

National Aeronautics and Space Administration
FOIA Annual Report
October 1, 2018
THROUGH
September 30, 2019

The following Annual Freedom of Information Act report covers the
period October 1, 2018, through September 30, 2019, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING REPORT

1. Provide name, title, address, and telephone number of person(s) to be contacted with questions about the Report.

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Principal Agency FOIA Officer
300 E. Street SW
Washington, DC 20546
202-358-0625

Stephanie Fox
FOIA Team Lead / Chief FOIA Public Liaison
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Washington, DC 20546
202-358-1553

2. Provide an electronic link for access to the Report on the agency Web site.
<http://www.nasa.gov/FOIA/reports.html>

3. Explain how to obtain a copy of the Report in paper form.

Requesters may write to:

Freedom of Information Act (FOIA) Office
300 E. Street, SW, Code P-FOIA
Washington, DC 20546-0001
Email: FOIA@hq.nasa.gov

II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

Martha Terry, Ames Research Center FOIA Public Liaison; 202-358-2339
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Washington, DC 20546-0001

Martha Terry, Armstrong Flight Research Center FOIA Public Liaison; 202-358-2339
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Martha Terry, Glenn Research Center FOIA Public Liaison; 202-358-2339
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Dennis Mahon, Jet Propulsion Laboratory FOIA Public Liaison; 818-393-6779
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Carissa Wheeler, Kennedy Space Center FOIA Public Liaison; 757-864-4274
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Martha Terry, NASA Shared Services Center FOIA Public Liaison; 202-358-2339
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Frank LaRocca, Office of Inspector General FOIA Public Liaison; 202-358-2575
NASA Office of the Inspector General;
Washington, DC 20546-0001

Sallie Bilbo, Stennis Space Center FOIA Public; 228-688-3182

NASA Stennis Space Center, Code CA 10
Stennis Space Center, MS 39529-6000

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

Generally, when requests are not granted it is due to the fact that the documents are exempt from release in accordance with the FOIA. NASA processes a substantial number of requests for contracts as well as deliberative process materials.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Include the following definitions of terms used in this Report:
 - a. **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
 - b. **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
 - c. **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
 - d. **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
 - e. **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document.

Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

- f. **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.

- g. **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.

- i. **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

- j. **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

- k. **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more

other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

- i. **Expedited Processing** - an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** - a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - iii. **Complex Request** - a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
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- l. **Partial Grant/Partial Denial** - in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
 - m. **Pending Request or Pending Administrative Appeal** - a request or administrative appeal for which an agency has not taken final action in all respects.
 - n. **Perfected Request** - a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
 - o. **Processed Request or Processed Administrative Appeal** - a request or administrative appeal for which an agency has taken final action in all respects.
 - p. **Range in Number of Days** - the lowest and highest number of days to process requests or administrative appeals.
 - q. **Time Limits** - the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

2. Include the following concise descriptions of the nine FOIA exemptions:
 - a. **Exemption 1:** classified national defense and foreign relations information
 - b. **Exemption 2:** internal agency rules and practices
 - c. **Exemption 3:** information that is prohibited from disclosure by another federal law
 - d. **Exemption 4:** trade secrets and other confidential business information
 - e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
 - f. **Exemption 6:** information involving matters of personal privacy
 - g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
 - h. **Exemption 8:** information relating to the supervision of financial institutions
 - i. **Exemption 9:** geological information on wells

3. Agency Component Abbreviations

Component Abbreviation	Component Name
AFRC	Armstrong Flight Research Center
ARC	Ames Research Center
GRC	Glenn Research Center
GSFC	Goddard Space Flight Center
HQ	Headquarters
JPL	Jet Propulsion Laboratory
JSC	Johnson Space Center
KSC	Kennedy Space Center
LaRC	Langley Research Center
MSFC	Marshall Space Flight Center
NSSC	NASA Shared Services Center
OGC	Office of General Counsel (International Law Division): (Appeals and Litigation Only)
OIG	Office of the Inspector General
SSC	Stennis Space Center

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
10 U.S.C § 2305(g)	Certain contractor proposals.	Roman v. NSA, Nos. 09-2947, 09-4281, 09-3344, 09-2504, 09-5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb. 22, 2012); Margolin v. NASA, No. 09-CV-00421-LRH-VPC, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011).	GSFC	2	8
			JSC	1	
			KSC	1	
			NSSC	4	
22 U.S.C. § 2778(e) (Arms Export Control Act)	Certain information pertaining to export license applications.	Council for a Livable World v. U.S. Dep't of State, No. 96-1807, slip op. at 11 (D.D.C. Jan. 21, 1998), amended (D.D.C. Nov. 23, 1998).	HQ	3	18
			JPL	3	
			JSC	5	
			KSC	3	
			LaRC	1	
			MSFC	3	
			GSFC	4	11

41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act)	Contractor bid or proposal information; source selection information.	Legal & Safety Employer Research, Inc. V U.S. Dept of the Army, No. Civ. S001748, 2001 UL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	KSC	3
			NSSC	4

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
AFRC	1	13	14	0
ARC	5	31	32	4
GRC	5	26	27	4
GSFC	14	89	86	17
HQ	14	252	249	17
JPL	1	58	57	2
JSC	9	115	108	16
KSC	2	78	77	3
LaRC	1	39	39	1
MSFC	7	41	44	4

NSSC	2	24	25	1
OGC	0	0	0	0
OIG	3	36	32	7
SSC	0	10	10	0
AGENCY OVERALL	64	812	800	76

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
AFRC	1	5	0	2	1	0	2	1	1	0	0	1	14
ARC	10	9	0	7	0	0	1	2	0	1	0	2	32
GRC	2	11	0	5	0	0	2	3	3	1	0	0	27
GSFC	15	21	4	20	1	5	4	0	2	0	5	9	86
HQ	37	64	5	26	9	10	5	46	15	8	3	21	249
JPL	6	6	2	7	1	1	2	11	0	5	13	3	57
JSC	23	17	8	30	2	3	6	9	1	0	0	9	108
KSC	13	16	7	8	8	4	3	10	3	0	3	2	77
LaRC	7	2	1	9	2	4	1	2	3	0	2	6	39
MSFC	6	11	0	4	4	1	9	4	0	0	0	5	44
NSSC	2	12	3	0	0	0	3	0	2	1	0	2	25

OGC	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	1	15	8	3	1	0	0	4	0	0	0	0	32
SSC	1	1	0	0	0	1	3	0	4	0	0	0	10
AGENCY OVERALL	124	190	38	121	29	29	41	92	34	16	26	60	800

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
AFRC	Directed requester to publicly available information	1	1
ARC	Directed requester to publicly available information	2	2
GSFC	Directed requester to publicly available information	9	9
HQ	Directed requester to publicly available information	21	21
JPL	Directed requester to publicly available information	3	3

JSC	Directed requester to publicly available information	9	9
KSC	Directed requester to publicly available information	2	2
LaRC	Directed requester to publicly available information	6	6
MSFC	Directed requester to publicly available information	5	5
NSSC	Directed requester to publicly available information	2	2
OGC	N/A	0	0
OIG	N/A	0	0
SSC	N/A	0	0
AGENCY OVERALL			60

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
AFRC	0	0	0	3	1	5	0	0	1	0	0	0	0	0
ARC	0	0	0	7	1	8	0	0	0	0	2	1	0	0
GRC	0	0	0	11	1	8	0	0	0	0	3	0	0	0

GSFC	0	1	5	22	1	3	0	0	0	0	0	0	0	0
HQ	1	11	3	3	12	60	1	0	4	0	14	1	0	0
JPL	0	0	3	4	1	5	0	0	0	0	0	0	0	0
JSC	0	0	6	4	3	17	0	0	3	0	2	0	0	0
KSC	0	0	7	16	4	8	1	0	1	0	0	0	0	0
LaRC	0	0	1	2	0	0	0	0	0	0	0	0	0	0
MSFC	0	0	3	6	1	9	0	0	2	0	1	0	0	0
NSSC	0	0	8	11	0	10	0	0	0	0	1	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	1	2	18	4	1	16	1	1	0	0	0
SSC	0	0	0	0	0	1	0	0	0	0	0	0	0	0
AGENCY OVERALL	1	12	36	90	27	152	6	1	27	1	24	2	0	0

VIA. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
OGC	0	26	15	11

OIG	0	1	1	0
AGENCY OVERALL	0	27	16	11

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OGC	4	0	1	10	15
OIG	1	0	0	0	1
AGENCY OVERALL	5	0	1	10	16

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	1	1	0	1	1	0	0	1	0	0	0	0	0
OIG	0	0	0	0	0	1	0	0	1	0	0	0	0	0

AGENCY OVERALL	0	1	1	0	1	2	0	0	2	0	0	0	0	0
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VLC.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
OGC	5	0	0	4	0	1	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	5	0	0	4	0	1	0	0	0	0	0

VLC.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was	TOTAL

OGC	Date of Appeal	2019-09-19	2019-09-11	2019-09-09	2019-09-05	2019-09-04	2019-08-16	2019-08-08	2019-07-10	2019-07-10	2019-02-08
	Number of Days Pending	7	13	15	17	18	30	36	57	57	162
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	2019-09-19	2019-09-11	2019-09-09	2019-09-05	2019-09-04	2019-08-16	2019-08-08	2019-07-10	2019-07-10	2019-02-08
	Number of Days Pending	7	13	15	17	18	30	36	57	57	162

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AFRC	6	16.8	<1	48	10	32.57	4	81	N/A	N/A	N/A	N/A
ARC	2	8.27	<1	34	24	32.32	1	122	N/A	N/A	N/A	N/A
GRC	2.5	3.5	1	8	16	20.8	<1	92	N/A	N/A	N/A	N/A

GSFC	10.5	24.05	<1	146	48.5	57.12	1	196	N/A	N/A	N/A	N/A
HQ	8	11.19	<1	45	24	36.76	1	240	N/A	N/A	N/A	N/A
JPL	6	6	6	6	8	11.51	<1	53	N/A	N/A	N/A	N/A
JSC	7	14.57	<1	80	33	43.33	<1	178	N/A	N/A	N/A	N/A
KSC	6	6.32	<1	17	27	37.15	1	163	N/A	N/A	N/A	N/A
LaRC	4	5.43	<1	16	23	36.18	15	119	N/A	N/A	N/A	N/A
MSFC	13.5	24.83	1	74	23	52.12	<1	209	N/A	N/A	N/A	N/A
NSSC	1	18.33	<1	85	45	62.88	<1	144	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	12	14.12	3	53	37	34	23	42	N/A	N/A	N/A	N/A
SSC	1	2	<1	4	1	4.67	1	12	N/A	N/A	N/A	N/A
AGENCY OVERALL	7	13.19	<1	146	25	38.31	<1	240	N/A	N/A	N/A	N/A

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AFRC	27	27	6	48	57.5	51.5	10	81	N/A	N/A	N/A	N/A

ARC	10	13.83	<1	34	29	38.69	14	122	N/A	N/A	N/A	N/A
GRC	2.5	3.5	1	8	25	29	1	92	N/A	N/A	N/A	N/A
GSFC	10	27.44	1	146	58	63.19	1	162	N/A	N/A	N/A	N/A
HQ	15	15.82	1	45	36	46.53	6	240	N/A	N/A	N/A	N/A
JPL	6	6	6	6	20	22.36	<1	53	N/A	N/A	N/A	N/A
JSC	5	11.36	2	63	44	57.69	8	178	N/A	N/A	N/A	N/A
KSC	8.5	8.75	1	17	39	44.4	3	163	N/A	N/A	N/A	N/A
LaRC	5.5	6.17	2	13	20	20.67	15	27	N/A	N/A	N/A	N/A
MSFC	17	21.33	10	37	36.5	76.93	15	209	N/A	N/A	N/A	N/A
NSSC	53	53	21	85	82.5	82.42	19	144	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	17	18.8	3	53	23	23	23	23	N/A	N/A	N/A	N/A
SSC	4	4	4	4	12	12	12	12	N/A	N/A	N/A	N/A
AGENCY OVERALL	10	16.28	<1	146	37	50.83	<1	240	N/A	N/A	N/A	N/A

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
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AFRC	3	1	1	0	0	0	0	0	0	0	0	0	0	5
ARC	9	2	0	0	0	0	0	0	0	0	0	0	0	11
GRC	6	0	0	0	0	0	0	0	0	0	0	0	0	6
GSFC	28	5	5	2	1	0	0	1	0	0	0	0	0	42
HQ	57	15	1	0	0	0	0	0	0	0	0	0	0	73
JPL	1	0	0	0	0	0	0	0	0	0	0	0	0	1
JSC	29	5	1	2	0	0	0	0	0	0	0	0	0	37
KSC	25	0	0	0	0	0	0	0	0	0	0	0	0	25
LaRC	23	0	0	0	0	0	0	0	0	0	0	0	0	23
MSFC	4	1	0	1	0	0	0	0	0	0	0	0	0	6
NSSC	4	1	0	0	1	0	0	0	0	0	0	0	0	6
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	19	5	1	0	0	0	0	0	0	0	0	0	0	25
SSC	3	0	0	0	0	0	0	0	0	0	0	0	0	3
AGENCY OVERALL	211	35	9	5	2	0	0	1	0	0	0	0	0	263

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AFRC	4	0	1	1	1	0	0	0	0	0	0	0	0	7
ARC	8	6	2	2	0	0	1	0	0	0	0	0	0	19
GRC	9	4	1	0	1	0	0	0	0	0	0	0	0	15
GSFC	13	6	7	6	1	3	2	2	1	1	0	0	0	42
HQ	47	33	12	13	6	2	0	1	0	0	1	0	0	115
JPL	39	5	1	0	0	0	0	0	0	0	0	0	0	45
JSC	18	19	11	5	1	4	1	1	1	0	0	0	0	61
KSC	8	20	7	1	2	0	0	0	1	0	0	0	0	39
LaRC	4	4	1	1	0	1	0	0	0	0	0	0	0	11
MSFC	17	6	1	0	0	6	1	0	0	1	2	0	0	34
NSSC	4	4	2	1	1	1	1	3	0	0	0	0	0	17
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	2	1	0	0	0	0	0	0	0	0	0	0	3
SSC	3	0	0	0	0	0	0	0	0	0	0	0	0	3
AGENCY OVERALL	174	109	47	30	13	17	6	7	3	2	3	0	0	411

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AFRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ARC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JPL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
KSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
LaRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NSSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
AFRC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
ARC	1	21	21	2	38	38	0	N/A	N/A
GRC	1	3	3	2	15.5	15.5	0	N/A	N/A
GSFC	4	37	42	12	21	26.17	0	N/A	N/A
HQ	4	6.5	6.75	9	20	45.56	0	N/A	N/A
JPL	1	11	11	0	N/A	N/A	0	N/A	N/A
JSC	4	15.5	19.75	9	13	33.56	0	N/A	N/A
KSC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
LaRC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
MSFC	4	3.5	4.5	0	N/A	N/A	0	N/A	N/A
NSSC	0	N/A	N/A	1	2	2	0	N/A	N/A
OGC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OIG	4	23	19	3	63	67	0	N/A	N/A
SSC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
AGENCY OVERALL	23	11	17.57	38	20	34	0	N/A	N/A

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
AFRC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
ARC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2019-09-12	2019-08-29	2019-06-28
	Number of Days Pending	0	0	0	0	0	0	0	12	21	64
GRC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2019-09-25	2019-09-17	2019-08-28
	Number of Days Pending	0	0	0	0	0	0	0	3	9	22
GSFC	Date of Receipt	2019-08-22	2019-08-20	2019-08-16	2018-08-14	2019-08-14	2019-08-09	2019-08-08	2019-08-05	2019-06-18	2019-05-15
	Number of Days Pending	26	28	30	32	32	35	36	39	68	95
HQ	Date of Receipt	2019-09-20	2019-09-13	2019-09-11	2019-09-11	2019-09-09	2019-08-30	2019-07-26	2019-06-13	2019-04-29	2019-04-29
	Number of Days Pending	6	11	13	13	15	20	45	75	98	107

	Number of Days Pending	0	0	0	0	0	0	0	0	0	2
OGC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OIG	Date of Receipt	N/A	N/A	N/A	2019-09-19	2019-08-28	2019-08-26	2019-08-26	2019-07-29	2019-07-01	2019-05-16
	Number of Days Pending	0	0	0	7	22	24	24	44	63	94
SSC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Receipt	2019-07-01	2019-06-28	2019-06-18	2019-06-13	2019-05-16	2019-05-16	2019-05-15	2019-04-29	2019-05-01	2019-04-29
	Number of Days Pending	63	64	68	75	94	94	95	98	105	107

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
AFRC	0	0	N/A	N/A	N/A
ARC	0	0	N/A	N/A	N/A
GRC	0	1	1	1	1
GSFC	0	0	N/A	N/A	N/A
HQ	0	10	1	1.9	10
JPL	0	0	N/A	N/A	N/A
JSC	0	3	8	8.67	2
KSC	0	5	6	5.4	4
LaRC	0	0	N/A	N/A	N/A
MSFC	0	1	9	9	1
NSSC	0	0	N/A	N/A	N/A
OGC	0	0	N/A	N/A	N/A
OIG	0	1	6	6	1
SSC	0	0	N/A	N/A	N/A
AGENCY OVERALL	0	21	6	4.19	19

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
AFRC	0	0	N/A	N/A
ARC	2	0	8	8
GRC	0	0	N/A	N/A
GSFC	0	2	116.5	116.5
HQ	1	17	23.5	22.33
JPL	0	1	5	5
JSC	0	3	10	9
KSC	0	2	4.5	4.5
LaRC	0	0	N/A	N/A
MSFC	0	3	7	7.67
NSSC	0	0	N/A	N/A
OGC	0	0	N/A	N/A
OIG	1	1	1.5	1.5
SSC	0	1	1	1
AGENCY OVERALL	4	30	12	21.15

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
AFRC	0	0.25	0.25	30496.38	0.00	30496.38
ARC	0	0.25	0.25	29467.38	0.00	29467.38
GRC	0	0.25	0.25	28794.13	0.00	28794.13
GSFC	1	0.00	1.00	115871.74	0.00	115871.74
HQ	3	0.00	3.00	454622.59	0.00	454622.59
JPL	0	0.50	0.50	64556.47	0.00	64556.47
JSC	1	0.00	1.00	99201.75	0.00	99201.75
KSC	0	0.50	0.50	57878.18	0.00	57878.18
LaRC	0	0.50	0.50	49583.78	0.00	49583.78
MSFC	1	0.00	1.00	79841.36	0.00	79841.36
NSSC	0	0.25	0.25	29718.74	0.00	29718.74
OGC	0	2.00	2.00	300000.00	0.00	300000.00
OIG	1	0.00	1.00	72622.05	0.00	72622.05

SSC	0	0.25	0.25	21564.56	0.00	21564.56
AGENCY OVERALL	7	4.75	11.75	1434219.11	0.00	1434219.11

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
AFRC	665.15	0.0218
ARC	835.47	0.0284
GRC	0.00	
GSFC	1267.58	0.0109
HQ	1118.59	0.0025
JPL	208.08	0.0032
JSC	12360.01	0.1246
KSC	3807.34	0.0658
LaRC	563.58	0.0114
MSFC	2545.34	0.0319
NSSC	1410.50	0.0475

OGC	0.00	
OIG	0.00	
SSC	160.84	0.0075
AGENCY OVERALL	24942.48	0.0174

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
AFRC	0
ARC	0
GRC	0
GSFC	0
HQ	0
JPL	0
JSC	0
KSC	0
LaRC	0
MSFC	0

NSSC	0
OGC	0
OIG	0
SSC	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
AFRC	0	0
ARC	0	0
GRC	0	0
GSFC	0	0
HQ	0	0
JPL	0	0
JSC	0	0

KSC	0	0
LaRC	0	0
MSFC	0	0
NSSC	0	0
OGC	0	0
OIG	0	0
SSC	0	0
AGENCY OVERALL	0	0

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
AFRC	0	N/A
ARC	2	N/A
GRC	1	N/A
GSFC	10	N/A
HQ	4	N/A

JPL	0	N/A
JSC	5	N/A
KSC	0	N/A
LaRC	0	N/A
MSFC	0	N/A
NSSC	0	N/A
OGC	0	5
OIG	6	0
SSC	0	N/A
AGENCY OVERALL	28	5

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
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AFRC	0	0	0	0
ARC	0	1	1	0
GRC	0	0	0	0
GSFC	0	2	1	1
HQ	0	12	10	2
JPL	0	0	0	0
JSC	0	3	3	0
KSC	0	1	1	0
LaRC	0	0	0	0
MSFC	0	0	0	0
NSSC	0	0	0	0
OGC	0	0	0	0
OIG	0	0	0	0
SSC	0	0	0	0
AGENCY OVERALL	0	19	16	3

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS RECEIVED		NUMBER OF REQUESTS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
AFRC	8	13	8	14
ARC	48	31	49	32
GRC	18	26	16	27
GSFC	90	89	84	86
HQ	294	252	313	249
JPL	55	58	56	57
JSC	138	115	146	108
KSC	55	78	57	77
LaRC	38	39	41	39
MSFC	39	41	39	44
NSSC	13	24	12	25
OGC	0	0	0	0

OIG	46	36	48	32
SSC	11	10	11	10
AGENCY OVERALL	853	812	880	800

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --
BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
AFRC	0	0
ARC	3	2
GRC	0	1
GSFC	8	10
HQ	7	4
JPL	0	0
JSC	4	5
KSC	1	0
LaRC	0	0

MSFC	3	0
NSSC	0	0
OGC	0	0
OIG	1	6
SSC	0	0
AGENCY OVERALL	27	28

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OGC	8	26	14	15
OIG	1	1	1	1
AGENCY OVERALL	9	27	15	16

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OGC	0	5
OIG	0	0
AGENCY OVERALL	0	5