

FOIA ANNUAL REPORT

FOR
10/01/2010
THROUGH
09/30/2011

National Aeronautics and Space Administration
The following Annual Freedom of Information Act report covers the
Period 10/01/2010, through 09/30/2011, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING REPORT

1. Provide name, title, address, and telephone number of person(s) to be contacted with questions about the Report.

National Aeronautics and Space Administration (NASA)
300 E Street, SW, Washington, DC 20546
Point of Contact:
Miriam Brown-Lam
Principal Agency FOIA Officer
202-358-0718

2. Provide an electronic link for access to the Report on the agency Web site.

<http://www.hq.nasa.gov/office/pao/FOIA/agency/>

3. Explain how to obtain a copy of the Report in paper form.

WRITE TO:

National Aeronautics and Space Administration (NASA)
Attention: Freedom of Information Act (FOIA) Office
300 E Street, SW, Code: P-FOIA
Washington, DC 20546-001
EMAIL: foia@hq.nasa.gov

II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

Miriam Brown-Lam, NASA Chief FOIA Public Liaison Officer; 202-358-FOIA
NASA, FOIA Requester Service Center, Room 5015, Washington, DC 20546-0001

Jessica Bowen, HQ FOIA Public Liaison Officer; 202-358-3924

NASA Headquarters (HQ), FOIA Requester Service Center, Code NB, Washington, DC 20546-0001

Frank LaRocca, OIG FOIA Public Liaison Officer; 202-358-2575
NASA Office of the Inspector General (OIG), FOIA Requester Service Center, Code W, Washington, DC 20546-0001

Lubna Shirazi, ARC FOIA Public Liaison Officer; 650-604-1138
NASA Ames Research Center (ARC), FOIA Requester Service Center, Mail Stop 943-4, Moffett Field, CA 94035

Kim Lewis, DFRC FOIA Public Liaison Officer; 661-276-2704
NASA Dryden Flight Research Center (DFRC), FOIA Requester Service Center, Mail Stop 273, Edwards, CA 93523

Angelia Pierce, GRC FOIA Public Liaison Officer; 216-433-2813
NASA Glenn Research Center (GRC), FOIA Requester Service Center, Mail Code 0610, 21000 Brookpark Road, Cleveland, OH 44135

Joan Belt, GSFC FOIA Public Liaison Officer; 301-286-4721
NASA Goddard Space Flight Center (GSFC), FOIA Requester Service Center, Mail Code 213.1, Greenbelt, MD 20771

Dennis Mahon, JPL FOIA Public Liaison Officer; 818-393-6779
NASA Jet Propulsion Laboratory (JPL), 4800 Oak Grove Drive, FOIA Requester Service Center, Pasadena, CA 91109

Stella Luna, JSC FOIA Public Liaison Officer; 281-483-8612
NASA Johnson Space Center (JSC), FOIA Requester Service Center, Mail Code AP2, Houston, TX 77058

Penny Myers, KSC FOIA Public Liaison Officer; 321-867-9280
NASA Kennedy Space Center (KSC), FOIA Requester Service Center, Mail Code XA-A1, Kennedy Space Center, FL 32899

Carissa Wheeler, LaRC FOIA Public Liaison Officer; 757-864-4274
NASA Langley Research Center (LaRC), FOIA Requester Service Center, Mail Stop 218, Hampton, VA 23681

Judi Hollingsworth, MSFC FOIA Public Liaison Officer; 256-544-1837
NASA Marshall Space Flight Center (MSFC), FOIA Requester Service Center, Mail Code CS20, Marshall Space Flight Center, AL 35812

PaulaRoy, NSSC FOIA Public Liaison Officer; 228-216-3534
NASA Shared Service Center (NSSC), Bldg 5100 Standby Road, FOIA Requester Service Center, Stennis Space Center, MS 39529

Sallie Bilbo, SSC FOIA Public Liaison Officer; 228-688-3182
NASA Stennis Space Center (SSC), Code CA 100, FOIA Requester Service Center, Stennis Space Center, MS 39529-6000

2. Provide a brief description of why some requests are not granted and an overview

of certain general categories of the agency's records to which the FOIA exemptions apply.

Most of the denials in part were based on exempted material requested. Others were based on no records responses to the request.

Requesters also withdrew their request after finding the information electronically.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Provide any agency-specific acronyms or terms used in this Report.

NASA National Aeronautics and Space Administration

HQ NASA Headquarters

ARC Ames Research Center

DFRC Dryden Flight Research Center

GSFC Goddard Space Flight Center

JSC Johnson Space Flight Center

KSC Kennedy Space Flight Center

LaRC Langley Research Center

GRC Glenn Research Center

MSFC Marshall Space Flight Center

NSSC NASA Shared Service Center

OGC Office of General Counsel

OIG Office of the Inspector General

SSC Stennis Space Center

2. Include the following definitions of terms used in this Report:

- a. **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should

be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.
 - i. **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
 - j. **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
 - k. **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. **Expedited Processing** - an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** - a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - iii. **Complex Request** - a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
 - l. **Partial Grant/Partial Denial** - in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
 - m. **Pending Request or Pending Administrative Appeal** - a request or administrative appeal for which an agency has not taken final action in all respects.
 - n. **Perfected Request** - a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
 - o. **Processed Request or Processed Administrative Appeal** - a request or administrative appeal for which an agency has taken final action in all respects.
 - p. **Range in Number of Days** - the lowest and highest number of days to process requests or administrative appeals.
 - q. **Time Limits** - the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
3. Include the following concise descriptions of the nine FOIA exemptions:
- a. **Exemption 1:** classified national defense and foreign relations information
 - b. **Exemption 2:** internal agency rules and practices
 - c. **Exemption 3:** information that is prohibited from disclosure by another federal law

- d. **Exemption 4:** trade secrets and other confidential business information
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6:** information involving matters of personal privacy
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8:** information relating to the supervision of financial institutions
- i. **Exemption 9:** geological information on wells

IV. Exemption 3 Statutes

A. For Initial Requests				
Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component	Total Number of Times Relied upon by Agency
10 U.S.C. § 2305(g)	Certain contractor proposals	Chesterfield Assocs., Inc. v U.S. Coast Guard, No. 08-CV-4674, 2009 (WL 1406994 at *1-2 (E.D.N.Y. May 19, 2009)	HQ : 1 GSFC : 4 MSFC : 2 LaRC : 2 NSSC : 3 GRC : 3 JSC : 2 KSC : 2 SSC : 1	20
16 U.S.C. § 470hh	Information concerning the nature and location of certain archaeological resources	Starkey v US Department of the Interior, 238 F. Supp 2d 1188 (S.D. Cal. 2002); Hornbostel v U.S. Department of the Interior, 305 F. Sup. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004)	MSFC : 1	1
22 U.S.C. § 2751	Information subject to the Arms Exports Control; Control Technical data withheld according to regulations establishing lists or categories of technical data that may not be exported, under the Arms Export Control Act.	None cited	GRC : 1 JPL : 2 JSC : 3 MSFC : 3 KSC : 1	10
15 U.S.C. § 638(j)	SBIR Program Policy Directive	None Cited	MSFC : 1 GSFC : 1	2

B. For Appeals

GRC	6	9	7	1	-	3	1	-	1	-	4	-	32
GSFC	49	87	2	14	5	17	3	1	1	-	4	-	183
HQ	52	28	6	19	17	24	4	31	52	18	10	-	261
JPL	9	12	-	4	1	3	12	1	-	4	5	-	51
JSC	105	44	6	40	2	9	7	2	1	1	9	-	226
KSC	23	24	6	4	1	8	3	1	3	-	8	-	81
LaRC	10	13	4	7	2	3	-	1	2	-	3	-	45
MSFC	35	41	4	8	2	5	-	-	1	-	1	-	97
NSSC	8	4	3	3	-	1	-	-	-	-	-	-	19
OGC	-	-	-	-	-	-	-	-	-	-	-	-	-
OIG	5	15	3	6	3	1	-	-	-	-	-	-	33
SSC	7	4	1	1	1	1	1	-	-	-	-	-	16
AGENCY OVERALL	329	320	46	120	34	82	31	37	62	23	47	-	1131

B.(2) Disposition of FOIA Requests Other Reasons for Full Denials Based on Reasons Other than Exemptions		
Component	Description of Other Reasons for Denials from Chart B (1) & Number of Times Those Reasons Were Relied upon	TOTAL

B.(3) Disposition of FOIA Requests Number of Times Exemptions Applied														
	(b)(1)	(b)(2)	(b)(3)	(b)(4)	(b)(5)	(b)(6)	(b)(7)(A)	(b)(7)(B)	(b)(7)(C)	(b)(7)(D)	(b)(7)(E)	(b)(7)(F)	(b)(8)	(b)(9)
ARC	-	2	-	22	3	7	-	-	-	1	3	3	-	-
DFRC	-	-	-	11	-	4	-	-	-	-	-	-	-	-
GRC	-	-	4	4	1	3	-	-	-	-	2	-	-	-
GSFC	-	2	5	82	2	5	-	-	-	-	-	-	-	-
HQ	-	3	1	9	11	10	-	-	1	-	4	1	-	-
JPL	-	1	2	7	-	10	-	-	-	-	-	-	-	-
JSC	-	3	5	28	10	7	-	-	-	-	-	1	-	-
KSC	-	1	3	17	4	10	1	-	1	-	-	-	-	-
LaRC	-	-	2	9	2	6	-	-	-	-	-	-	-	-
MSFC	-	-	7	25	5	10	-	-	9	-	-	-	-	-
NSSC	-	-	3	4	1	-	-	-	-	-	-	-	-	-
OGC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OIG	-	2	-	-	6	14	-	-	15	-	-	-	-	-
SSC	-	-	1	3	1	-	-	-	-	-	-	-	-	-
AGENCY OVERALL	-	14	33	221	46	86	1	-	26	1	9	5	-	-

VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

A. Received, Processed and Pending Administrative Appeals				
	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
ARC	-	-	-	-
DFRC	-	-	-	-
GRC	-	-	-	-
GSFC	-	-	-	-
HQ	-	-	-	-
JPL	-	-	-	-
JSC	-	-	-	-
KSC	-	-	-	-
LaRC	-	-	-	-
MSFC	-	-	-	-
NSSC	-	-	-	-
OGC	2	19	21	-
OIG	-	2	2	-
SSC	-	-	-	-
AGENCY OVERALL	2	21	23	-

B. Disposition of Administrative Appeals All Processed Appeals					
	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
ARC	-	-	-	-	-
DFRC	-	-	-	-	-
GRC	-	-	-	-	-
GSFC	-	-	-	-	-
HQ	-	-	-	-	-
JPL	-	-	-	-	-
JSC	-	-	-	-	-
KSC	-	-	-	-	-
LaRC	-	-	-	-	-
MSFC	-	-	-	-	-
NSSC	-	-	-	-	-
OGC	8	6	3	4	21
OIG	2	-	-	-	2
SSC	-	-	-	-	-
AGENCY OVERALL	10	6	3	4	23

C.(1) Reasons for Denial on Appeal Number of Times Exemptions Applied														
	(b)(1)	(b)(2)	(b)(3)	(b)(4)	(b)(5)	(b)(6)	(b)(7)(A)	(b)(7)(B)	(b)(7)(C)	(b)(7)(D)	(b)(7)(E)	(b)(7)(F)	(b)(8)	(b)(9)
ARC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
DFRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
GRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
GSFC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
HQ	-	-	-	-	-	-	-	-	-	-	-	-	-	-
JPL	-	-	-	-	-	-	-	-	-	-	-	-	-	-
JSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
KSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LaRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
MSFC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
NSSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OGC	-	1	-	-	3	4	-	-	-	-	-	-	-	-
OIG	-	1	-	-	-	2	-	-	1	-	-	-	-	-
SSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
AGENCY OVERALL	-	2	-	-	3	6	-	-	1	-	-	-	-	-

C.(2) Reasons for Denial on Appeal Reasons Other than Exemptions					
	Request withdrawn	Appeal Based Solely on Denial of Request for Expedited Processing		Other	TOTAL
				*Explain in chart below	
ARC	-	-	-	-	-
DFRC	-	-	-	-	-
GRC	-	-	-	-	-
GSFC	-	-	-	-	-
HQ	-	-	-	-	-
JPL	-	-	-	-	-
JSC	-	-	-	-	-
KSC	-	-	-	-	-
LaRC	-	-	-	-	-
MSFC	-	-	-	-	-
NSSC	-	-	-	-	-
OGC	-	2	-	-	2
OIG	-	-	-	-	-

JSC												
KSC												
LaRC												
MSFC												
NSSC												
OGC												
OIG												
SSC												
AGENCY OVERALL												

VII. FOIA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

A. Processed Requests Response Time for All Processed Perfected Requests												
	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Media Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Media Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Media Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
ARC	20.5	32.59	<1	276	79	193	20	480	-	-	-	-
DFRC	12	21.33	1	93	30	30	30	30	-	-	-	-
GRC	11	14	<1	47	11.5	35.21	1	448	-	-	-	-
GSFC	10	16.77	<1	82	25.5	36.66	<1	298	-	-	-	-
HQ	4	44.98	<1	842	80.5	135.96	<1	846	227	227	227	227
JPL	4	6.59	<1	37	9.5	12.55	<1	77	-	-	-	-
JSC	<1	17	<1	80	10	19.04	<1	351	-	-	-	-
KSC	7	11.93	<1	63	22	38.11	<1	318	-	-	-	-
LaRC	<1	6.22	<1	35	20	97.25	<1	841	-	-	-	-
MSFC	12	13.9	<1	84	29	32.14	2	100	-	-	-	-
NSSC	18	23.46	9	86	42	109.67	16	452	-	-	-	-
OGC	-	-	-	-	-	-	-	-	-	-	-	-
OIG	18.5	16.84	<1	47	4	4	4	4	-	-	-	-
SSC	9	11.57	<1	50	246.5	246.5	151	342	-	-	-	-
AGENCY OVERALL	11	23.9	<1	842	18	57.97	<1	846	227	227	227	227

B. Processed Requests Response Time for Perfected Requests in Which Information Was Granted												
	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Media Number of of	Average Number of of	Lowest Number of of	Highest Number of of	Media Number of of	Average Number of of	Lowest Number of of	Highest Number of of	Media Number of of	Average Number of of	Lowest Number of of	Highest Number of of

DFRC	-	-	1	-	-	-	-	-	-	-	-	-	-	-	1
GRC	-	17	4	1	-	1	-	-	-	-	-	-	-	1	24
GSFC	3	64	41	22	8	4	2	3	1	-	-	4	-	-	152
HQ	4	47	16	6	6	5	8	9	6	8	6	17	8	12	158
JPL	1	18	2	-	1	-	-	-	-	-	-	-	-	-	22
JSC	23	137	40	6	6	1	2	-	1	1	1	-	1	-	219
KSC	2	23	14	5	4	2	1	1	-	-	1	-	1	-	54
LaRC	3	15	10	1	1	-	-	-	-	2	-	-	-	-	36
MSFC	-	5	5	3	-	1	-	-	-	-	-	-	-	-	14
NSSC	-	1	2	1	-	1	-	-	-	-	-	-	-	1	6
OGC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OIG	-	1	-	-	-	-	-	-	-	-	-	-	-	-	1
SSC	-	-	-	-	-	-	-	-	1	-	-	-	1	-	2
AGENCY OVERALL	36	329	135	45	27	15	13	13	9	11	8	21	11	19	692

Requests Granted Expedited Processing

	< 1 Day	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
ARC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
DFRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
GRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
GSFC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
HQ	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
JPL	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
JSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
KSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LaRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
MSFC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
NSSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OGC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OIG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
SSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
AGENCY OVERALL	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1

D. Pending Requests All Pending Perfected Requests

	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
ARC	9	12	73.56	1	70	70	-	-	-
DFRC	4	40.5	36.75	1	58	58	-	-	-
GRC	1	23	23	3	41	32	-	-	-

GSFC	1	2	2	13	18	33.92	-	-	-
HQ	4	13.5	39.25	19	85	178.79	-	-	-
JPL	-	-	-	-	-	-	-	-	-
JSC	-	-	-	8	9	25.38	-	-	-
KSC	2	5.5	5.5	2	45.5	45.5	-	-	-
LaRC	-	-	-	1	26	26	-	-	-
MSFC	-	-	-	1	9	9	-	-	-
NSSC	-	-	-	1	7	7	-	-	-
OGC	-	-	-	-	-	-	-	-	-
OIG	2	25	25	-	-	-	-	-	-
SSC	-	-	-	-	-	-	-	-	-
AGENCY OVERALL	23	14	45.74	50	27	87.96	-	-	-

E. Pending Requests Ten Oldest Pending Perfected Requests										
	10th Oldest Request and Number of Days Pending	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request and Number of Days Pending
ARC	09/26/2011 1 4	09/23/2011 1 5	09/21/2011 1 7	09/15/2011 1 11	09/14/2011 1 12	09/12/2011 1 14	08/25/2011 1 25	06/22/2011 1 70	08/10/2011 0 287	07/27/2011 0 297
DFRC						09/09/2011 1 15	08/17/2011 1 31	07/21/2011 1 50	07/20/2011 1 51	07/11/2011 1 58
GRC							09/19/2011 1 9	08/29/2011 1 23	08/03/2011 1 41	07/27/2011 1 46
GSFC	09/15/2011 1 11	09/07/2011 1 17	09/07/2011 1 17	09/06/2011 1 18	09/02/2011 1 19	09/01/2011 1 20	08/22/2011 1 28	08/03/2011 1 41	07/07/2011 1 60	12/15/2011 0 200
HQ	05/02/2011 1 106	04/25/2011 1 111	04/18/2011 1 116	04/14/2011 1 118	03/30/2011 1 129	07/12/2011 0 308	04/19/2011 0 366	05/06/2011 9 604	05/06/2011 9 604	10/22/2011 8 738
JPL										
JSC			09/29/2011 1 1	09/29/2011 1 1	09/22/2011 1 6	09/20/2011 1 8	09/16/2011 1 10	08/25/2011 1 25	08/08/2011 1 38	04/20/2011 1 114
KSC							09/30/2011 1 0	09/15/2011 1 11	07/28/2011 1 45	07/27/2011 1 46
LaRC										08/24/2011 1 26
MSFC										09/19/2011 1 9
NSSC										09/21/2011 1 7
OGC										
OIG									09/01/2011 1 20	08/18/2011 1 30

SSC										
AGENCY OVERALL	04/14/2011	03/30/2011	12/15/2010	08/10/2010	07/27/2010	07/12/2010	04/19/2010	05/06/2009	05/06/2009	10/22/2008
L	118	129	200	287	297	308	366	604	604	738

VIII. REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER

A. Requests for Expedited Processing					
	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
ARC	-	-	-	-	-
DFRC	-	-	-	-	-
GRC	-	-	-	-	-
GSFC	-	-	-	-	-
HQ	-	3	<1	<1	3
JPL	-	-	-	-	-
JSC	-	-	-	-	-
KSC	-	2	<1	<1	2
LaRC	-	-	-	-	-
MSFC	-	-	-	-	-
NSSC	-	-	-	-	-
OGC	-	-	-	-	-
OIG	-	-	-	-	-
SSC	-	-	-	-	-
AGENCY OVERALL	-	5	<1	<1	5

B. Requests for Fee Waiver				
	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
ARC	4	-	<1	4.5
DFRC	-	-	-	-
GRC	-	-	-	-
GSFC	-	-	-	-
HQ	1	5	<1	4.33
JPL	-	-	-	-
JSC	-	-	-	-
KSC	2	-	46.5	46.5
LaRC	-	-	-	-
MSFC	1	-	12	12
NSSC	-	-	-	-
OGC	-	-	-	-

OIG	-	-	-	-
SSC	1	-	<1	<1
AGENCY OVERALL	9	5	<1	10.64

IX. FOIA PERSONNEL AND COSTS

	PERSONNEL			COSTS		
	Number of Full-Time FOIA Employees	Number of Equivalent Full-Time FOIA Employees	Total Number of Full-Time FOIA Staff	Processing Costs	Litigation-Related Costs	Total Costs
ARC	1	0.20	1.20	\$87,429.00	\$0.00	\$87,429.00
DFRC	0	0.53	0.53	\$6,367.05	\$0.00	\$6,367.05
GRC	1	0.05	1.05	\$97,016.50	\$0.00	\$97,016.50
GSFC	3	1.00	4.00	\$180,897.15	\$0.00	\$180,897.15
HQ	4	0.00	4.00	\$341,554.59	\$0.00	\$341,554.59
JPL	1	0.00	1.00	\$114,362.50	\$0.00	\$114,362.50
JSC	2	0.00	2.00	\$181,948.90	\$0.00	\$181,948.90
KSC	2	0.00	2.00	\$156,430.55	\$0.00	\$156,430.55
LaRC	1	0.00	1.00	\$66,492.54	\$0.00	\$66,492.54
MSFC	1	0.25	1.25	\$116,824.30	\$0.00	\$116,824.30
NSSC	0	0.50	0.50	\$28,852.35	\$0.00	\$28,852.35
OGC	0	7.45	7.45	\$822,316.00	\$0.00	\$822,316.00
OIG	0	0.32	0.32	\$41,294.00	\$0.00	\$41,294.00
SSC	0	0.70	0.70	\$45,217.45	\$0.00	\$45,217.45
AGENCY OVERALL	16.00	11.00	27.00	\$2,287,002.88	\$0.00	\$2,287,002.88

X. FEES COLLECTED FOR PROCESSING REQUESTS

	Total Amount of Fees Collected	Percentage of Total Costs (Rounded to nearest hundredth decimal)
ARC	\$0.00	-
DFRC	\$0.00	-
GRC	\$753.60	0.78
GSFC	\$0.00	-
HQ	\$0.00	-
JPL	\$2,648.00	2.32
JSC	\$2,000.00	1.10
KSC	\$2,603.90	1.66
LaRC	\$0.00	-
MSFC	\$856.05	0.73
NSSC	\$0.00	-
OGC	\$0.00	-

OIG	\$0.00	-
SSC	\$0.00	-
AGENCY OVERALL	\$8,861.55	0.39

XI. FOIA Regulations (Including Fee Schedule)

A copy of NASA's FOIA regulations may be located at:
<http://www.hq.nasa.gov/office/pao/FOIA/fedregix.html>

A copy of NASA's FOIA fee schedule is located at:

<http://www.hq.nasa.gov/office/pao/FOIA/search.html>

XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

A. Backlogs of FOIA Requests and Administrative Appeals

	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
ARC	2	-
DFRC	4	-
GRC	3	-
GSFC	4	-
HQ	14	-
JPL	-	-
JSC	3	-
KSC	2	-
LaRC	1	-
MSFC	-	-
NSSC	-	-
OGC	-	-
OIG	1	-
SSC	-	-
AGENCY OVERALL	34	-

Discuss/Explain the backlog here(Optional)

NASA FOIA Staff have worked diligently throughout the year to reduce the FOIA backlog. At the end of the previous year, the actual backlog was 117, this year the backlog was 34.

The number pending in the FY 10 report differs from the number beginning in the FY 11 report due to an adjustment made during further review of the FY 10 report.

B. Consultations on FOIA Requests Received, Processed, and Pending Consultations

	Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of	Number of Consultations Received from Other Agencies During the	Number of Consultations Received from Other Agencies that Were <u>Processed</u> by	Number of Consultations Received from Other Agencies that Were <u>Pending</u> at Your Agency as of

	<u>Start of the Fiscal Year</u>	<u>Fiscal Year</u>	<u>Your Agency During the Fiscal Year</u>	<u>End of the Fiscal Year</u>
ARC	-	-	-	-
DFRC	-	-	-	-
GRC	-	-	-	-
GSFC	-	-	-	-
HQ	-	-	-	-
JPL	-	-	-	-
JSC	-	-	-	-
KSC	-	-	-	-
LaRC	-	-	-	-
MSFC	-	-	-	-
NSSC	-	-	-	-
OGC	-	-	-	-
OIG	-	-	-	-
SSC	-	-	-	-
AGENCY OVERALL	-	-	-	-

C. Consultations on FOIA Requests Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency										
	10th Oldest Consultation and Number of Days Pending	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation and Number of Days Pending
ARC										
DFRC										
GRC										
GSFC										
HQ										
JPL										
JSC										
KSC										
LaRC										
MSFC										
NSSC										
OGC										
OIG										
SSC										
AGENCY OVERALL										

D. Comparison of Numbers of Requests from Previous and Current Annual Report Requests Received, Processed, and Backlogged	
NUMBER OF REQUESTS <u>RECEIVED</u>	NUMBER OF REQUESTS <u>PROCESSED</u>

	Number Received During Fiscal Year from Last Years Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Years Annual Report	Number Processed During Fiscal Year from Current Annual Report
ARC	84	59	89	59
DFRC	28	33	32	28
GRC	28	33	35	32
GSFC	175	171	262	183
HQ	138	201	248	261
JPL	48	51	51	51
JSC	230	210	209	226
KSC	77	75	71	81
LaRC	59	36	123	45
MSFC	111	91	108	97
NSSC	29	18	27	19
OGC	3	-	3	-
OIG	21	34	26	33
SSC	25	14	23	16
AGENCY OVERALL	1056	1026	1307	1131

	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
ARC	5	2
DFRC	-	4
GRC	2	3
GSFC	15	4
HQ	71	14
JPL	-	-
JSC	2	3
KSC	4	2
LaRC	8	1
MSFC	-	-
NSSC	1	-
OGC	-	-
OIG	-	1
SSC	2	-
AGENCY OVERALL	110	34

E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report Appeals Received, Processed, and Backlogged	
	NUMBER OF APPEALS <u>RECEIVED</u>
	NUMBER OF APPEALS <u>PROCESSED</u>

	Number Received During Fiscal Year from Last Years Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Years Annual Report	Number Processed During Fiscal Year from Current Annual Report
ARC	-	-	-	-
DFRC	-	-	-	-
GRC	-	-	-	-
GSFC	-	-	-	-
HQ	-	-	-	-
JPL	-	-	-	-
JSC	-	-	-	-
KSC	-	-	-	-
LaRC	-	-	-	-
MSFC	-	-	-	-
NSSC	-	-	-	-
OGC	33	19	32	21
OIG	-	2	-	2
SSC	-	-	-	-
AGENCY OVERALL	33	21	32	23

	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
ARC	-	-
DFRC	-	-
GRC	-	-
GSFC	-	-
HQ	-	-
JPL	-	-
JSC	-	-
KSC	-	-
LaRC	-	-
MSFC	-	-
NSSC	-	-
OGC	2	-
OIG	-	-
SSC	-	-
AGENCY OVERALL	2	-

F. Discussion of Other FOIA Activities (Optional)

NASA has scheduled monthly teleconferences with FOIA staff throughout the Agency. During these teleconferences, staff discuss processing issues, techniques and collaborate on cases. In addition to supporting regular FOIA training, NASA hosted an Agency-specific day-long FOIA training event following

the ASAP conference. NASA will be holding another day-long FOIA training in association with the ASAP Conference.
