## FOIA ANNUAL REPORT

FOR

October 1, 2016 THROUGH September 30, 2017

The following **Annual Freedom of Information Act** report covers the Period October 1, 2016, through September 30, 2017, as required by 5 U.S.C. 552.

#### I. BASIC INFORMATION REGARDING REPORT

1. Provide name, title, address, and telephone number of person(s) to be contacted with questions about the Report.

Miriam Brown-Lam Principal Agency FOIA Officer 300 E. Street SW Washington, DC 20546 202-358-0718

- 2. Provide an electronic link for access to the Report on the agency Web site. http://www.nasa.gov/FOIA/reports.html
- 3. Explain how to obtain a copy of the Report in paper form.

Requesters may write to:

Freedom of Information Act (FOIA) Office 300 E. Street, SW, Code P-FOIA Washington, DC 20546-0001 Email: FOIA@hq.nasa.gov

#### **II. MAKING A FOIA REQUEST**

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

Martha Terry, ARC FOIA Public Liaison Officer; 202-358-2339 NASA Headquarters (on behalf of Ames Research Center, FOIA Requester Service Center) Washington, DC 20546-0001

Martha Terry, AFRC FOIA Public Liaison Officer; 202-358-2339 NASA Headquarters (on behalf of Armstrong Flight Research Center, FOIA Requester Service Center) Washington, DC 20546-0001

Martha Terry, GRC FOIA Public Liaison Officer; 202-358-2339 NASA Headquarters, (on behalf of Glenn Research Center, FOIA Requester Service Center) Washington, DC 20546-0001

Joan Belt, GSFC FOIA Public Liaison Officer; 301-286-4721 NASA Goddard Space Flight Center (GSFC) FOIA Requester Service Center, MS213.1 Greenbelt, MD 20771

Josephine Sibley, HQ FOIA Public Liaison Officer; 202-358-2462 NASA Headquarters (HQ) FOIA Requester Service Center Washington, DC 20546-0001

Dennis Mahon, JPL FOIA Public Liaison Officer; 818-393-6779 NASA Jet Propulsion Laboratory(JPL), FOIA Requester Service Center 4800 Oak Grove Drive, Pasadena, VA 91109

Jessica Cordero, JSC FOIA Public Liaison Officer; 281-483-8252 NASA Johnson Space Center (JSC), FOIA Requester Service Center, MC AP2 Houston, TX 77058

Penny Myers, KSC FOIA Public Liaison Officer; 321-867-9280 NASA Kennedy Space Center (KSC), FOIA Requester Service Center MC XA-A1 Kennedy Space Center, FL 32889

Carissa Wheeler, MSFC FOIA Public Liaison Officer; 757-864-4274 NASA Langley Research Center (LaRC), FOIA Requester Service Center, MS 218 Hampton, VA 23681

Carissa Wheeler, MSFC FOIA Public Liaison Officer; 757-864-4274 NASA Langley Research Center (LaRC), (on behalf of Marshall Space Flight Center) FOIA Requester Service Center, MS 218 Hampton, VA 23681

Martha Terry, NSSC FOIA Public Liaison Officer; 202-358-2339 NASA Headquarters, (on behalf of NASA Shared Services Center, FOIA Requester Service Center) Washington, DC 20546-0001

Frank LaRocca, OIG Public Liaison Officer; 202-358-2575 NASA Office of the Inspector General (OIG); FOIA Requester Service Center Washington, DC 20546-0001

Sallie Bilbo, SSC FOIA Public Liaison Officer; 228-688-3182 NASA Stennis Space Center (SSC), FOIA Requester Service Center Code CA 10, Stennis Space Center, MS 39529-6000

#### 3. Agency Component Abbreviations

Component Abbreviation	Component Name
AFRC	Armstrong Flight Research Center
ARC	Ames Reseach Center
GRC	Glenn Research Center
GSFC	Goddard Space Flight Center
HQ	Headquarters
JPL	Jet Propulsion Laboratory
JSC	Johnson Space Center
KSC	Kennedy Space Center
LaRC	Langley Research Center
MSFC	Marshall Space Flight Center
NSSC	NASA Shared Service Center
OGC	Office of General Counsel
OIG	Office of the Inspector General
SSC	Stennis Space Center

#### **IV. EXEMPTION 3 STATUTES**

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
10 U.S.C. § 2305(g)	Certain contractor proposals	Roman v. NSA, Nos. 09-2947, 09=4281, 09-3344, 09-2504, 09- 5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb. 22, 2012); Margolin v. NASA, No. 09-CV-00421-LRH- VPC, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011).	ARC	2	8
			GRC	3	
			GSFC	3	
22 U.S.C. § 2778(e) (Arms Export Control Act)	Certain information pertaining to export license applications	Council for a Livable World v. U.S. Dep't of State, No. 96-1807, slip op. at 11 (D.D.C. Jan. 21, 1998), amended (D.D.C. Nov. 23, 1998).	GSFC	2	
			HQ	1	10
			JPL	1	10
			MSFC	4	
			GRC	1	
			JPL	1	
41 U.S.C. § 2102	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. V U.S. Dept of the Army, No, Civ. S001748, 2001 UL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum)	GSFC	1	3
			JPL	1	

	JSC	1	

#### V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
AFRC	1	11	11	1
ARC	4	44	42	6
GRC	2	24	23	3
GSFC	4	117	113	8
HQ	11	423	400	34
JPL	0	57	56	1
JSC	11	118	112	17
KSC	7	65	68	4
LaRC	0	47	43	4
MSFC	2	65	60	7
NSSC	0	26	25	1
OGC	0	0	0	0
OIG	1	52	48	5
SSC	2	13	15	0
AGENCY OVERALL	45	1,062	1,016	91

After reviewing their databases, HQ and JSC adjusted the number of requests pending at the start of the Fiscal Year.

## V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

		Number			Number	of Full De	nials Base	ed on Reaso	ns Other tl	han Exem	ptions		
Agency / Component	Number of Full Grants	of Partial Grants /	Number of Full Denials Based on Exemptions	Pocorde	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency	Duplicate Request	Other *Explain in Chart Below	TOTAL
AFRC	4	2	0	0	0	1	0	2	2	0	0	0	11
ARC	7	10	4	0	1	0	1	10	1	1	3	4	42
GRC	2	6	2	2	0	2	2	4	2	1	0	0	23
GSFC	18	17	6	10	4	25	4	5	7	1	5	11	113
HQ	48	36	10	39	27	5	4	95	50	26	15	45	400
JPL	2	5	3	4	1	2	2	12	0	6	11	8	56
JSC	27	13	0	2	1	6	16	15	16	9	4	3	112
KSC	6	11	3	5	1	2	4	7	18	7	4	0	68
LaRC	8	2	1	4	3	3	5	2	6	2	6	1	43
MSFC	8	20	3	3	0	8	9	1	1	3	0	4	60
NSSC	3	8	0	1	0	1	1	3	3	2	2	1	25
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	3	24	6	3	0	5	1	0	3	0	3	0	48
SSC	2	5	0	0	0	0	2	1	2	0	2	1	15
AGENCY OVERALL	138	159	38	73	38	60	51	157	111	58	55	78	1,016

### V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
ARC	Directed requester to Publicly Available Information	4	4
GSFC	Directed requester to Publicly Available Information	11	11
HQ	Directed requester to Publicly Available Information	45	45
JPL	Directed requester to Publicly Available Information	8	8
JSC	Directed requester to Publicly Available Information	3	3
LaRC	Directed requester to Publicly Available Information	1	1
MSFC	Directed requester to Publicly Available Information	4	4
NSSC	Directed requester to Publicly Available Information	1	1
SSC	Directed requester to Publicly Available Information	1	1
AGENCY OVERALL			78

## V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
AFRC	0	0	0	2	0	1	0	0	0	0	0	0	0	0
ARC	0	0	2	13	0	2	0	0	0	0	0	0	0	0
GRC	0	0	4	6	0	1	0	0	0	0	0	0	0	0
GSFC	0	0	6	13	1	6	1	0	4	0	0	0	0	0
HQ	0	2	1	4	20	29	2	0	3	0	3	1	0	0
JPL	0	0	3	5	0	5	0	0	0	0	1	0	0	0
JSC	0	1	1	10	5	0	0	C	0	0	0	0	0	0
KSC	0	0	0	4	2	6	1	0	1	0	1	1	0	0
LaRC	0	0	0	2	1	1	0	0	0	0	0	0	0	0
MSFC	0	0	4	13	0	5	0	1	1	0	0	1	0	0
NSSC	0	0	0	7	0	1	0	0	0	0	0	0	0	0
ogc	0	0	0	0	0	0	0	C	0	0	0	0	0	0
OIG	0	0	0	6	6	27	2	C	27	3	8	1	0	0
SSC	0	0	0	4	0	3	0	C	0	0	0	3	0	0
AGENCY OVERALL	0	3	21	89	35	87	6	1	36	3	13	7	0	0

#### VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS --RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
OGC	4	24	22	6
OIG	0	3	3	0
AGENCY OVERALL	4	27	25	6

#### VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OGC	6	2	2 7	7	22
OIG	3	C	0	0	3
AGENCY OVERALL	9	2	7	7	25

## VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
AFRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ARC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JPL	0	0	0	0	0	C	0	0	0	0	0	0	0	0
JSC	0	0	0	0	0	C	0	C	0	0	0	0	0	0
KSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
LaRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NSSC	0	0	0	0	0	C	0	0	0	0	0	0	0	0
OGC	0	0	0	3	2	1	3	C	0	0	0	0	0	0
OIG	0	0	0	1	1	3	0	C	3	0	0	0	0	0
SSC	0	0	0	0	0	C	0	C	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	4	3	4	3	C	3	0	0	0	0	0

## VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
OGC	1	0	1	0	0	4	0	1	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	1	0	1	0	0	4	0	1	0	0	0

## VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

## VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	12.00	15.80	<1	39.00
OIG	33.25	33.25	14.00	52.00
AGENCY OVERALL	19.50	18.10	<1	52.00

## VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days										
AFRC	Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days										
ARC	Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days										
GRC	Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days										
GSFC	Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days										
JPL	Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
JSC	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
KSC	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
LaRC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	Number of Days										
	Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days										
MSFC	Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NSSC	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	2017-09-27	2017-09-25	2017-09-25	2017-09-12	2017-09-06	2017-09-01
ogc	Number of Days Pending	0	0	0	0	2	4	4	13	17	19
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ssc	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY	Date of Appeal	N/A	N/A	N/A	N/A	2017-09-27	2017-09-25	2017-09-25	2017-09-12	2017-09-06	2017-09-01
OVERALL	Number of Days Pending	0	0	0	0	2	4	4	13	17	19

#### VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

	SIMPLE Median Average Lowest Highes					COM	PLEX		EXF	PEDITED F	PROCESS	ING
Agency /	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest
Component	Number	Number	Number	Number	Number	Number	Number	Number	Number	Number	Number	Number
	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days
AFRC	2	2	1	3	26	26.4	5	56	N/A	N/A	N/A	N/A
ARC	3	5.56	<1	29	29	27.73	<1	63	N/A	N/A	N/A	N/A
GRC	8	8.71	<1	20	38.5	48	4	134	N/A	N/A	N/A	N/A
GSFC	2	4.38	<1	29	12	21.08	<1	126	16	16	10	22
HQ	3	5.89	<1	31	28	33.57	1	186	N/A	N/A	N/A	N/A
JPL	0.5	2.08	<1	10	3	5.91	<1	39	N/A	N/A	N/A	N/A
JSC	6	7.63	<1	22	9	31.39	<1	217	N/A	N/A	N/A	N/A
KSC	2	2.5	<1	11	29	39.56	<1	191	N/A	N/A	N/A	N/A
LaRC	2	3	<1	13	19	19.27	7	29	N/A	N/A	N/A	N/A
MSFC	4	6.52	<1	28	22	22.2	<1	62	N/A	N/A	N/A	N/A
NSSC	2	8	<1	22	1.5	4.79	<1	23	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	6	9.39	1	40	36	36.67	2	68	N/A	N/A	N/A	N/A
SSC	1	2	<1	6	14.5	23	<1	72	N/A	N/A	N/A	N/A
AGENCY OVERALL	3	5.73	<1	40	21	27.12	<1	217	16	16	10	22

# VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

	SIMPLE Median Average Lowest Highe					COM	PLEX		EXF	PEDITED F	PROCESS	ING
Agency /	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest
Component	Number	Number	Number	Number	Number	Number	Number	Number	Number	Number	Number	Number
	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days	of Days
AFRC	2	2	1	3	22.5	26.5	5	56	N/A	N/A	N/A	N/A
ARC	4.5	4.75	1	9	30	31.31	<1	63	N/A	N/A	N/A	N/A
GRC	9.5	9.5	8	11	52	50.33	17	91	N/A	N/A	N/A	N/A
GSFC	9	10	5	18	21	27.19	2	126	N/A	N/A	N/A	N/A
HQ	12	11.68	<1	31	32	40.02	12	186	N/A	N/A	N/A	N/A
JPL	0.5	0.5	<1	1	9	13.8	<1	39	N/A	N/A	N/A	N/A
JSC	7	7.95	<1	22	62	69.71	9	217	N/A	N/A	N/A	N/A
KSC	2	2	2	2	30	51.62	1	191	N/A	N/A	N/A	N/A
LaRC	6	6.75	2	13	20	20	14	28	N/A	N/A	N/A	N/A
MSFC	7	10.13	<1	28	26	27.46	5	62	N/A	N/A	N/A	N/A
NSSC	19	19	16	22	4	6.56	<1	23	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	9	12.42	1	40	41.5	41	3	68	N/A	N/A	N/A	N/A
SSC	1	2.33	<1	6	14.5	16.5	9	28	N/A	N/A	N/A	N/A
AGENCY OVERALL	8	9.8	<1	40	28	37.62	<1	217	N/A	N/A	N/A	N/A

#### VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	TOTAL
AFRC	2	0	0	0	0	0	0	0	0	0	0	0	0	2
ARC	15	1	0	0	0	0	0	0	0	0	0	0	0	16
GRC	7	0	0	0	0	0	0	0	0	0	0	0	0	7
GSFC	58	2	0	0	0	0	0	0	0	0	0	0	0	60
HQ	159	2	0	0	0	0	0	0	0	0	0	0	0	161
JPL	12	0	0	0	0	0	0	0	0	0	0	0	0	12
JSC	28	2	0	0	0	0	0	0	0	0	0	0	0	30
KSC	16	0	0	0	0	0	0	0	0	0	0	0	0	16
LaRC	24	0	0	0	0	0	0	0	0	0	0	0	0	24
MSFC	32	1	0	0	0	0	0	0	0	0	0	0	0	33
NSSC	4	1	0	0	0	0	0	0	0	0	0	0	0	5
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	31	5	0	0	0	0	0	0	0	0	0	0	0	36
SSC	6	0	0	0	0	0	0	0	0	0	0	0	0	6
AGENCY OVERALL	394	14	0	0	0	0	0	0	0	0	0	0	0	408

#### VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	TOTAL
AFRC	2	2	1	0	0	0	0	0	0	0	0	0	0	5
ARC	5	6	3	1	0	0	0	0	0	0	0	0	0	15
GRC	3	2	1	2	1	0	1	0	0	0	0	0	0	10
GSFC	24	10	3	0	0	1	1	0	0	0	0	0	0	39
HQ	23	51	10	6	2	1	0	0	0	1	0	0	0	94
JPL	31	1	0	0	0	0	0	0	0	0	0	0	0	32
JSC	34	5	1	2	4	3	0	0	1	0	1	0	0	51
KSC	8	13	2	1	0	1	1	0	0	1	0	0	0	27
LaRC	6	5	0	0	0	0	0	0	0	0	0	0	0	11
MSFC	12	10	2	1	0	0	0	0	0	0	0	0	0	25
NSSC	13	1	0	0	0	0	0	0	0	0	0	0	0	14
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	2	3	2	2	0	0	0	0	0	0	0	0	0	9
SSC	4	1	0	1	0	0	0	0	0	0	0	0	0	6
AGENCY OVERALL	167	110	25	16	7	6	3	0	1	2	1	0	0	338

#### VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	TOTAL
AFRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ARC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GSFC	1	1	0	0	0	0	0	0	0	0	0	0	0	2
HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JPL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
KSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
LaRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NSSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	1	1	0	0	0	0	0	0	0	0	0	0	0	2

#### VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

		SIMPLE			COMPLEX		EXPED	ITED PROCE	ESSING
Agency / Component	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
AFRC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
ARC	0	N/A	N/A	6	15.5	15	0	N/A	N/A
GRC	0	N/A	N/A	1	10	10	0	N/A	N/A
GSFC	0	N/A	N/A	7	16	23	0	N/A	N/A
HQ	7	8	18	10	18	32	0	N/A	N/A
JPL	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
JSC	0	N/A	N/A	10	56.5	69	0	N/A	N/A
KSC	0	N/A	N/A	3	27	23	0	N/A	N/A
LaRC	0	N/A	N/A	4	11.5	17	0	N/A	N/A
MSFC	2	17.5	17	5	17	22	0	N/A	N/A
NSSC	0	N/A	N/A	1	15	15	0	N/A	N/A
OGC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OIG	5	7	6	0	N/A	N/A	0	N/A	N/A
SSC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
AGENCY OVERALL	14	7.5	14	47	17	32	0	N/A	N/A

### VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AFRC	Number of Days Pending	0	0	0	0	0	C	C	0	0	0
	Date of Receipt	N/A	N/A	N/A	N/A	2017-09-26	2017-09-18	2017-09-08	2017-09-07	2017-08-28	2017-08-25
ARC	Number of Days Pending	0	0	0	0	3	; g	15	16	23	24
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-15
GRC	Number of Days Pending	0	0	0	0	0	C	C	0	0	10
	Date of Receipt	N/A	N/A	N/A	2017-09-13	2017-09-07	2017-09-07	2017-09-07	2017-08-24	2017-08-22	2017-07-19
GSFC	Number of Days Pending	0	0	0	12	16	16	16	25	27	51
	Date of Receipt	2017-09-15	2017-09-05	2017-09-05	2017-09-04	2017-08-21	2017-08-10	2017-08-02	2017-07-17	2017-06-28	2017-03-24
HQ	Number of Days Pending	10	18	18	18	28	35	41	53	65	132
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
JPL	Number of Days Pending	0	0	0	0	0	C	0	0	0	0
	Date of Receipt	2017-09-21	2017-09-18	2017-09-13	2017-09-05	2017-07-31	2017-06-21	2017-06-20	2017-03-22	2017-02-16	2017-01-27
JSC	Number of Days Pending	6	9	12	18	43	70	71	134	157	171
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-18	2017-08-22	2017-08-14
KSC	Number of Days Pending	0	0	0	0	0	C	0	9	27	33
LaRC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-21	2017-09-18	2017-09-11	2017-08-03

	Number of Days Pending	0	0	C	0	0	0	6	9	14	40
	Date of Receipt	N/A	N/A	N/A	2017-09-15	2017-09-13	2017-09-06	2017-09-06	2017-08-24	2017-08-21	2017-08-04
MSFC	Number of Days Pending	0	0	C	10	12	. 17	17	25	28	39
	Date of Receipt	N/A	2017-09-08								
NSSC	Number of Days Pending	0	0	C	0	0	0	0	0	0	15
	Date of Receipt	N/A									
ogc	Number of Days Pending	0	0	C	0	0	0	0	0	0	0
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2017-09-26	2017-09-25	2017-09-20	2017-09-20	2017-09-14
OIG	Number of Days Pending	0	0	C	0	0	3	4	7	7	11
	Date of Receipt	N/A									
ssc	Number of Days Pending	0	0	C	0	0	0	0	0	0	0
AGENCY	Date of Receipt	2017-07-31	2017-07-19	2017-07-17	2017-06-28	2017-06-21	2017-06-20	2017-03-24	2017-03-22	2017-02-16	2017-01-27
OVERALL	Number of Days Pending	43	51	53	65	70	71	132	134	157	171

### **VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
AFRC	0	0	N/A	N/A	0
ARC	0	2	4	4	2
GRC	0	1	1	1	1
GSFC	2	7	13	16.11	3
HQ	0	18	0	1.11	18
JPL	0	0	N/A	N/A	0
JSC	0	0	N/A	N/A	0
KSC	0	1	1	1	1
LaRC	0	0	N/A	N/A	0
MSFC	0	5	6	10.4	3
NSSC	0	8	1	1	8
OGC	0	0	N/A	N/A	0
OIG	0	1	48	48	0
SSC	0	0	N/A	N/A	0
AGENCY OVERALL	2	43	1	6.16	36

### VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
AFRC	0	0	N/A	N/A
ARC	0	0	N/A	N/A
GRC	0	0	N/A	N/A
GSFC	0	3	14	20.67
HQ	0	3	1	1
JPL	0	0	N/A	N/A
JSC	0	2	3	3
KSC	0	1	1	1
LaRC	0	0	N/A	N/A
MSFC	0	3	4	5
NSSC	0	0	N/A	N/A
OGC	0	0	N/A	N/A
OIG	0	0	N/A	N/A
SSC	0	1	1	1
AGENCY OVERALL	0	13	5	6.38

#### IX. FOIA PERSONNEL AND COSTS

		PERSONNEL		COSTS		
Agency / Component	Number of "Full- Time FOIA Employees"	Number of "Equivalent Full- Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
AFRC	0	0.25	0.25	\$24,648.55	\$0.00	\$24,648.55
ARC	0	0.25	0.25	\$28,778.95	\$0.00	\$28,778.95
GRC	0	0.25	0.25	\$27,169.00	\$0.00	\$27,169.00
GSFC	1	0	1	\$114,570.25	\$0.00	\$114,570.25
HQ	2	0	2	\$370,020.96	\$0.00	\$370,020.96
JPL	0	0.4	0.4	\$49,214.78	\$0.00	\$49,214.78
JSC	1	0	1	\$120,634.42	\$0.00	\$120,634.42
KSC	1	0	1	\$117,814.43	\$0.00	\$117,814.43
LaRC	1	0	1	\$93,892.16	\$0.00	\$93,892.16
MSFC	0.5	0	0.5	\$76,575.29	\$0.00	\$76,575.29
NSSC	0	0.25	0.25	\$24,541.68	\$0.00	\$24,541.68
OGC	2	0	2	\$300,000.00	\$0.00	\$300,000.00
OIG	0	0.2	0.2	\$23,563.64	\$0.00	\$23,563.64
SSC	0	0.25	0.25	\$25,353.20	\$0.00	\$25,353.20
AGENCY OVERALL	8.5	1.85	10.35	\$1,396,777.31	\$0.00	\$1,396,777.31

### X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs	
AFRC	\$250.00	1.01%	
ARC	\$1,799.73	6.25%	
GRC	\$1,270.76	4.68%	
GSFC	\$1,259.45	1.10%	
HQ	\$1,594.88	0.43%	
JPL	\$0.00	0.00%	
JSC	\$7,874.70	6.53%	
KSC	\$3,118.78	2.65%	
LaRC	\$640.00	0.68%	
MSFC	\$689.02	0.90%	
NSSC	\$152.55	0.62%	
OGC	\$0.00	0.00%	
OIG	\$0.00	0.00%	
SSC	\$3,223.60	12.71%	
AGENCY OVERALL	\$21,873.47	1.57%	

### XI.A. NUMBER OF TIMES SUBSECTION USED

Agency / Component	Number of Times Subsection Used
AFRC	0
ARC	0
GRC	0
GSFC	0
HQ	0
JPL	0
JSC	0
KSC	0
LaRC	0
MSFC	0
NSSC	0
OIG	0
SSC	0
AGENCY OVERALL	0

### **XI.B. NUMBER OF SUBSECTION POSTINGS**

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
AFRC	0	115
ARC	0	115
GRC	0	115
GSFC	1	115
HQ	0	115
JPL	0	115
JSC	3,329	120
KSC	0	115
LaRC	0	115
MSFC	145	115
NSSC	0	115
OIG	0	115
SSC	0	115
AGENCY OVERALL	3,475	1,500

#### XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
AFRC	0	0
ARC	0	0
GRC	0	0
GSFC	1	0
HQ	4	0
JPL	0	0
JSC	5	0
KSC	0	0
LaRC	1	0
MSFC	0	0
NSSC	0	0
OGC	0	0
OIG	0	0
SSC	0	0
AGENCY OVERALL	11	0

# XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

	Number of		Number of	Number of
	<b>Consultations Received</b>	Number of	<b>Consultations Received</b>	Consultations Received
Agency / Component	from Other Agencies	Consultations Received		from Other Agencies
Agency / Component	that were <u>Pending</u> at the	from Other Agencies	that were Processed by	that were <u>Pending</u> at the
	Agency as of Start	During the Fiscal Year	the Agency During the	Agency as of <u>End</u>
	of the Fiscal Year		Fiscal Year	of the Fiscal Year
AFRC	0	1	0	1
ARC	0	0	0	0
GRC	0	0	0	0
GSFC	0	2	2	0
HQ	0	8	8	0
JPL	0	0	0	0
JSC	0	0	0	0
KSC	0	0	0	0
LaRC	0	0	0	0
MSFC	0	0	0	0
NSSC	0	0	0	0
OGC	0	0	0	0
OIG	0	2	2	0
SSC	0	0	0	0
AGENCY OVERALL	0	13	12	1

# XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-26
AFRC	Number of Days	0	0	0	0	0	0	0	0	C	3
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ARC	Number of Days	0	0	0	0	0	0	0	0	C	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
GRC	Number of Days	0	0	0	0	0	0	0	0	C	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
GSFC	Number of Days	0	0	0	0	0	0	0	0	C	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	Number of Days	0	0	0	0	0	0	0	0	C	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
JPL	Number of Days	0	0	0	0	0	0	0	0	C	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
JSC	Number of Days	0	0	0	0	0	0	0	0	C	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
KSC	Number of Days	0	0	0	0	0	0	0	0	C	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
LaRC	Number of Days	0	0	0	0	0	0	0	0	C	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
MSFC	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NSSC	Number of Days	0	0	0	0	0	0	0	0	C	0
OGC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A									
OIG	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	N/A									
ssc	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY	Date	N/A	2017-09-26								
OVERALL	Number of Days	0	0	0	0	0	0	0	0	0	3

#### XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --REQUESTS RECEIVED AND PROCESSED

	NUMBER OF REQ	UESTS <u>RECEIVED</u>	NUMBER OF REQUESTS PROCESSED		
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
AFRC	26	11	26	11	
ARC	33	44	35	42	
GRC	12	24	11	23	
GSFC	75	117	80	113	
HQ	254	423	252	400	
JPL	41	57	41	56	
JSC	151	118	151	112	
KSC	66	65	64	68	
LaRC	36	47	37	43	
MSFC	54	65	55	60	
NSSC	23	26	23	25	
OGC	0	0	0	0	
OIG	48	52	53	48	
SSC	17	13	19	15	
AGENCY OVERALL	836	1,062	847	1,016	

After reviewing their databases, HQ and JSC updated the number of requests receiving during Fiscal Year 2016.

#### XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

	Number of Backlogged	Number of Backlogged
A		Requests as of End of the
Agency / Component	Fiscal Year from Previous	Fiscal Year from Current
	Annual Report	Annual Report
AFRC	0	0
ARC	1	0
GRC	1	0
GSFC	1	1
HQ	1	4
JPL	0	0
JSC	2	5
KSC	0	0
LaRC	0	1
MSFC	0	0
NSSC	0	0
OGC	0	0
OIG	0	0
SSC	0	0
AGENCY OVERALL	6	11

After reviewing their databases, ARC and JSC updated the number of requests backlogged from Fiscal Year 2016.

## XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

	NUMBER OF APF	PEALS <u>RECEIVED</u>	NUMBER OF APPEALS PROCESSED		
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
OGC	20	24	21	22	
OIG	0	3	0	3	
AGENCY OVERALL	20	27	21	25	

#### XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OGC	3	0
OIG	0	0
AGENCY OVERALL	3	0