and/or to avoid adverse effects to historic properties within it, then the Center CRM shall prepare a formal addendum (Addendum) to the Notification Letter prepared under Stipulation IX.A (Notification Letter) with a revised Project description and finding of effect.

- a. If no objection is received within 15 days of the Addendum, the Center CRM shall proceed with the revised Project per Stipulation VII.E (No Adverse Effect).
- b. If an objection is received, the Center CRM may elect to continue consultation, or they may accept a determination of adverse effects, and proceed per Stipulation VII.F (Adverse Effect).

If avoidance is not feasible, NASA may elect to incorporate minimization measures proposed during consultation. NASA will resolve adverse effects per Stipulation VII.F.1.

E. No Adverse Effects

If the Center CRM does not receive any objections to a determination of no adverse effect, or if a determination of no adverse effect results from consultation per Stipulation VII.C or VII. D, the project may proceed without further action under the APA, notwithstanding unanticipated discoveries per Stipulation XV (Unanticipated Discoveries).

1103		F.	Adverse Effects
1104			
1105			1. If NASA determines that it cannot avoid diminishment of the CDF of the
1106			Heritage Zone, or adverse effects to historic properties located within it, then NASA
1107			shall develop a Heritage Zone Mitigation Plan per Stipulation X.B (Heritage Zone
1108			Mitigation Plan). The plan will reflect the scale and extent of adverse effects reflective
1109			of any minimization measures that were incorporated based upon comments received
1110			during consultation.
1111			
1112			2. In the event that the only adverse effects of the Project are to NRHP-eligible archaeological sites, then NASA shall develop an Archaeology Mitigation Plan per
1114			Stipulation X.D (Archaeology Mitigation Plan).
1115 1116 1117	VIII.	INDIV	VIDUAL PROJECT REVIEW — ALL OTHER PROJECTS INSIDE HERITAGE ZONES
1117	Foral	Lothorr	non-excluded Projects where the APE includes any portion of a Heritage Zone, including
1119			nd Transfer to non-federal entities, the following stipulations shall apply.
1120	۸ (۱	1 . (
1121	A flow	/ chart to	or Stipulation VIII is included in Appendix E — Individual Project Review Flow Charts.
1122		٨	The Center CDM will realize a determinent on a fall at
1123 1124		A.	The Center CRM will make a determination of effect.
1124		In tha	avent of a likely advarge effect NIASA will first consider whether the Project can be
1126			event of a likely adverse effect, NASA will first consider whether the Project can be oly altered to avoid or minimize adverse effects.
1127		ieusin	ony difered to dvoid of fillifillize ddverse effects.
1128		В.	The Center CRM will initiate consultation via a Notification Letter, per Stipulation IX.A
1129			fication Letter).
1130		(14011)	iculion Lener).
1131		C.	If objections are received in response to the Notification Letter, the Center CRM will
1132			the process outlined in Stipulation IX.B (Resolving Objections) to resolve the objection.
1133		IOIIOW	while process confined in supplication in a (Nesolving Objections) to resolve the objection.
1134		D.	No Adverse Effects
1135		υ.	110 / laverse Energy
1136		If the	Center CRM does not receive any objections to a determination of no adverse effect, the
1137			ct may proceed without further action under the APA, notwithstanding unanticipated
1138			veries per Stipulation XV (Unanticipated Discoveries).
1139		disco	vertes per supulation XX (Gridimelpared Discovertes).
1140		E.	Adverse Effects
1141			Adverse Effects
1142		If adv	verse effects to the built environment will result, then NASA will develop a Heritage Zone
1143			ation Plan per Stipulation X.B (Heritage Zone Mitigation Plan).
1144		90	
1145		If adv	rerse effects are limited to archaeological historic properties, then NASA will develop an
1146			geology Mitigation Plan per Stipulation X.D (Archaeology Mitigation Plan).

1147	IX.	INDIV	IDUAL PROJECT REVIEW – CONSULTATION			
1148						
1149 1150			ultation is required pursuant to Individual Project Review Stipulations VI, VII, or VIII, the Center and Center Consulting Parties will proceed with the following.			
1151						
1152		A.	Notification Letter			
1153						
1154		The C	Center CRM shall send a notification letter (Notification Letter) to the SHPO, copying the			
1155		Cente	er Consulting Parties, that, at a minimum, provides the following information:			
1156						
1157			1. A description of the Project, stating the relevant APA Project Type(s), with a			
1158			timeline, if known;			
1159						
1160			2. A map of the APE;			
1161			2 If and both the bound for the Heller Town 7 and ()			
1162 1163			3. If applicable, the boundaries of the Heritage Zone(s);			
1164			4. The Assets located within the APE and their corresponding Management			
1165			Category;			
1166			Calegory,			
1167			5. If the Project involves ground disturbance outside of the Asset footprint, a list			
1168			of the archeological sites within the APE (if surveyed) or the archaeological potential			
1169			within the APE (if unsurveyed) based upon the Archaeology Management Plan;			
1170						
1171			6. NASA's determination of effect;			
1172						
1173			7. If applicable, the avoidance alternatives considered by NASA and the reasons			
1174			for their rejection; and			
1175						
1176			8. NASA's intended path forward under the APA including the relevant mitigation			
1177			measures, if applicable.			
1178		TI C				
1179			HPO and Center Consulting Parties shall have 30 days to review the Notification Letter			
1180 1181			provide comments in writing to the Center CRM, including any recommendations for certifications to avoid adverse effects, as appropriate.			
1182		projec	ci revisions to avoid daverse effects, as appropriate.			
1183		В.	Resolving Objections			
1184		ъ.	Resolving Objections			
1185		If obie	ections are received, NASA will consider the comments and issue a response, indicating			
1186			A's intent to revise the determination of effect, NASA's intent to revise the Project based			
1187			e comments, or NASA's intent to move forward with the Project without revisions.			
1188			after, the Center CRM shall proceed according to the relevant stipulation.			
1189						

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Objections received pursuant to Stipulation VII.D will proceed according to the process specifically outlined in that stipulation.

X. TREATMENT MEASURES

A. Programmatic Mitigation

As programmatic mitigation for adverse effects to historic properties from Projects not otherwise mitigated, individually or cumulatively, under the APA stipulations, NASA will create a new public website where it will maintain an interactive digital map (Digital Map) for each Participating Center, reflecting their current condition, identifying extant Category 1 Assets, historic districts, and other cultural resources of interest, and linking to image, video, and textual resources that describe the historical use and significance of the highlighted cultural resources. The Digital Map will show current satellite imagery, with georeferenced documentary/historic aerials and maps that depict the Centers during several time periods, one of which will be during or immediately after the Center's initial build-out. The Digital Map will serve as an intuitive online portal to existing and archived data such as documentary/historic photography, Historic American Buildings Survey (HABS) or Historic American Engineering Record (HAER) recordation, architectural survey reports, primary resource material such as videos and oral history interviews, and other resources as appropriate.

NASA shall have two years from the execution of the APA to complete the initial build-out of the Digital Map. Once the initial build-out is completed, NASA shall regularly update and add to the Digital Map as documentation of Assets under the APA and other relevant historical information becomes available. NASA shall report on development of the Digital Map annually under Stipulation XVI (Annual Reporting), and shall provide the respective SHPOs and consulting parties to the APA the opportunity to review and comment on the Digital Map on an annual basis, including ways to enhance the product and maximize public exposure.

NASA shall maintain the Digital Map for the duration of the APA. Prior to the anticipated termination or expiration, NASA shall consult with the ACHP and NCSHPO to develop a plan for the maintenance of the Digital Map by NASA or another entity or entities, or if no such entity is identified, a plan for the permanent curation of the information contained in the Digital Map.

B. Heritage Zone Mitigation Plan

If NASA determines that it cannot avoid diminishment of the CDF of the Heritage Zone, or adverse effects to historic properties located within the Heritage Zone, then NASA shall develop a mitigation plan.

NASA shall develop a Heritage Zone Mitigation Plan with proposed measures addressing the Heritage Zone and/or historic properties within the Heritage Zone. If an NRHP-eligible

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archaeological site will be adversely affected, then the Heritage Zone Mitigation Plan must address archaeological as well as aboveground resources. The Center CRM shall submit the Heritage Zone Mitigation Plan electronically to the SHPO, copying the ACHP and Center Consulting Parties. The SHPO, ACHP, and Center Consulting Parties shall have 30 days to review the Heritage Zone Mitigation Plan and to provide written comments. If the SHPO, ACHP, or any Center Consulting Party objects to the mitigation plan, then NASA shall consult with the party to resolve the objection for up to 30 days. If an agreement is reached, it shall be documented in writing from the Center CRM to the SHPO, ACHP, and Center Consulting Parties.

If no agreement is reached, then the FPO shall review and consider the input of the SHPO, ACHP, and Center Consulting Parties and render a final decision on the Heritage Zone Mitigation Plan. This decision will be documented in a formal letter from the FPO to the ACHP, copying the SHPO and Center Consulting Parties.

If, at any point during consultation on the Heritage Zone Mitigation Plan, NASA elects to revise the Project to avoid diminishment of the CDF of the Heritage Zone, and adverse effects to historic properties located within the Heritage Zone, then consultation shall be resolved per Stipulations VII.D.2 (Project Revisions).

C. Recordation of Category 1 Assets

If NASA determines that adverse effects to a Category 1 Asset cannot be avoided, NASA shall prepare digital photographic recordation conforming to the most recent NPS NRHP requirements for digital images. The number of views will be sufficient to capture the exterior and, if applicable and feasible, interior CDF of the Asset, and will include, at a minimum, the following.

1. Exterior Views

- a. 10 views of the Asset within its larger context;
- b. 8 views of the entire Asset such that all sides are documented; and
- c. Details of CDFs.

2. Interior Views (if applicable and feasible)

- a. Multiple views of each primary public space (e.g., lobbies);
- b. Representative views of major circulation spaces (e.g., hallways);

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- c. Multiple views of functionally significant work spaces (e.g., laboratories, clean rooms, test chambers), including equipment, instruments, and furniture; and
- d. Representative views of administrative spaces (e.g., offices, meeting rooms).

NASA shall undertake recordation of the Asset prior to commencing work. Upon completion, the Center CRM shall submit an electronic copy of the final recordation package to the SHPO, and shall link the electronic version of the recordation package to the Digital Map.

If the Asset has been previously recorded to these or higher standards, such as HAER Level 1 or Level 2 recordation, then NASA will not be required to undertake additional photo recordation under this stipulation. Rather, NASA shall make the existing recordation available via the Digital Map and shall have no further requirements under this stipulation.

D. Archaeology Mitigation Plan

If mitigation of an NRHP-listed, eligible, or potentially eligible archaeological site is necessary, then NASA, in consultation with the SHPO and Center Consulting Tribes, shall develop a written Archaeology Mitigation Plan that outlines measures to resolve adverse effects to the site, and shall submit it electronically to the SHPO and Center Consulting Tribes. The Mitigation Plan may include alternative mitigations, rather than data recovery measures, that contribute towards the public's understanding of the historical significance of NASA-owned or -controlled archaeological resources. The Archaeology Mitigation Plan shall include a scope of work with sufficient detail that it could be used as the basis for solicitation of bids.

SHPO and Center Consulting Tribes shall have 30 days to review the Archaeology Mitigation Plan and provide written comments to the Center CRM. The Center CRM shall contact the SHPO and Center Consulting Tribes to confirm their intent to comment within 30 days from receipt of the Mitigation Plan. NASA will consider requests from the SHPO and Center Consulting Tribes to extend the review period to 45 days. If no objection is received from the SHPO or any of the Center Consulting Tribes within the specified review period, then the Center CRM may finalize the Archaeology Mitigation Plan and submit an electronic copy to the SHPO and Center Consulting Tribes.

If the SHPO or any Center Consulting Tribe objects to the Archaeology Mitigation Plan, then the Center CRM shall either:

- 1. Convene a meeting between the SHPO and Center Consulting Tribes to discuss the disagreement and work towards a consensus resolution; or
- 2. Forward its findings, along with the SHPO's comments and Center Consulting Tribes' comments, to the FPO for a decision. The FPO shall have 30 days to

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reference herein. Definitions specific to the APA are listed in Appendix A – Definitions.

render its binding decision, which shall be communicated in writing to the

The definitions in 36 CFR Part 800 are applicable to the APA and are incorporated by

Anything "written" and "in writing" refers to hardcopy or electronic communication. All

SHPO and Center Consulting Tribes, copying the Center CRM.

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В.

GENERAL PROVISIONS

Stipulation XIV (Unanticipated Discoveries).

1330		communications, submissions, and reporting shall be in writing and delivered electronically,
1331		unless a specific request for a hardcopy is made to the issuing party in writing or it is required
1332		by SHPO standards.
1333		
1334		C. Unless otherwise indicated, "days" shall mean calendar days.
1335		
1336	XII.	TRAINING
1337		
1338	NASA	shall provide training to NASA personnel with responsibilities under the APA. Training materials
1339	will be	e provided to the APA Consulting Parties and Center Consulting Parties on request.
1340		
1341	XIII.	QUALIFICATIONS FOR SURVEY AND EVALUATION
1342		
1343	NRHP	evaluations carried out in the execution of the APA shall be performed by or under the direct
1344	super	vision of a person who meets the appropriate Secretary of the Interior's Professional
1345	Quali	fications Standards (48 Federal Regulation [F.R.] 44738-9) in an applicable discipline.
1346		
1347	XIV.	ARCHAEOLOGICAL MONITORING
1348		
1349		es where monitoring is requested by a Center Consulting Party, at least one archaeological
1350		or will be present. Monitoring can be conducted by the Center CRM. This person does not need
1351		et SOI standards for archaeology, however they must consult an SOI-qualified archaeologist
1352	•	to and during all monitoring activities. The SOI-qualified archaeologist does not need to be
1353	prese	nt on site.
1354		
1355		ugh consultation, a Center Consulting Tribe requests that a Tribal monitor(s) be present, NASA
1356		onsider this request, taking mission and timing requirements into consideration, and ensure
1357	additi	onal Tribal monitors are present to the greatest extent feasible.

A memo detailing the results of the ground disturbance will be drafted and included with the Annual

Report per Stipulation XVI (Annual Reporting). If cultural materials are found, NASA shall proceed per

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XV. UNANTICIPATED DISCOVERIES

NASA shall make all reasonable efforts to avoid disturbing gravesites, including those containing Native American human remains and associate funerary artifacts. If previously unidentified archaeological historic properties or human remains are discovered during ground disturbing activities, NASA shall respond as directed in the Center ICRMP SOP and the ACHP Policy Statement on Burial Sites, Human Remains, and Funerary Objects (March 1, 2023).

XVI. ANNUAL REPORTING

Each year following the execution of the APA until it expires or is terminated, Center CRMs shall prepare an annual report (Annual Report (Center Level)) and distribute it to the SHPO, Center Consulting Parties, and the FPO. The Center Level Annual Report will include:

A. A list of all Projects excluded from review under the APA pursuant to the Programmatic Allowances (Appendix H – Programmatic Allowances), citing the applicable allowance;

B. A summary of Projects resolved through completion of standard mitigation measures;

C. A summary of Projects for which a Heritage Zone Mitigation Plan or Archaeology Mitigation Plan was completed;

D. Projects for which archaeological monitoring was conducted in lieu of survey; and

E. Unanticipated discoveries made and the outcome.

The FPO shall use the Center-Level Annual Reports to prepare an APA-Level Annual Report for submission to the APA Consulting Parties. This Report will include a general summary and assessment of APA implementation, with any recommended improvements or amendments. Within 60 days of the FPO's submittal of the APA-Level Annual Report, any signatory may request that the FPO convene a meeting with the APA Consulting Parties to review the implementation of the APA, any problems encountered, any disputes and objections received, and suggestions for improvements to APA implementation.

XVII. ADOPTABILITY

A. Center Adoption After APA Execution

Prior to the expiration or termination of a Center-wide programmatic agreement, the Center CRM shall notify the FPO in writing, copying the SHPO and Center Consulting Parties, and shall take steps as appropriate to have the necessary management tools in place to enable its compliance with the APA as a Participating Center. The Center CRM shall be responsible for ensuring that the following actions are carried out.

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1. <u>Heritage Zones</u>

The Center CRM shall consult with the FPO, SHPO, and Center Consulting Parties to determine if one or more Heritage Zone is present at the Center, and if so, to define the boundaries of the Heritage Zone(s). The Heritage Zone(s) shall be defined, with SHPO concurrence, by the time the existing Center-wide programmatic agreement expires or is terminated.

2. Asset List

The Center CRM will use the Asset Categorization procedures in Appendix F – Asset Categorization to prepare an Asset List consistent with Stipulation III.A.2 (Asset Lists), and shall submit the Asset List to the SHPO, copying Center Consulting Parties, for review. The initial Asset List shall be defined, with SHPO concurrence, by the time the existing Center-wide programmatic agreement expires or is terminated.

3. <u>Archaeology Management Plan</u>

Within one year of its entry into the APA as a Participating Center, the Center CRM will complete the assessment of completed archaeological studies consistent with Stipulation III.B.1 (Assessment of Archaeological Studies). Within three years of its entry into the APA, the Center CRM will have in place an Archaeology Management Plan consistent with Stipulation III.B.2 (Development of Archaeology Management Plan).

Within 1 year, NASA will update the Digital Map with information for the Participating Center comparable to that developed for other Participating Centers under Stipulation X.A (Programmatic Mitigation).

The FPO shall be responsible for revising Appendix J – Participating Centers to reflect the addition of a Participating Center in coordination with ACHP and NCSHPO.

B. Federal Agencies

In order to ensure a consistent management approach at Participating Centers, other federal agencies who conduct work on NASA's real property Assets (e.g. DOT, DOD, NPS, USFWS) and who are designated as the lead federal agency for Section 106, may utilize the terms of the APA to fulfill their Section 106 responsibilities. The other federal agency will coordinate with NASA to ensure that any Center-level annual reporting requirements are met. Additionally, the other federal agency will ensure that any notifications required by the APA will clearly state that it is utilizing the APA to fulfill its Section 106 responsibilities.

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XVIII. ANTI-DEFICIENCY ACT

NASA's future efforts to execute requirements arising from the stipulations of the APA are subject to the provisions of the Anti-Deficiency Act. If compliance with the Anti-Deficiency Act alters or impairs NASA's ability to implement the stipulations of the APA, NASA shall consult in accordance with the amendment and termination procedures found in Stipulation XXII (Amendments) and Stipulation XXIII (Termination) of this Agreement. No provision of the APA shall be interpreted to require obligation or payment of funds in violation of the Anti-Deficiency Act, Title 31 U.S.C. Part 1341.

XIX. HANDLING OF CONTROLLED UNCLASSIFIED INFORMATION

In the performance of this Agreement, the non-NASA Parties may have access to, be furnished with, or use U.S. Government data, the use and dissemination of which, the Government intends to control. With respect to data specifically marked with a restrictive notice, including but not limited to "Controlled Unclassified Information (CUI)," the non-NASA Parties agree to:

A. Use, disclose, or reproduce the data only as necessary under the APA;

B. Safeguard the data from unauthorized use and disclosure;

C. Allow access to the data only to its employees and related entities (i.e., contractors, subcontractors, grantees, or other entities having a legal relationship with NASA, the SHPO, or ACHP that is assigned, tasked, or contracted to perform activities under this Agreement);

D. Except as provided elsewhere in this stipulation, preclude disclosure of the data outside the parties' organizations;

E. Notify its employees who may require access to the data about the obligations under this clause and ensure that such employees comply with such obligations, and notify its related entities that may require access to the data about their obligations under this clause; and

F. Return or dispose of the data, as NASA may direct, when the data is no longer needed for performance under this Agreement.

In the event that data exchanged between NASA and the parties include a legend that the non-NASA parties deem to be ambiguous or unauthorized, the non-NASA parties may inform NASA of such condition. Notwithstanding such a legend, as long as such legend provides an indication that a restriction on use or disclosure was intended, the party receiving such data shall treat such data pursuant to the requirements of this clause unless otherwise directed, in writing, by NASA.

Notwithstanding any restrictions on use, disclosure, or reproduction of data provided in this clause, the parties will not be restricted in the use, disclosure, and reproduction of any data that:

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- 1493 G. Is publicly available at the time of disclosure or becomes publicly available without 1494 breach of this Agreement;
 - H. Is known to, in the possession of, or developed by the receiving party independent of carrying out the receiving party's responsibilities under this Agreement and independent of any disclosure of, or without reference to, proprietary data or otherwise protectable data hereunder;
 - I. Is received from a third party having the right to disclose such information without restriction; or
 - J. Is required to be produced by the receiving party pursuant to a court order or other legal requirement. If a non-NASA party believes that any of the events or conditions that remove restriction on the use, disclosure, and reproduction of the data apply the non-NASA party will promptly notify NASA of such belief prior to acting on such belief, and, in any event, will notify NASA prior to an unrestricted use, disclosure, or reproduction of such data.

To the extent authorized by federal law (including Section 304 of the NHPA; 36 CFR 800.11(c)(1); 54 U.S.C. § 307103; Section 9 of the Archaeological Resources Protection Act; 16 U.S.C. § 470hh); and the Freedom of Information Act, 5 U.S.C. 552), NASA, the ACHP, and the NCSHPO shall withhold from public disclosure information about the nature or location of cultural resources, including archaeological resources, historic properties, and resources of religious and cultural significance to Indian tribes. NASA shall consult with the Secretary of the Interior pursuant to the ACHP's regulations (at 36 CFR § 800.11(c)(1)) as necessary to withhold information covered by Section 304 of the NHPA.

XX. DURATION

This Agreement shall remain in full force and effect for 15 years after the date of the last signatory's signature. During the 14th year of the APA's duration, the FPO shall convene a meeting of the signatories to consult on an extension of this Agreement. Should the signatories agree to extend the duration of the APA for an additional period, they shall do so per Stipulation XXII (Amendments).

XXI. DISPUTE RESOLUTION

Should any signatory to the APA object at any time to any actions proposed or the manner in which the terms of the APA are implemented, the FPO shall consult with such party to resolve the objection. If the FPO determines that such objection cannot be resolved, the FPO will:

A. Forward all documentation relevant to the dispute, including NASA's proposed resolution, to the ACHP. The ACHP shall provide the FPO with its advice on the resolution of the objection within 30 days of receiving adequate documentation. Prior to reaching a final decision on the dispute, the FPO shall prepare a written response that takes into account any

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timely advice or comments regarding the dispute from the ACHP, signatories and concurring parties, and provide them with a copy of this written response. NASA will then proceed according to its final decision.

- B. If the ACHP does not provide its advice regarding the dispute within the 30-day time period, the FPO may make a final decision on the dispute and proceed accordingly. Prior to reaching such a final decision, the FPO shall prepare a written response that takes into account any timely comments regarding the dispute from the signatories and concurring parties to the APA, and provide them and the ACHP with a copy of such written response.
- C. NASA's responsibility to carry out all other actions subject to the terms of the APA that are not the subject of the dispute remain unchanged.

XXII. AMENDMENTS

The APA may be amended when such an amendment is agreed to in writing by all signatories. The amendment will be effective on the date a copy signed by all of the signatories is filed with the ACHP.

Revisions to APA appendices will not require an amendment to the APA. However, Appendices A through J must be revised by consent of the signatories. If the signatories unanimously agree to revise any of Appendices A through J, the revised appendix will go into effect when NASA files the revision with the ACHP.

Appendices K and M may be revised at the Center level, with notification to the FPO. If the Center CRM and the SHPO agree to revise any of Appendices K through M, the revised appendix will go into effect when this agreement is documented in writing.

XXIII. TERMINATION

If any signatory to the APA determines that the terms will not or cannot be carried out, that party shall immediately consult with the other signatories to attempt to develop an amendment per Stipulation XXII (Amendments). If within 90 calendar days (or another time period agreed to by all signatories) an amendment cannot be reached, any signatory may terminate the APA upon written notification to the other signatories. If the APA is terminated, NASA shall comply with the provisions of 36 CFR Part 800, Subpart B, for all Projects that have not already begun and their effects resolved.

Execution of the APA by NASA, the ACHP, and the NCSHPO, and implementation of its terms evidence that NASA has taken into account the effects of the undertakings to which the APA applies on historic properties and afforded the ACHP an opportunity to comment.

APPENDIX A Definitions

APPENDIX B APA Consulting Parties

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1579	APPENDIX C	Indian Tribes Invited to Consult on the APA
1580	APPENDIX D	NASA Centers
1581	APPENDIX E	Individual Project Review Flow Charts
1582	APPENDIX F	Asset Categorization
1583	APPENDIX G	NASA FCCS Class Codes
1584	APPENDIX H	Programmatic Allowances
1585	APPENDIX I	Annual Reporting (APA-Level)
1586	APPENDIX J	Participating Centers
1587	APPENDIX K	Heritage Zones
1588	APPENDIX L	Center Asset List
1589	APPENDIX M	Annual Reporting (Center-Level)
1590		

1592	SIGNATORIES:
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1594	
1595	
1596	
1597	NASA
1598	Date
1599	[insert agency official name and title]
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1601	
1602	
1603	
1604	ACHP
1605	Date
1606	[insert name and title]
1607	
1608	
1609	
1610	
1611	NCSHPO
1612	Date
1613	[insert name and title]
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1615	

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APPENDIX A - Definitions

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1619	•	"APA Consulting Parties" are the consulting parties to the APA and include the ACHP, NCSHPO,

- "APA Consulting Parties" are the consulting parties to the APA and include the ACHP, NCSHPO the NTHP, and the federally recognized Tribes that elected to participate.
- "Assets" are buildings and structures that are NASA-owned real property located within the United States, as recorded in RPMS in Facility Classes 1 through 8, and excluding Facility Class 9 (land) and Facility Class 10 (leasehold improvements). Assets do not include archaeological resources.
 See Appendix G NASA FCCS Class Codes.
- "Centers" shall be understood to mean NASA's primary Centers, as well as component facilities and technical and service support centers in the U.S., thus constituting all NASA-owned real property in the U.S. See Appendix D NASA Centers.
- "Center Consulting Parties" are consulting parties with a demonstrated interest in historic properties at a Participating Center, with which NASA shall consult under the applicable terms of APA. Center Consulting Parties shall include the respective SHPO(s) for the state in which the Center is located, Tribe(s), representatives of local governments, and other parties consistent with 36 CFR § 800.2.
- "Center Consulting Tribes" are the Tribes with a demonstrated interest in historic and cultural resources at a Participating Center, especially as it comes to ground disturbance.
- "Center-wide programmatic agreement" is a Section 106 agreement document that governs all undertakings at a Center. Programmatic agreements that apply to a subset of Assets shall not constitute a Center-wide programmatic agreement. See Appendix J Participating Centers.
- "Character-defining Features (CDF)" are those aspects—materially and visually—that convey the significance of a resource, including both workmanship and materials.
- "Construction of Facilities (CoF) Project Life Cycle" is a concept used by NASA CoF to describe all phases of project execution, including the project formulation phases (including planning and development), final design, implementation (including construction, commissioning, and activation), maintenance and operation, decommissioning, and disposal/demolition. Additional information on this concept is found in NPR 8820.2H Facility Project Requirements.
- "Envelope" refers to all Asset components that separate the interior from the exterior, including exterior walls, foundations, roof, windows, and doors.
- "Facility Classification Coding System (FCCS)" is a hierarchical scheme, represented by numerical codes, of real property types and functions that serves as the framework for identifying, categorizing, and analyzing NASA's inventory of land and facilities. See Appendix G NASA FCCS Class Codes.
- "Footprint" is the ground surface occupied by an Asset.

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- "Heritage Zone" is a management zone in the APA applied to areas within Participating Centers that possess a unique and distinguishable historical design aesthetic that merits special consideration. See Appendix K Heritage Zones.
- "<u>Historical Integrity</u>" is the ability of an Asset to convey the historical associations or attributes for which it is significant.
- "HTSF" means highly technical or scientific facility as described in 1991 ACHP publication Balancing Historic Preservation Needs with the Operations of Highly Technical or Scientific Facilities.
- "In kind" means closely matching the design, color, surface texture, reflectivity, finish, details, and other qualities of the material or element to be replaced.
- "<u>Limit of Disturbance (LOD)</u>" is the area, both horizontally and vertically, within which Project activities may physically disturb earth.
- "Management Categories" are a management tool used in the APA that categorizes NASA assets into four different buckets based on their historical significance and physical integrity.
- "NASA" shall be understood to mean the agency currently known as NASA as well as its predecessor agency, the National Advisory Committee for Aeronautics (NACA).
- "NASA Environmental Tracking System (NETS)" is a database maintained by Center CRMs and is the primary Asset database for NASA cultural resources.
- "Participating Centers" shall mean NASA Centers to whom the APA applies—namely those that do not have an active Center-wide programmatic agreement. See Appendix J Participating Centers.
- "Project" shall mean "undertaking" as defined in 36 CFR Part 800.
- "Real Property Management System (RPMS)" is NASA's comprehensive inventory of NASA assets maintained by the Office of Strategic Infrastructure's (OSI) Facilities and Real Estate Division (FRED).

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1678 1679	APPENDIX B – APA Consulting Parties
1680 1681 1682	National Association of Tribal Historic Preservation Officers (NATHPO)
1683 1684	National Trust for Historic Preservation (NTHP)
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APPENDIX C - Indian Tribes Invited to Consult on the APA

Absentee-Shawnee Tribe	Muscogee (Creek) Nation of Oklahoma
Bad River Band of Lake Superior Tribe of Chippewa	Nansemond Indian Tribal Association
Bay Mills Indian Community of Michigan	Navajo Nation
Catawba Indian Nation	Nottawaseppi Huron Band of Potawatomi
Cayuga Nation of Indians	Ohkay Owingeh (San Juan) Pueblo
Chemehuevi Indian Tribe	Oneida Nation of Wisconsin
Chickahominy Indian Nation	Onondaga Nation
Chickahominy Indians Eastern Division	Ottawa Tribe of Oklahoma
Chippewa-Cree Business Committee	Pamunkey Indian Nation
Choctaw Nation of Oklahoma	Poarch Band of Creek Indians
Citizen Potawatomi Nation	Pokagon Band of Potawatomi Indians
Colorado River Indian Tribes	Prairie Band of Potawatomi
Death Valley Timbi-Sha Shoshone Band of California	Rappahannock Nation
Delaware Nation	Red Cliff Band of Lake Superior Chippewa
Delaware Tribe of Indians	Red Lake Band of Chippewa
Eastern Shawnee Tribe of Oklahoma	Sac & Fox Nation of Missouri in Kansas and Nebraska
Fond du Lac Band of Lake Superior Chippewa	Sac & Fox Nation, Oklahoma
Forest County Potawatomi Community of Wisconsin	Sac & Fox Tribe of the Mississippi in Iowa
Grand Portage Band of Chippewa	Saginaw Chippewa Indian Tribe of Michigan
Grand Traverse Band of Ottawa & Chippewa Indians of Michigan	Sandia Pueblo
Hannahville Indian Community of Michigan	Sault Ste. Marie Tribe of Chippewa
Isleta Pueblo	Seminole Nation of Oklahoma
Jena Band of Choctaw Indians	Seminole Tribe of Florida
Keweenaw Bay Indian Community	Seneca Nation of Indians
Kickapoo Traditional Tribe of Texas	Seneca-Cayuga Tribe of Oklahoma
Kickapoo Tribe in Kansas	Shawnee Tribe
Kickapoo Tribe of Oklahoma	Sokaogon Chippewa Band of Lake Superior Chippewa
Lac Courte Oreilles Band of Lake Superior Chippewa Indians	St. Croix Chippewa Indians of Wisconsin
Lac du Flambeau Band of Lake Superior Chippewa Indians	St. Regis Mohawk Tribe

Lac Vieux Desert Band of Lake Superior Chippewa Indians	The Hopi Tribe
Laguna Pueblo	Tonawanda Band of Seneca Nation
Little River Band of Ottawa	Tule River Indian Tribe
Little Traverse Bay Band of Odawa	Tunica-Biloxi Tribe of Louisiana
Match-e-be-nash-she-wish Band of Pottawatomi	Turtle Mountain Band of Chippewa
Miami Tribe of Oklahoma	Tuscarora Nation
Miccosukee Tribe of Indians of Florida	United Keetoowah Band of Cherokee Indians in Oklahoma
Mille Lacs Band of Ojibwe	Upper Mattaponi Indian Nation
Minnesota Chippewa Tribe (Executive Committee)	White Earth Reservation Business Committee
Mississippi Band of Choctaw Indians	Wyandotte Nation
Monacan Indian Nation	Ysleta Del Sur Pueblo

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APPENDIX D – NASA Centers and Sites

Acronym	Name	Location	
ARC	Ames Research Center California		
AFRC	Armstrong Flight Research Center California		
ATF	Armstrong Test Facility (component facility of GRC)	Ohio	
	Columbia Scientific Balloon Research Facility (component facility of GSFC)	Texas	
	Ft. Davis Mobile Laser Site (component facility of GSFC)	Texas	
	Ft. Sumner (component facility of GSFC)	New Mexico	
GRC	Glenn Research Center	Ohio	
	Goddard Institute for Space Studies (component facility of GSFC)	New York	
GSFC	Goddard Space Flight Center	Maryland	
GDSCC	DSCC Goldstone Deep Space Communication Complex (component facility of JPL)		
	Hawaii Maui Mobile Laser Site (component facility of GSFC)	Hawaii	
	Hawaii Spaceflight Tracking/Data Network Stations (component facility of GSFC)		
	Infrared Telescope Facility Mauna Kea (component facility of GSFC)	Hawaii	
JPL	Jet Propulsion Laboratory California		
JSC	Johnson Space Center Texas		
KSC	Kennedy Space Center Florida		
LaRC	Langley Research Center Virginia		
MSFC	Marshall Space Flight Center Alabama		
MAF	Michoud Assembly Facility (component facility of MSFC)	Louisiana	
	Monument Peak Mobile Laser Site (component facility of GSFC)	California	
	NASA LCT at Winer Observatory (component facility of GSFC)	Arizona	
	NPS Coquina Beach (component facility of GSFC)	North Carolina	
	Poker Flats Research Range (component facility of GSFC)	Alaska	
	Ponce de Leon Spaceflight Tracking/Data Network Site (component facility of GSFC)	Florida	
	RFD at Chincoteague National Wildlife Refuge (component facility of GSFC)	Virginia	
SSFL	Santa Susana Field Laboratory (component facility of MSFC)	California	
	Software Independent Verification and Validation Facility (component facility of GSFC)	West Virginia	

Acronym	Name Location	
SSC	Stennis Space Center Mississippi	
	Table Mountain Observatory (component facility of JPL) California	
WFF	Wallops Flight Facility (component facility of GSFC) Virginia	
WSTF	White Sands Test Facility (component facility of JSC) New Mexico	
	Winslow Arizona Downrange Station (component facility of GSFC)	Arizona
WSC	White Sands Complex (component facility of WFF, located within WSTF) New Mexico	

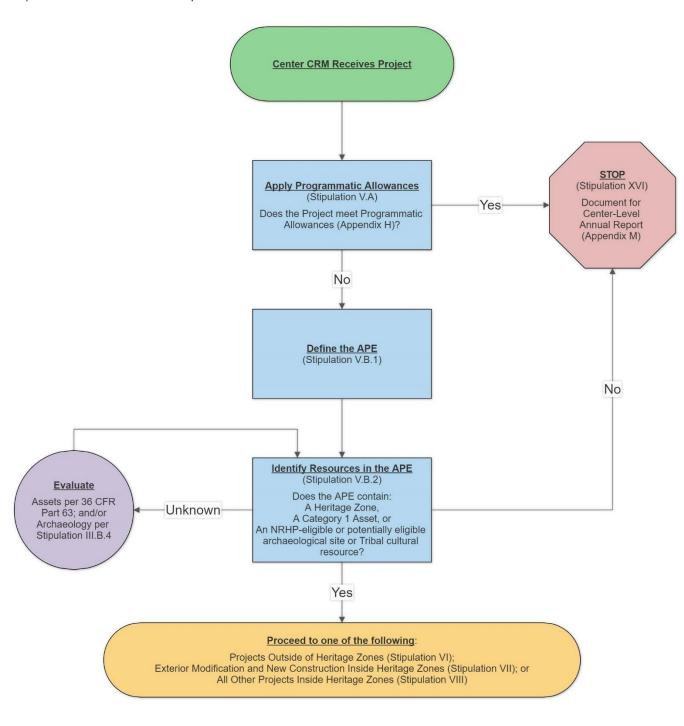
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APPENDIX E - Individual Project Review Flow Charts

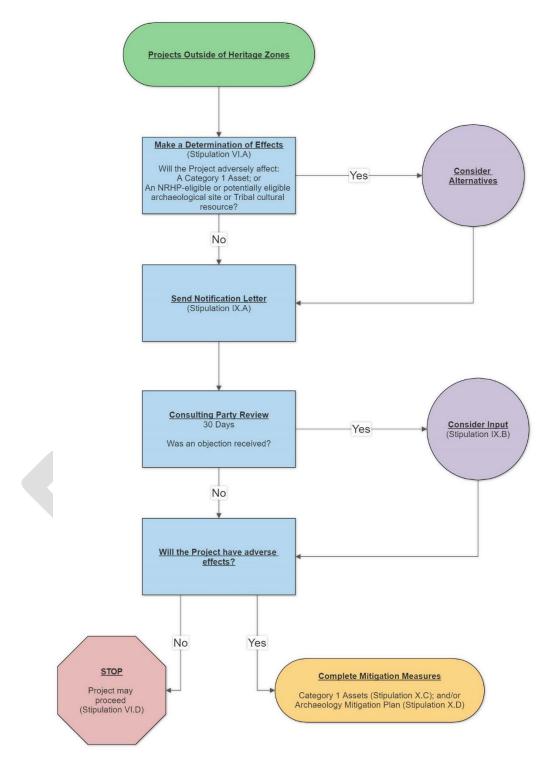
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Stipulation V. Individual Project Review: Initial Review

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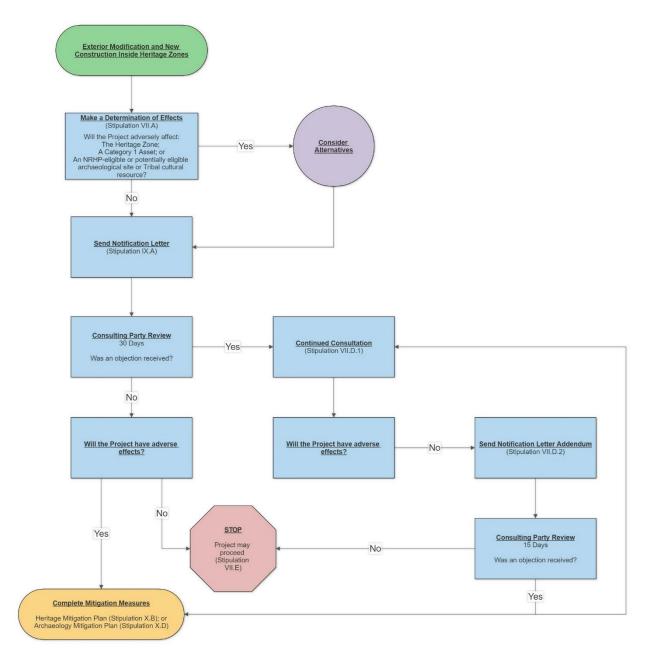


Stipulation VI. Individual Project Review: Projects Outside of Heritage Zones



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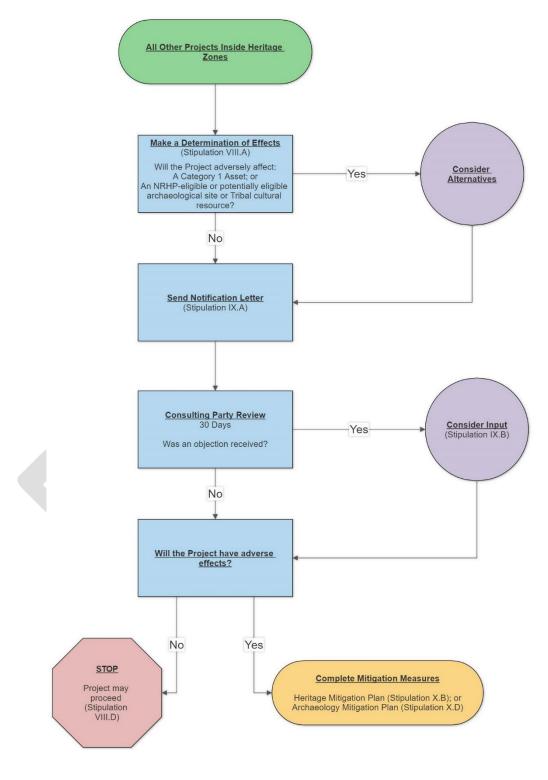
Stipulation VII. Individual Project Review: Exterior Modifications and New Construction Inside Heritage Zones



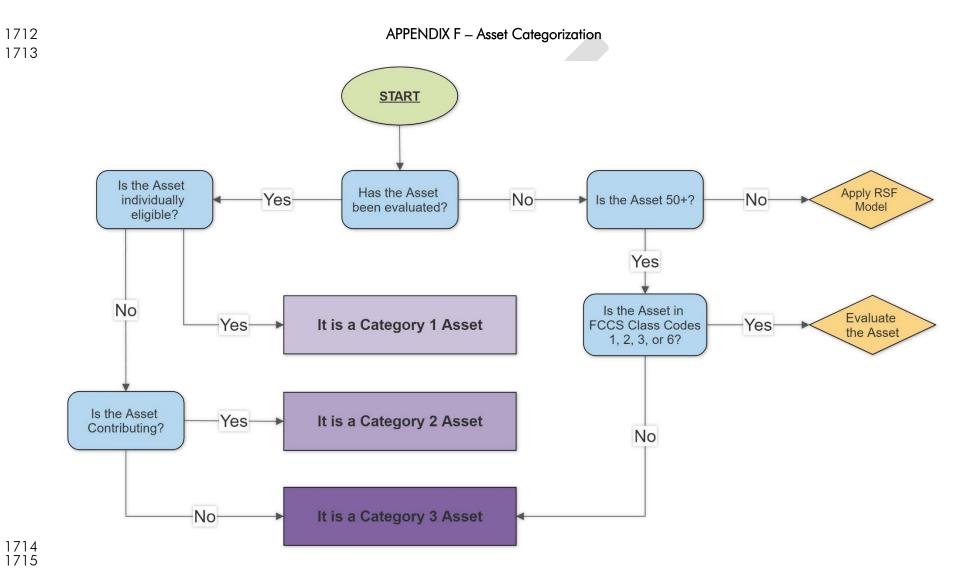
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Stipulation VIII. Individual Project Review: All Other Projects Inside Heritage Zones



1709



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APPENDIX G - NASA FCCS Class Codes

NASA's Facility Classification Coding System (FCCS) is used by the Facilities and Real Estate Division (FRED) to categorize real property assets in the Real Property Management System (RPMS). Based on numerical codes, the FCCS serves as the framework for identifying, categorizing, and analyzing the agency's inventory of facilities around the world, and consists of Facility Class (level 1), Category Group (level 2), Basic Category (level 3), and NASA Code. Ten Facility Classes are subdivided into 42 Category Groups, 79 Basic Categories, and 321 NASA Codes that together provide a great deal of specificity on asset use.

Facility Class	Description	
1	Operational, including Tracking and Data Acquisition and Training	
2	Maintenance and Production	
3	Research, Development, and Test	
4	Supply	
5	Hospital & Medical	
6	Administrative	
7	Housing and Community	
8	Utility and Ground Improvements	
9	Land	
10	Leasehold Improvements	

1727 1728	APPENDIX H – Programmatic Allowances
1729 1730	NASA may undertake these activities without Project-specific consultation. If there are any adverse
1731 1732 1733	effects, they will be minimal, and will be resolved through Stipulation X.A (Programmatic Mitigation) prepared pursuant to this APA.
1734	Programmatic Allowances Outside Heritage Zones
1735 1736	For all Asset categories:
1737	o Interior and exterior repair/modification when any one of the following conditions
1738	applies:
1739	 All work is within Asset footprint; or
1740	 Area has been surveyed with no eligible archaeological resources; or
1741	 No archaeological survey is required per Archaeological Management Plan
1742	prepared under Stipulation III.B.2 (Development of Archaeology Management
1743	Plan).
1744	o Mothballing
1745	o Transfer to a federal agency
1746	Outgrants where use-agreement does not allow for exterior modification (i.e., use of
1747	interior spaces)
1748	 Any other ground disturbance (not previously mentioned) when any one of the
1749	following conditions applies:
1750	 All work is within the existing footprint; or
1751	 Area has been surveyed with no eligible archaeological resources; or
1752	 No archaeological survey is required per Archaeological Management Plan
1753	prepared under Stipulation III.B.2 (Development of Archaeology Management
1754	Plan).
1755	
1756	For Category 2 Assets (in addition to the above): Columb
1757	o Demolition and new construction, when any one of the following conditions applies:
1758	All work is within the existing footprint; or
1759 1760	 Area has been surveyed with no eligible archaeological resources; or
1760	 No archaeological survey is required per Archaeological Management Plan
1762	prepared under Stipulation III.B.2 (Development of Archaeology Management Plan).
1763	ridhj.
1764	 For Category 3 Assets (in addition to the above):
1765	 To Calegory 3 Assets (in addition to the above). Demolition and new construction, when any one of the following conditions applies:
1766	 All work is within the existing footprint; or
1767	 Area has been surveyed with no eligible archaeological resources; or
. , . ,	, and the seem serve, as the single distribution of

1768	 No archaeological survey is required per Archaeological Management Plan
1769	prepared under Stipulation III.B.2 (Development of Archaeology Management
1770	Plan).
1771	,
1772	Programmatic Allowances Inside Heritage Zones
1773	
1774	For all Asset categories:
1775	o Routine repair and maintenance when any one of the following conditions applies:
1776	 All work is within existing footprint; or
1777	 Area has been surveyed with no eligible archaeological resources; and/or
1778	 No archaeological survey is required per Archaeological Management Plan
1779	prepared under Stipulation III.B.2 (Development of Archaeology Management
1780	Plan).
1781	o Interior modifications
1782	 Exterior modifications within existing footprint if in-kind or conforming to SHPO-
1783	approved design guidelines
1784	o Mothballing
1785	o Transfer to federal agency
1786	Outgrants where use-agreement does not allow for exterior modification (i.e., use of
1787	interior spaces)
1788	o Any other ground disturbance (not previously mentioned), where there is no above
1789	ground component, and when any one of the following conditions applies:
1790	 All work is within Asset footprint; or
1791	 Area has been surveyed with no eligible archaeological resources; or
1792	 No archaeological survey is required per Archaeological Management Plan
1793	prepared under Stipulation III.B.2 (Development of Archaeology Management
1794	Plan).
1795	
1796	 For Category 3 Assets (in addition to the above):
1797	 Demolition, when any one of the following conditions applies:
1798	 All work is within Asset footprint; or
1799	 Area has been surveyed with no eligible archaeological resources; or
1800	 No archaeological survey is required per Archaeological Management Plan
1801	prepared under Stipulation III.B.2 (Development of Archaeology Management
1802	Plan).

1803		APPENDIX I — Annual Reporting (APA-Level)
1804		
1805		
1806	[Reserved]	



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1807 APPENDIX J – Annual Reporting (Center-Level)
1808
1809
1810 [Reserved]



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1811 APPENDIX K – Participating Centers 1812 1813 1814 At the execution of this APA, the following are Participating Centers: 1815 1816 Armstrong Flight Research Center (CA) Ames Research Center (CA) 1817 1818 Glenn Research Center (OH) 1819 Goddard Space Flight Center (MD) Jet Propulsion Lab (CA) 1820 Johnson Space Center* (TX) 1821 Michoud Assembly Facility (MAF) 1822 1823 Stennis Space Center (MS) 1824 White Sands Test Facility (NM)

1825 1826

Participating Centers and their component facilities

Acronym	Name	Location
ARC	Ames Research Center	California
AFRC	Armstrong Flight Research Center	California
	Columbia Scientific Balloon Research Facility (component facility of GSFC)	Texas
	Ft. Davis Mobile Laser Site (component facility of GSFC)	Texas
	Ft. Sumner (component facility of GSFC)	New Mexico
GRC	Glenn Research Center	Ohio
	Goddard Institute for Space Studies (component facility of GSFC)	New York
GSFC	Goddard Space Flight Center	Maryland
GDSCC	Goldstone Deep Space Communication Complex (component facility of JPL)	California
	Hawaii Maui Mobile Laser Site (component facility of GSFC)	Hawaii
	Hawaii Spaceflight Tracking/Data Network Stations (component facility of GSFC)	Hawaii
	Infrared Telescope Facility Mauna Kea (component facility of GSFC)	Hawaii
JPL	Jet Propulsion Laboratory	California
JSC*	Johnson Space Center Texas	
MAF	AF Michoud Assembly Facility (component facility of MSFC)	
	Monument Peak Mobile Laser Site (component facility of GSFC)	California
	NASA LCT at Winer Observatory (component facility of GSFC)	Arizona

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Acronym	Name	Location
	NPS Coquina Beach (component facility of GSFC)	North Carolina
	Poker Flats Research Range (component facility of GSFC)	Alaska
	Ponce de Leon Spaceflight Tracking/Data Network Site (component facility of GSFC)	Florida
	RFD at Chincoteague National Wildlife Refuge (component facility of GSFC)	Virginia
	Software Independent Verification and Validation Facility (component facility of GSFC)	West Virginia
SSC	Stennis Space Center	Mississippi
	Table Mountain Observatory (component facility of JPL)	California
WSTF	White Sands Test Facility (component facility of JSC)	New Mexico
	Winslow Arizona Downrange Station (component facility of GSFC)	Arizona

1827 1828

At the execution of this APA, the following Programmatic Agreements are active:

1829 1830

Name	Execution	Scope	Expiration
NHL PA	1989	Specified NHLs	N/A
KSC PA	2009	Existing and future historic, ground-based facilities and structures listed in or eligible for listing in the NRHP; and	
		Archaeological sites	
LaRC PA	2010;	Facilities, infrastructure, and sites at LaRC; and	
	extended 2019	Archaeological sites	
MSFC PA	2010	NASA buildings and structures, listed in or eligible for listing in the NRHP	
JSC PA	2011	*Specific assets determined NRHP-eligible for association with the Space Shuttle Program	
PBS	2012	Facilities, infrastructure, and sites; and	
		Archaeological sites	
WFF	2014	NRHP-eligible resources; and	
		Archaeological sties	

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1832 1833 1834 1835 [Reserved]

APPENDIX L – Heritage Zones



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1836 1837 1838 1839 [Reserved]

APPENDIX M – Center Asset List

