



SmallSat Best Practices Implementation Example

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Agenda

- Overview, what is this example trying to do?
- Top level requirements
 - *Example 1, LEO large constellation, earth observation operational mission*
 - *Example 2, GEO operational mission*
 - *Example 3, LEO short life tech demonstration mission*
- Relevant MAIW recommendations and discussion for each example
- Wrap up

Overview



- We are in a learning mode and hope to engage with industry and other Gov't areas to assemble a useful best practices implementation guide that could be used as a starting point to improve overall small sat reliability
- The best practices basis is the Mission Assurance Improvement Workshop (MAIW) TOR "Improving Mission Success of CubeSats", TOR-2017-01689
- This presentation will walk through a some examples of how top level requirements could drive design choices, trades, and acquisition strategy
- We are looking inputs based on actual programs and on thought processes behind part/component procurement and test decisions



Mission Types

- Mission types can fall into several broad classes:
 - *Large constellations*
 - *One or few spacecraft, longer (>5 yrs) life times, can be GEO or interplanetary*
 - *One or few spacecraft, short life times, Science or tech demo type missions*
- Even within these broad classifications there is considerable variability
- Having clear definitions of scope, goals, & success criteria, or top level requirements are very important and is the 1st “best practice” from the MAIW document (TOR-2017-01689)



Focus of this presentation

Risk Tolerance →			
Activity or Process ↓	Lower Risk Tolerance	← →	Higher Risk Tolerance
Reviews	Formal SRR, PDR and CDR with external review board	← →	Internal informal reviews with key stakeholders
Drawings	Configuration managed drawings / CAD models with critical review and signoff	← →	Capture as-built configuration and key dimensions. Rudimentary CAD model recommended.
EEE Parts	Rad-hard or rad-tolerant parts in critical areas	← →	COTS parts
Thermal Cycling	Cycling at board, box, and full vehicle level	← →	At least four cycles at full vehicle level recommended
Environmental Test	Qual unit to validate design and Acceptance testing to validate workmanship	← →	As required by launch provider

- While there are decisions to be made for a number of programmatic items listed above, this presentation focusses on EEE parts/components to illustrate possible implementation strategies for different mission types
- Similar discussions would be expected for other items such as reviews, drawings, testing etc.



Most Relevant MAIW recommendations

- Define your scope, goals, and success criteria at program start
 - *Justify your ability to complete it within the available time, using the available budget and resources*
 - *During the project lifecycle, aggressively defend it against growth, but have a plan to de-scope, if necessary*
- Maintain a healthy skepticism on vendor subsystem datasheets
 - *Hold margin on all performance numbers during design, and verify after receipt*
- Design for simplicity and robustness
 - *Assume designs will fail and then prove they will work*
 - *Design the satellite for easy assembly and disassembly*
 - *Have respectable margins, robust safe modes, few deployables, graceful performance degradation, and frequent preventative satellite resets*
- Stock spare components
 - *Extra boards support parallel software development and are flight spares*
 - *Extra hardware protects schedule during mechanical testing*



Definitions

- A part is defined as a single item such as an ASIC, resistor, transistor, IR sensor, capacitor, etc. In short, items that are assembled onto circuit boards or other higher level assemblies
- A component is effectively the next higher level of assembly, such as a software defined radio board, or a power board with or without integrated batteries, a guidance and navigation board, or a reaction wheel. This is generally the highest level of assembly for CubeSat elements prior to being integrated into the CubeSat as a complete vehicle
- A Unit is a fully assembled subsystem such as a high power amplifier, a receiver, on board computer, or an electronic power conditioner, all of which would be assembled in their own chassis with connectors at the interfaces. In general a unit such as those found on the larger satellites are too complex or use too much power for a CubeSat, but may be found on some SmallSats, particularly in the 100kg class



Example 1, large constellation



Example 1, large constellation

- This example is like Planet, earth observation, but could apply to any large constellation
- Goal, 99.7% data availability for users in a given month for any location between the arctic and ant-arctic circles
 - *No plan to state pixel resolution for now as this is not as important for this example*
- This would be an operational mission



Notional Mission characteristics for a large constellation

Risk Tolerance → Mission Characteristics ↓	Very Low	Low	Moderate	High	Very High
Mission Criticality	National Security; Operational	Operational; Primary Science	Gap Filler	Experimental; Technology Demo	Technology Demo; Teaching System
LEO Mission Life	5+ years	3-5 years	~1 year	Months	Days to weeks
GEO/Deep Space Mission Life	10+ years	5+ years	1-3 years	Months	Days
<10 Satellites	Operational Mission	Data gathering	Gap Filler	Experiment	Technology Demonstration
Constellation (>10) Satellites	Common mode failures ruled out	High unit cost; limited "spare" vehicles		Multiple spare vehicles	Re-launch readily available
Flight Development Time	>5 years	2 to 5 years	~ 2 years	1 to 2 years	<12 months



Large Constellation Questions

- Despite being operational, can the risk tolerance for any single space vehicle (SV) be high?
 - *Large constellation means slow graceful degradation if an individual node (or SV) is lost*
- Is single string (no internal redundancy) is OK?
- What kind of testing should take place for the 1st few SV's vs. SV's later in the production line?
- Are part choices based on design application and not specific part grade?
- How is variability between lots and even within lots for COTs parts accounted for?



Notional Part choices for a large constellation

Piece Parts	higher confidence	Mid level confidence	Lower confidence
Part type	JAN-S level parts	Industrial/Automotive	COTS
Radiation tolerance	Radiation hardened	Radiation tolerant/possible upscreening	Minimal/unknown
Testing	full qualification	thermal	None, possibly some incoming inspection
Documentation	QPL/QML list parts with full pedigree and traceability	some traceability	Minimal

Nominal part choice decisions based on LEO/large constellation configuration

Components	higher confidence	Mid level confidence	Lower confidence
Component type	Highly customized boards or subsystems	Some customization such as rad tolerant parts	COTS boards/subsystems
Radiation tolerance	Radiation hardened	Radiation tolerant	Minimal/unknown
Vendor oversight	Full oversight and part traceability	Review vendor design practices	None, mitigate risk with timers, derating etc.
Testing	full qualification	thermal	None or simple functional tests
Documentation	Complete BOMs and test documentation	some traceability Some test documentation	Minimal



Notional Piece Part choices, Testing

Requirement	Low Risk/high confidence	med-low Risk/Med-high confidence	med-high Risk/Med-low confidence	High risk/low confidence
Lot acceptance	Per QPL/QML req'ts when possible. Upscreen part when no QPL/QML equivalent available	Optional Per QPL/QML req'ts	Not required	Not required
Temperature cycling	Per QPL/QML req'ts when possible. Upscreen part when no QPL/QML equivalent available	Optional Per QPL/QML req'ts	Not required	Not required
Serialization	Not required. Any serializing of key components done by supplier's judgement.	Not required	Not required	Not required
Burn-in	Per QPL/QML req'ts when possible. Upscreen part when no QPL/QML equivalent available	Optional Per QPL/QML req'ts	Not required	Not required
Radiation	Mission TID analysis required. Optional TID testing. Optional SEL part screening to 37 MeV. Optional customer review and approval of EEE parts.	Expected mission TID must be below 20k RAD after shielding. Optional customer review and approval of EEE parts.	Optional customer review and approval of EEE parts. No testing required.	Not required
Derating Analysis	Analysis required, customer furnished requirements	Analysis, supplier determined requirements	Analysis, supplier determined requirements	Not required, derating may not be required

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Notional Component Choices, Testing

Requirement	Low Risk/high confidence	med-low Risk/Med-high confidence	med-high Risk/Med-low confidence	High risk/low confidence
Thermal cycling	Optional cycles	Optional cycles	Not required	Not required
Serialization	Board and subsystem level	Board and subsystem level	Subsystem level	Optional subsystem level
Burn-in	72 hours at room temperature plus thermal testing	72 hours at room temperature plus thermal testing	72 hours at room temperature plus thermal testing	Implied by operational testing
Thermal testing	+10C above max, -10C below min expected operating temp, 24 hours each	+5C above max, -5C below min expected operating temp, 24 hours each	expected operating temp, 24 hours each	Must operate over expected operating temp
Vacuum operation testing	Components screened to not outgas	Components screened to not outgas	Not required	Not required
Soldering	J-STD-001 certified soldering.	Must pass intent of J-STD-001	Must pass intent of J-STD-001	Not required
Vibe/shock testing	Optional	Optional	Vibe only	Not required
Acceptance test plan	Extensive, operating parameters recorded	Comprehensive, time and cost efficient, operating parameters recorded	Operating parameters recorded	Must operate in relevant thermal environment



Other supplier comments/questions

- Cultivating relationships with suppliers will also pay off with better reliability as OEMs as inexpensive parts can change without notice
 - *For these COTS type choices it is more important than ever to test piece parts and components upon receipt?*
 - *There will be no pedigree and little actual test data available for this type of component. This is a case where the integrator assumes more of the risk of ensuring the overall SV will function as needed*
- Appendix A provides a list of questions to ask part and component suppliers. Note that most suppliers will not have satisfying answers to many of them. Also note that most of the statements above would also apply to those building a single purpose SV on a shoe string such as universities



Example 2, GEO orbit mission with a few small spacecraft



Example 2, Few SVs, GEO mission

- GEO orbit operational mission with a few small spacecraft
- Success for this mission is defined as delivering precise orbital tracking data for GEO satellites which will also be used for satellite collision avoidance as the Geostationary Belt gets more crowded over coming years



Notional Mission characteristics for a Small GEO mission

Risk Tolerance → Mission Characteristics ↓	Very Low	Low	Moderate	High	Very High
Mission Criticality	National Security; Operational	Operational; Primary Science	Gap Filler	Experimental; Technology Demo	Technology Demo; Teaching System
LEO Mission Life	5+ years	3-5 years	~1 year	Months	Days to weeks
GEO/Deep Space Mission Life	10+ years	5+ years	1-3 years	Months	Days
<10 Satellites	Operational Mission	Data gathering	Gap Filler	Experiment	Technology Demonstration
Constellation (>10) Satellites	Common mode failures ruled out	High unit cost; limited "spare" vehicles		Multiple spare vehicles	Re-launch readily available
Flight Development Time	>5 years	2 to 5 years	~ 2 years	1 to 2 years	<12 months



Small GEO Mission Questions

- In this example, would the parts/component selections and overall testing would tend to be more like current practices the larger programs use?
 - *If so, clearly cost will increase, mainly due to a far less benign space environment and other concerns such as outgassing and bulk/surface charge management must also be considered.*
- How is onboard redundancy designed in?
 - *In the case of CubeSats, targeted use of redundancy to improved confidence may be utilized, but is usually constrained by volume and power. In SmallSats, use of redundancy may be more feasible. Note that including redundancy itself increases complexity of the system, so use of redundancy must be carefully considered to determine its ultimate value to the program*
- If advanced electronics/sensors are used that are not space rated then are they up-screened? Is shielding considered?
 - *If Shielding is not possible then risk mitigations such as planned resets, derating etc. should be used*

Notional Part choices for a GEO small constellation



Piece Parts	higher confidence	Mid level confidence	Lower confidence
Part type	JAN-S level parts	Industrial/Automotive	COTS
Radiation tolerance	Radiation hardened	Radiation tolerant/possible upscreening	Minimal/unknown
Testing	full qualification	thermal	None, possibly some incoming inspection
Documentation	QPL/QML list parts with full pedigree and traceability	some traceability	Minimal

Nominal part choice decisions based on GEO and small constellation configuration

Components	higher confidence	Mid level confidence	Lower confidence
Component type	Highly customized boards or subsystems	Some customization such as rad tolerant parts	COTS boards/subsystems
Radiation tolerance	Radiation hardened	Radiation tolerant	Minimal/unknown
Vendor oversight	Full oversight and part traceability	Review vendor design practices	None, mitigate risk with timers, derating etc.
Testing	full qualification	thermal	None or simple functional tests
Documentation	Complete BOMs and test documentation	some traceability Some test documentation	Minimal



Notional Piece Part choices, Testing

Requirement	Low Risk/high confidence	med-low Risk/Med-high confidence	med-high Risk/Med-low confidence	High risk/low confidence
Lot acceptance	Per QPL/QML req'ts when possible. Upscreen part when no QPL/QML equivalent available	Optional Per QPL/QML req'ts	Not required	Not required
Temperature cycling	Per QPL/QML req'ts when possible. Upscreen part when no QPL/QML equivalent available	Optional Per QPL/QML req'ts	Not required	Not required
Serialization	Not required. Any serializing of key components done by supplier's judgement.	Not required	Not required	Not required
Burn-in	Per QPL/QML req'ts when possible. Upscreen part when no QPL/QML equivalent available	Optional Per QPL/QML req'ts	Not required	Not required
Radiation	Mission TID analysis required. Optional TID testing. Optional SEL part screening to 37 MeV. Optional customer review and approval of EEE parts.	Expected mission TID must be below 20k RAD after shielding. Optional customer review and approval of EEE parts.	Optional customer review and approval of EEE parts. No testing required.	Not required
Derating Analysis	Analysis required, customer furnished requirements	Analysis, supplier determined requirements	Analysis, supplier determined requirements	Not required, derating may not be required

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Notional Component Choices, Testing

Requirement	Low Risk/high confidence	med-low Risk/Med-high confidence	med-high Risk/Med-low confidence	High risk/low confidence
Thermal cycling	Optional cycles	Optional cycles	Not required	Not required
Serialization	Board and subsystem level	Board and subsystem level	Subsystem level	Optional subsystem level
Burn-in	72 hours at room temperature plus thermal testing	72 hours at room temperature plus thermal testing	72 hours at room temperature plus thermal testing	Implied by operational testing
Thermal testing	+10C above max, -10C below min expected operating temp, 24 hours each	+5C above max, -5C below min expected operating temp, 24 hours each	expected operating temp, 24 hours each	Must operate over expected operating temp
Vacuum operation testing	Components screened to not outgas	Components screened to not outgas	Not required	Not required
Soldering	J-STD-001 certified soldering.	Must pass intent of J-STD-001	Must pass intent of J-STD-001	Not required
Vibe/shock testing	Optional	Optional	Vibe only	Not required
Acceptance test plan	Extensive, operating parameters recorded	Comprehensive, time and cost efficient, operating parameters recorded	Operating parameters recorded	Must operate in relevant thermal environment



Other supplier comments

- In contrast to the procurement of parts for a large constellation, part and component suppliers would be expected to have good answers to many of the questions in Appendix A



Example 3, LEO orbit single vehicle tech demo from a university



Example 3, University Single SV Tech Demo

- A university led single SV flying a tech demo payload
 - *Desired Goal: to obtain data from a new type of star tracker (for example)*
 - *Basic Goal: teaching, get this built with student labor in less than a year*



Notional Mission characteristics for a University Single SV Tech Demo

Risk Tolerance → Mission Characteristics ↓	Very Low	Low	Moderate	High	Very High
Mission Criticality	National Security; Operational	Operational; Primary Science	Gap Filler	Experimental; Technology Demo	Technology Demo; Teaching System
LEO Mission Life	5+ years	3-5 years	~1 year	Months	Days to weeks
GEO/Deep Space Mission Life	10+ years	5+ years	1-3 years	Months	Days
<10 Satellites	Operational Mission	Data gathering	Gap Filler	Experiment	Technology Demonstration
Constellation (>10) Satellites	Common mode failures ruled out	High unit cost; limited "spare" vehicles		Multiple spare vehicles	Re-launch readily available
Flight Development Time	>5 years	2 to 5 years	~ 2 years	1 to 2 years	<12 months

Tech Demo Mission Questions



- Are COTS parts/components are the best choices?
 - *In this example parts cost and schedule for delivery are key*
- Should spare boards and components be purchased and/or made?
 - *In this case it is even more important that spare boards at least are made available to allow for swap outs in case of problems*
- If advanced electronics/sensors are used that are not space rated then are they up-screened? Is shielding considered?
 - *If Shielding is not possible then risk mitigations such as planned resets, derating etc. should be used*



Notional Part choices for a Tech Demo

Piece Parts	higher confidence	Mid level confidence	Lower confidence
Part type	JAN-S level parts	Industrial/Automotive	COTS
Radiation tolerance	Radiation hardened	Radiation tolerant/possible upscreening	Minimal/unknown
Testing	full qualification	thermal	None, possibly some incoming inspection
Documentation	QPL/QML list parts with full pedigree and traceability	some traceability	Minimal

Nominal part choice decisions based on single SV tech demo

Components	higher confidence	Mid level confidence	Lower confidence
Component type	Highly customized boards or subsystems	Some customization such as rad tolerant parts	COTS boards/subsystems
Radiation tolerance	Radiation hardened	Radiation tolerant	Minimal/unknown
Vendor oversight	Full oversight and part traceability	Review vendor design practices	None, mitigate risk with timers, derating etc.
Testing	full qualification	thermal	None or simple functional tests
Documentation	Complete BOMs and test documentation	some traceability Some test documentation	Minimal

Notional Piece Part choices, Testing



Requirement	Low Risk/high confidence	med-low Risk/Med-high confidence	med-high Risk/Med-low confidence	High risk/low confidence
Lot acceptance	Per QPL/QML req'ts when possible. Upscreen part when no QPL/QML equivalent available	Optional Per QPL/QML req'ts	Not required	Not required
Temperature cycling	Per QPL/QML req'ts when possible. Upscreen part when no QPL/QML equivalent available	Optional Per QPL/QML req'ts	Not required	Not required
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Derating Analysis	Analysis required, customer furnished requirements	Analysis, supplier determined requirements	Analysis, supplier determined requirements	Not required, derating may not be required

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Notional Component Choices, Testing

Requirement	Low Risk/high confidence	med-low Risk/Med-high confidence	med-high Risk/Med-low confidence	High risk/low confidence
Thermal cycling	Optional cycles	Optional cycles	Not required	Not required
Serialization	Board and subsystem level	Board and subsystem level	Subsystem level	Optional subsystem level
Burn-in	72 hours at room temperature plus thermal testing	72 hours at room temperature plus thermal testing	72 hours at room temperature plus thermal testing	Implied by operational testing
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Soldering	J-STD-001 certified soldering.	Must pass intent of J-STD-001	Must pass intent of J-STD-001	Not required
Vibe/shock testing	Optional	Optional	Vibe only	Not required
Acceptance test plan	Extensive, operating parameters recorded	Comprehensive, time and cost efficient, operating parameters recorded	Operating parameters recorded	Must operate in relevant thermal environment



Other supplier comments

- It is unlikely that a university would even be looking at the questions in Appendix A, nor would their suppliers have any answers
- In this case building good relations even for small part orders is advisable
- Incoming tests at the board level is also advisable
 - *In particular, given the experiences by a number of SmallSat integrators with boards arriving from suppliers defective, reference the presentation comments made by Michael Johnson at the 2017 SmallSat conference*
 - *Buying more parts/boards needed in this case would be a very good idea as it makes it easy to swap out if issues with test failures and workmanship arise*



Wrap up

- These examples outlined here show possible implementations of best practices for different mission types.
- Most important thing is to determine top level requirements and scope, all other decisions flow from that
- As we learn more we would like to meet with industry representatives to ensure the final product is useful to both industry and the Government

Seeking further inputs from industry and universities



Appendix A, List of Questions for parts suppliers

By Allyson Yarbrough PhD, and Sung Hong PhD
Electronics and Sensors Division
Engineering and Technology Group
Aerospace Corporation



Appendix A, Questions to ask part and component suppliers

- This section is an excerpt from “Key Questions to Ask When Considering Alternate-Grade EEE (Electrical, Electronic and Electromechanical) Parts for Small Satellite Missions” by Dr. Sung Hong and Dr. Allyson Yarbrough both of Aerospace Corporation
 - **Supplier Data:**
 - **Test Data from Supplier**
 - *3. To what data or insight do you have access that demonstrates lot homogeneity and device consistency between lots (e.g., lot screening data)?*
 - *4. Many manufacturers’ data sheets state that specified parameters and performance are subject to change without notice. What measures do you have in place to ensure that what you procure today is identical to what you procure in the future?*
 - *8. Do the supplier’s reliability test criteria for new technology or devices satisfy the requirements of your mission?*
 - *9. Are the advertised FIT (failures in time) rates consistent with the mission’s requirements?*
 - *19. Does the supplier perform testing to failure to confirm that design margins meet your mission’s requirements?*
 - *22. What measures does the supplier employ to identify and eliminate potential causes of defects? Do these meet your mission requirements?*



Appendix A, Questions to ask part and component suppliers

- **Supplier Auditing/Check**

- 6. Is it possible to audit the device supplier, should you need to do so? What guidelines would be used for the audit (i.e. ISO/TS16949: Quality Management Systems – Automotive Suppliers – Particular Requirements for the Application of ISO 9001:2008? Or internal requirements?)
- 12. Have you and the supplier agreed upon a category or level of change that triggers notification to you, the user?
- 13. Do you include either the International Automotive Task Force's ISO/TS 16949 (Quality Management Systems – Automotive Suppliers – Particular Requirements for the Application of ISO 9001:2008), the Automotive Electronics Council's AEC Q100 (Failure Mechanism Based Stress Test Qualification for Integrated Circuits), Q101 (Failure Mechanism Based Stress Test Qualification for Discrete Semiconductors in Automotive Applications), Q200 (Stress Test Qualification for Passive Components), or the Automotive Industry Action Group's PPAP (production part approval process) requirement in your supply contracts?
- 14. As a customer/user, do you have the right of approval over your changes to the supplier's product including fabrication, assembly, testing, marking and packing processes?
- 15. As a customer/user, do you receive priority problem resolution and advance end-of-life notifications?



Appendix A, Questions to ask part and component suppliers

- **Supplier Auditing/Check**
- 16. If you procure your devices through a third party (e.g., a distributor), what data and/or processes do you review to ensure the devices are authentic (not counterfeit) and have not been tampered with?
- 18. How frequently does your supplier verify product families to AEC Stress Test Qualification standards? Does this satisfy the needs of your program?
- 20. Where are the devices under consideration designed, manufactured, packaged, and tested? Are these facilities certified to TS 16949: Quality Management Systems – Automotive Suppliers – Particular Requirements for the Application of ISO 9001:2008?
- 21. Does the supplier employ part average testing, statistical yield limit and statistical bin limit per AEC-Q001 - Guidelines For Part Average Testing and AEC-Q002 - Guidelines For Statistical Yield Analysis to identify statistically different parts and lots?
- 24. Does the supplier employ any type of Lessons Learned database or Reach Across Alert system to ensure continuous improvement and failure containment?



Appendix A, Questions to ask part and component suppliers

- **Mission Requirements:**

- 1. What are the mission's radiation requirements, if any, and have you reviewed test data that demonstrates the devices meet your mission's requirements?
- 10. Does an assessment of the supplier's existing, qualified device data demonstrate that the similar device you are considering will satisfy mission requirements?
- 17. If the qualification of the devices being considered do not meet mission requirements (e.g., was performed over a temperature range narrower than your application requires, contains no radiation data), do you have a means by which to augment or translate test conditions to those more representative of your mission?
- 23. Are the parts used in an application that is a single-point failure or is mission-critical? If so, what mitigations are in place to ensure failure of the device is not mission-ending?
- 25. Does the supplier's corrective action process and preventive action process meet your program's requirements?
- 26. Does the supplier's failure modes, effects, and analysis (FMEA) process meet your program's requirements?
- 28. In the special cases of high-reliability, ultra-short duration (<24 hours) missions, demonstrating successful turn-on may not be sufficient to show that it will perform for the rest of the mission. What scope of power-on/turn-on data do you review for the devices being considered and do the results meet your requirements?



Appendix A, Questions to ask part and component suppliers

- **Vehicle Manufacturer's Data**

- 2. What flight heritage do the parts being considered have in your specific mission type (e.g., earth imaging, communication, weather monitoring) and duration?
- 7. Do you have access to data regarding failure mechanisms in the parts being considered and the results of the stress tests that were performed to qualify the parts? Do the results satisfy your mission requirements?
- 11. What data regarding burn-in, screening, test conditions and pass/fail criteria for the parts being considered did you review? What were the results and do they satisfy the requirements of your mission? In other words, how does the screen affect the Consumer's Risk vs the Producer's Risk?
- 27. When a manufacturer's disclaimer states that parts are not qualified for space applications (e.g., SmallSats), and your designers include those parts in units, what technical data do you use to substantiate their use?
- 29. Has all test data been reviewed for trends, oddities, "out-of-family" values, and other indicators of anomalies?