



National Aeronautics and Space Administration
FOIA Annual Report
October 1, 2020
THROUGH
September 30, 2021

The following Annual Freedom of Information Act report covers the period October 1, 2020, through September 30, 2021, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING THE REPORT

1. Provide name, title, address, and telephone number of person(s) to be contacted with questions about the Report.

Nikki Gramian
Principal Agency FOIA Officer
300 E. Street SW
Washington, DC 20546
202-358-0625

Stephanie Fox
FOIA Team Lead / Chief FOIA Public Liaison
300 E. Street SW
Washington, DC 20546
202-358-1553

2. Provide an electronic link for access to the Report on the agency Web site.

<http://www.nasa.gov/FOIA/reports.html>.

3. Explain how to obtain a copy of the Report in paper form.

Requesters may write to:
Freedom of Information Act (FOIA) Office
300 E. Street, SW, Code P-FOIA
Washington, DC 20546-0001
Email: hq-foia@mail.nasa.gov

II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

Martha Terry
Ames Research Center FOIA Public Liaison
c/o NASA Headquarters
300 E Street SW
Washington, DC 20546-0001
202-358-2339
hq-foia@mail.nasa.gov

Martha Terry
Armstrong Flight Research Center FOIA Public Liaison
c/o NASA Headquarters
300 E Street SW
Washington, DC 20546-0001
202-358-2339
hq-foia@mail.nasa.gov

Martha Terry
Glenn Research Center FOIA Public Liaison
c/o NASA Headquarters
300 E Street SW
Washington, DC 20546-0001
202-358-2339

hq-foia@mail.nasa.gov

Alyssa Bias
Goddard Space Flight Center FOIA Public Liaison
c/o NASA Headquarters
300 E Street SW
Washington, DC 20546-0001
202-358-4664
hq-foia@mail.nasa.gov

Derek Moore
Headquarters FOIA Public Liaison
300 E Street SW
Washington, DC 20546-0001
202-358-2681
hq-foia@mail.nasa.gov

Dennis Mahon
Jet Propulsion Laboratory FOIA Public Liaison
4800 Oak Grove Drive
Pasadena, CA 91109
818-393-6779
jpl-foia@nasa.gov

Robert Young
Johnson Space Center FOIA Public Liaison
c/o NASA Headquarters
300 E Street SW
Washington, DC 20546
202-358-1030
jsc-hq-foia@mail.nasa.gov

Carissa Wheeler
Kennedy Space Center FOIA Public Liaison

c/o NASA Langley Research Center
Mail Stop 020
5 Langley Boulevard
Hampton, VA 23681
757-746-0585
larc-foia@mail.nasa.gov

Carissa Wheeler
Langley Research Center FOIA Public Liaison
NASA Langley Research Center
Mail Stop 020
5 Langley Boulevard
Hampton, VA 23681
757-746-0585
larc-foia@mail.nasa.gov

Mireya Nyirenda
Marshall Space Flight Center FOIA Public Liaison
c/o NASA Headquarters
300 E Street, SW
Washington, DC 20546
202-358-0680
hq-foia@mail.nasa.gov

Martha Terry
NASA Shared Services Center FOIA Public Liaison
c/o NASA Headquarters
300 E Street, SW
Washington, DC 20546
202-358-2339
hq-foia@mail.nasa.gov

Frank LaRocca
Office of Inspector General FOIA Public Liaison

NASA Headquarters
300 E Street, SW
Washington, DC 20546
202-358-2575
hq-foia@nasa.gov

Sallie Bilbo
Stennis Space Center FOIA Public Liaison
Stennis Space Center, MS 39529
228-688-3182
ssc-foia@nasa.gov

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

Generally, when requests are not granted it is due to the fact that the documents are exempt from release in accordance with the FOIA. NASA processes a substantial number of requests for contracts as well as deliberative process materials.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Include the following definitions of terms used in this Report:
 - a) **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
 - b) **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
 - c) **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
 - d) **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now

requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

- e) **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f) **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g) **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. Consultations are reported separately in Section of this Report.

- h) **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.
- i) **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j) **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

- k) **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. **Expedited Processing** - an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** - a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - iii. **Complex Request** - a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

2. Include the following concise descriptions of the nine FOIA exemptions:

- a) **Exemption 1** - classified national defense and foreign relations information
- b) **Exemption 2** - internal agency rules and practices
- c) **Exemption 3** - information that is prohibited from disclosure by another federal law
- d) **Exemption 4** - trade secrets and other confidential business information
- e) **Exemption 5** - inter-agency or intra-agency communications that are protected by legal privileges
- f) **Exemption 6** - information involving matters of personal privacy
- g) **Exemption 7** - records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

h) **Exemption 8** - information relating to the supervision of financial institutions

i) **Exemption 9** - geological information on wells

3. Agency Component Abbreviations

| Component Abbreviation | Component Name |
|------------------------|---|
| AFRC | Armstrong Flight Research Center |
| ARC | Ames Research Center |
| GRC | Glenn Research Center |
| GSFC | Goddard Space Flight Center |
| HQ | Headquarters |
| JPL | Jet Propulsion Laboratory |
| JSC | Johnson Space Center |
| KSC | Kennedy Space Center |
| LaRC | Langley Research Center |
| MSFC | Marshall Space Flight Center |
| OGC | Office of General Counsel (International Law Division): (Appeals and Litigation Only) |
| SSC | Stennis Space Center |

IV. EXEMPTION 3 STATUTES

| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
|---|---|--|--------------------|---|---|
| 10 U.S.C. § 2305(g) | Certain contractor proposals | Roman v. NSA, Nos. 09-2947, 09-4281, 09-3344, 09-2504, 09-5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb. 22, 2012); Margolin v. NASA, No. 09-CV-00421-LRH-VPC, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011). | AFRC | 1 | 6 |
| | | | ARC | 1 | |
| | | | GSFC | 3 | |
| | | | NSSC | 1 | |
| 22 U.S.C. § 2778(e) | Certain information pertaining to export license applications | Council for a Livable World v. U.S. Dep't of State, 1998 U.S. Dist. LEXIS 23642 at *11 (D.D.C. Jan. 21, 1998), amended (D.D.C. Nov. 23, 1998). | GSFC | 1 | 19 |
| | | | HQ | 1 | |
| | | | JPL | 2 | |
| | | | JSC | 7 | |
| | | | KSC | 3 | |
| | | | MSFC | 5 | |
| 41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1)) | Contractor bid or proposal information; source selection | Legal & Safety Emp. Rsch., Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 24098652, | GSFC | 5 | 8 |
| | | | HQ | 2 | |
| | | | LaRC | 1 | |

| | | | | | |
|--------------------|---|---|------|---|---|
| | information | at *3-4 (E.D. CAL. May 4, 2001) (dictum). | | | |
| 54 U.S.C. § 307103 | Information about the location, character or ownership of a historic property | N/A | MSFC | 1 | 1 |

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
|--------------------|---|--|---|---|
| AFRC | 1 | 7 | 8 | 0 |
| ARC | 2 | 24 | 25 | 1 |
| GRC | 2 | 7 | 9 | 0 |
| GSFC | 15* | 52 | 67 | 0 |
| HQ | 18 | 328 | 312 | 34 |
| JPL | 1 | 46 | 46 | 1 |
| JSC | 13 | 121 | 124 | 10 |
| KSC | 15 | 53 | 59 | 9 |
| LaRC | 5* | 29 | 32 | 2 |

| | | | | |
|----------------|----|-----|-----|----|
| MSFC | 9 | 40 | 40 | 9 |
| NSSC | 1 | 21 | 21 | 1 |
| OGC | 0 | 0 | 0 | 0 |
| OIG | 2 | 27 | 29 | 0 |
| SSC | 0 | 4 | 3 | 1 |
| AGENCY OVERALL | 84 | 759 | 775 | 68 |

**NASA reported on the FY 2020 annual report that GSFC had 16 pending cases at the end of that fiscal year. However, one of those cases was transferred to LaRC. Thus, GSFC actually had 15 pending cases at the start of this fiscal year. Likewise, NASA reported on the FY 2020 annual report that LaRC had 4 pending cases. However, because the previously-mentioned case from GSFC was transferred, LaRC actually had 5 pending cases at the start of this fiscal year.*

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | | TOTAL |
|--------------------|-----------------------|--|--|---|---|-------------------|--------------------|----------------------------------|--|-------------------|-------------------|-------------------------------|-------|
| | | | | No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other *Explain in Chart Below | |
| AFRC | 1 | 0 | 1 | 2 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 2 | 8 |
| ARC | 3 | 9 | 0 | 2 | 0 | 1 | 0 | 6 | 1 | 0 | 0 | 3 | 25 |
| GRC | 2 | 3 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 1 | 9 |

| | | | | | | | | | | | | | |
|-------------------|-----|-----|----|-----|---|----|----|-----|----|----|----|----|-----|
| GSFC | 16 | 23 | 5 | 7 | 0 | 3 | 0 | 5 | 2 | 1 | 4 | 1 | 67 |
| HQ | 36 | 41 | 5 | 53 | 4 | 6 | 5 | 102 | 10 | 9 | 3 | 38 | 312 |
| JPL | 6 | 9 | 2 | 5 | 0 | 3 | 0 | 10 | 0 | 3 | 5 | 3 | 46 |
| JSC | 23 | 22 | 7 | 36 | 1 | 6 | 11 | 4 | 2 | 0 | 2 | 10 | 124 |
| KSC | 12 | 20 | 1 | 8 | 0 | 1 | 2 | 8 | 2 | 1 | 3 | 1 | 59 |
| LaRC | 11 | 4 | 0 | 9 | 1 | 2 | 1 | 1 | 1 | 0 | 1 | 1 | 32 |
| MSFC | 11 | 8 | 5 | 3 | 1 | 2 | 5 | 3 | 0 | 1 | 0 | 1 | 40 |
| NSSC | 4 | 12 | 0 | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 21 |
| OGC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 1 | 14 | 1 | 4 | 2 | 0 | 0 | 1 | 4 | 0 | 1 | 1 | 29 |
| SSC | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 0 | 3 |
| AGENCY OVERALL | 126 | 165 | 27 | 131 | 9 | 26 | 27 | 141 | 24 | 15 | 20 | 64 | 775 |

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|--|--|-------|
|--------------------|--|--|-------|

| | | | |
|--------|--|----|----|
| AFRC | Directed requester to publicly available information | 2 | 2 |
| ARC | Directed requester to publicly available information | 3 | 3 |
| GRC | Directed requester to publicly available information | 1 | 1 |
| GSFC | Directed requester to publicly available information | 1 | 1 |
| HQ | Directed requester to publicly available information | 38 | 38 |
| JPL | Directed requester to publicly available information | 3 | 3 |
| JSC | Directed requester to publicly available information | 10 | 10 |
| KSC | Directed requester to publicly available information | 1 | 1 |
| LaRC | Directed requester to publicly available information | 1 | 1 |
| MSFC | Directed requester to publicly available information | 1 | 1 |
| NSSC | Directed requester to publicly available information | 2 | 2 |
| OGC | N/A | 0 | 0 |
| OIG | Directed requester to publicly available information | 1 | 1 |
| SSC | N/A | 0 | 0 |
| AGENCY | | | 64 |

| | | | |
|---------|--|--|--|
| OVERALL | | | |
|---------|--|--|--|

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|--------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| AFRC | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ARC | 0 | 0 | 1 | 6 | 0 | 9 | 0 | 0 | 2 | 0 | 3 | 3 | 0 | 0 |
| GRC | 0 | 1 | 0 | 2 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| GSFC | 0 | 2 | 9 | 15 | 8 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | 0 | 2 | 3 | 11 | 20 | 37 | 2 | 0 | 5 | 1 | 2 | 1 | 0 | 0 |
| JPL | 0 | 5 | 2 | 3 | 3 | 9 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| JSC | 1 | 2 | 7 | 14 | 8 | 13 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| KSC | 0 | 0 | 3 | 16 | 5 | 12 | 0 | 0 | 3 | 0 | 1 | 2 | 0 | 0 |
| LaRC | 0 | 0 | 1 | 3 | 2 | 3 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 |
| MSFC | 0 | 0 | 6 | 4 | 4 | 7 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| NSSC | 0 | 1 | 1 | 5 | 0 | 11 | 0 | 0 | 3 | 0 | 8 | 0 | 0 | 0 |
| OGC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 2 | 0 | 0 | 9 | 14 | 0 | 0 | 12 | 0 | 2 | 0 | 0 | 0 |
| SSC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY | 1 | 15 | 34 | 81 | 60 | 128 | 2 | 0 | 27 | 1 | 18 | 7 | 0 | 0 |

| | | | | | | | | | | | | | | | |
|---------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| OVERALL | | | | | | | | | | | | | | | |
|---------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
|--------------------|--|---|--|--|
| OGC | 1 | 12 | 5 | 8 |
| OIG | 0 | 1 | 1 | 0 |
| AGENCY OVERALL | 1 | 13 | 6 | 8 |

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
|--------------------|---------------------------|---|---|--|-------|
| OGC | 1 | 0 | 3 | 1 | 5 |
| OIG | 0 | 1 | 0 | 0 | 1 |
| AGENCY OVERALL | 1 | 1 | 3 | 1 | 6 |

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|---|--|-------|
| OGC | N/A | 0 | 0 |
| OIG | N/A | 0 | 0 |
| AGENCY OVERALL | | | 0 |

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|
| OGC | 20 | 46.8 | 18 | 134 |
| OIG | 19 | 19 | 19 | 19 |
| AGENCY OVERALL | 19.5 | 42.17 | 18 | 134 |

VLC.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

| Agency / Component | | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
|--------------------|------------------------|--------------------|-----|------------|------------|------------|------------|------------|------------|------------|---------------|
| OGC | Date of Appeal | N/A | N/A | 2021-06-28 | 2021-06-10 | 2021-05-12 | 2021-03-03 | 2021-01-27 | 2020-11-13 | 2020-11-13 | 2020-09-16 |
| | Number of Days Pending | 0 | 0 | 66 | 78 | 98 | 148 | 172 | 221 | 221 | 261 |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Appeal | N/A | N/A | 2021-06-28 | 2021-06-10 | 2021-05-12 | 2021-03-03 | 2021-01-27 | 2020-11-13 | 2020-11-13 | 2020-09-16 |
| | Number of Days Pending | 0 | 0 | 66 | 78 | 98 | 148 | 172 | 221 | 221 | 261 |

| | | | | | | | | | | | | |
|----------------|---|-------|----|-----|----|-------|----|-----|-----|-----|-----|-----|
| AGENCY OVERALL | 4 | 11.64 | <1 | 158 | 36 | 54.94 | <1 | 459 | N/A | N/A | N/A | N/A |
|----------------|---|-------|----|-----|----|-------|----|-----|-----|-----|-----|-----|

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
|--------------------|------------|------------|------------|------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------|-------|
| AFRC | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| ARC | 6 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |
| GRC | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| GSFC | 8 | 9 | 7 | 2 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 28 |
| HQ | 101 | 11 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 114 |
| JPL | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| JSC | 59 | 19 | 1 | 2 | 3 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 87 |
| KSC | 13 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| LaRC | 10 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 |
| MSFC | 12 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 14 |
| NSSC | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| OGC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 14 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 18 |
| SSC | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| AGENCY | 235 | 48 | 10 | 6 | 4 | 2 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 309 |

| | | | | | | | | | | | | | | |
|----------------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| AGENCY OVERALL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|----------------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
|--------------------|----------------|-----------------------|------------------------|----------------|-----------------------|------------------------|----------------------|-----------------------|------------------------|
| | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| AFRC | 0 | N/A | N/A | 0 | N/A | N/A | 0 | N/A | N/A |
| ARC | 1 | <1 | <1 | 0 | N/A | N/A | 0 | N/A | N/A |
| GRC | 0 | N/A | N/A | 0 | N/A | N/A | 0 | N/A | N/A |
| GSFC | 0 | N/A | N/A | 0 | N/A | N/A | 0 | N/A | N/A |
| HQ | 15 | 21 | 26.07 | 13 | 32 | 32.31 | 1 | 58 | 58 |
| JPL | 0 | N/A | N/A | 1 | <1 | <1 | 0 | N/A | N/A |
| JSC | 4 | 15 | 13.75 | 6 | 47 | 46.83 | 0 | N/A | N/A |
| KSC | 0 | N/A | N/A | 9 | 21 | 60.67 | 0 | N/A | N/A |
| LaRC | 0 | N/A | N/A | 0 | N/A | N/A | 0 | N/A | N/A |
| MSFC | 5 | 8 | 9.8 | 4 | 19 | 23.25 | 0 | N/A | N/A |
| NSSC | 1 | <1 | <1 | 0 | N/A | N/A | 0 | N/A | N/A |
| OGC | 0 | N/A | N/A | 0 | N/A | N/A | 0 | N/A | N/A |
| OIG | 0 | N/A | N/A | 0 | N/A | N/A | 0 | N/A | N/A |

| | | | | | | | | | | | |
|------|------------------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|
| | Pending | | | | | | | | | | |
| HQ | Date of Receipt | 2021-08-05 | 2021-07-29 | 2021-07-29 | 2021-07-19 | 2021-07-15 | 2021-06-25 | 2021-06-30 | 2021-06-25 | 2021-06-24 | 2021-06-25 |
| | Number of Days Pending | 30 | 44 | 44 | 52 | 54 | 58 | 64 | 65 | 66 | 67 |
| JPL | Date of Receipt | N/A | 2021-09-30 |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| JSC | Date of Receipt | 2021-09-27 | 2021-09-23 | 2021-09-08 | 2021-09-01 | 2021-08-30 | 2021-08-17 | 2021-08-09 | 2021-07-29 | 2021-07-30 | 2021-05-11 |
| | Number of Days Pending | 3 | 5 | 10 | 20 | 22 | 31 | 46 | 48 | 52 | 99 |
| KSC | Date of Receipt | N/A | 2021-09-28 | 2021-09-14 | 2021-09-16 | 2021-09-10 | 2021-09-17 | 2021-08-31 | 2021-04-27 | 2021-04-26 | 2020-09-10 |
| | Number of Days Pending | 0 | 2 | 6 | 10 | 14 | 21 | 21 | 101 | 106 | 265 |
| LaRC | Date of Receipt | N/A |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| MSFC | Date of Receipt | N/A | 2021-09-30 | 2021-08-31 | 2021-09-22 | 2021-09-19 | 2021-08-16 | 2021-08-17 | 2021-09-13 | 2021-08-26 | 2021-07-22 |

| | | | | | | | | | | | |
|----------------|------------------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|
| | Number of Days Pending | 0 | 1 | 6 | 6 | 8 | 14 | 15 | 20 | 24 | 49 |
| NSSC | Date of Receipt | N/A | 2021-09-30 |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| OGC | Date of Receipt | N/A |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | Date of Receipt | N/A |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| SSC | Date of Receipt | N/A |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Receipt | 2021-07-15 | 2021-06-25 | 2021-06-30 | 2021-06-25 | 2021-06-24 | 2021-06-26 | 2021-05-11 | 2021-04-27 | 2021-04-26 | 2020-09-10 |
| | Number of Days Pending | 54 | 58 | 64 | 65 | 66 | 67 | 99 | 101 | 106 | 265 |

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
|--------------------|----------------|---------------|-------------------------------------|--------------------------------------|---|
| AFRC | 0 | 1 | 1 | 1 | 1 |
| ARC | 0 | 0 | N/A | N/A | 0 |
| GRC | 0 | 0 | N/A | N/A | 0 |
| GSFC | 0 | 1 | 36 | 36 | 0 |
| HQ | 1 | 26 | <1 | 3.41 | 24 |
| JPL | 0 | 0 | N/A | N/A | 0 |
| JSC | 0 | 7 | 1 | 7.86 | 4 |
| KSC | 0 | 2 | 0.5 | 0.5 | 2 |
| LaRC | 0 | 1 | <1 | <1 | 1 |
| MSFC | 0 | 4 | 13 | 17 | 2 |
| NSSC | 0 | 4 | <1 | 3.75 | 3 |
| OGC | 0 | 0 | N/A | N/A | 0 |

| | | | | | |
|-------------------|---|----|-----|-----|----|
| OIG | 0 | 0 | N/A | N/A | 0 |
| SSC | 0 | 0 | N/A | N/A | 0 |
| AGENCY OVERALL | 1 | 46 | <1 | 5.7 | 37 |

VIII.B. REQUESTS FOR FEE WAIVER

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
|--------------------|-------------------|------------------|--|---|
| AFRC | 0 | 0 | N/A | N/A |
| ARC | 0 | 0 | N/A | N/A |
| GRC | 0 | 0 | N/A | N/A |
| GSFC | 0 | 0 | N/A | N/A |
| HQ | 0 | 0 | N/A | N/A |
| JPL | 0 | 0 | N/A | N/A |
| JSC | 1 | 0 | 89 | 89 |
| KSC | 3 | 0 | <1 | <1 |
| LaRC | 0 | 0 | N/A | N/A |
| MSFC | 0 | 0 | N/A | N/A |
| NSSC | 1 | 0 | <1 | <1 |
| OGC | 0 | 0 | N/A | N/A |

| | | | | |
|-------------------|---|---|-----|-----|
| OIG | 0 | 0 | N/A | N/A |
| SSC | 0 | 0 | N/A | N/A |
| AGENCY OVERALL | 5 | 0 | <1 | <1 |

IX. FOIA PERSONNEL AND COSTS

| Agency / Component | PERSONNEL | | | COSTS | | |
|-----------------------|---|---|--|---------------------|---------------------------------|-------------|
| | Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full- Time FOIA Staff" | Processing Costs | Litigation- Related Costs | Total Costs |
| AFRC | 0 | 0.25 | 0.25 | 33288.50 | 0.00 | 33288.50 |
| ARC | 0 | 0.25 | 0.25 | 31107.00 | 0.00 | 31107.00 |
| GRC | 0 | 0.25 | 0.25 | 31286.43 | 0.00 | 31286.43 |
| GSFC | 1 | 0.00 | 1.00 | 125379.06 | 0.00 | 125379.06 |
| HQ | 3 | 0.00 | 3.00 | 430540.46 | 0.00 | 430540.46 |
| JPL | 0 | 0.50 | 0.50 | 63254.02 | 0.00 | 63254.02 |
| JSC | 1 | 0.00 | 1.00 | 115604.99 | 0.00 | 115604.99 |
| KSC | 0 | 0.50 | 0.50 | 57717.56 | 0.00 | 57717.56 |
| LaRC | 0 | 0.50 | 0.50 | 54682.00 | 0.00 | 54682.00 |
| MSFC | 1 | 0.00 | 1.00 | 95447.00 | 0.00 | 95447.00 |
| NSSC | 0 | 0.25 | 0.25 | 31107.00 | 0.00 | 31107.00 |

| | | | | | | |
|-------------------|---|------|-------|------------|---------|------------|
| OGC | 0 | 1.00 | 1.00 | 35675.25 | 3844.84 | 39520.09 |
| OIG | 0 | 1.00 | 1.00 | 117516.00 | 0.00 | 117516.00 |
| SSC | 0 | 0.20 | 0.20 | 23200.00 | 0.00 | 23200.00 |
| AGENCY OVERALL | 6 | 4.70 | 10.70 | 1245805.27 | 3844.84 | 1249650.11 |

X. FEES COLLECTED FOR PROCESSING REQUESTS

| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
|-----------------------|--------------------------------------|------------------------------|
| AFRC | 0.00 | 0.0000 |
| ARC | 563.97 | 1.8100 |
| GRC | 404.41 | 1.2900 |
| GSFC | 262.29 | 0.2100 |
| HQ | 1954.06 | 0.4500 |
| JPL | 681.91 | 1.0800 |
| JSC | 220.40 | 0.1900 |
| KSC | 618.45 | 1.0700 |
| LaRC | 229.61 | 0.4200 |
| MSFC | 846.09 | 0.8900 |
| NSSC | 3779.03 | 12.1500 |
| OGC | 0.00 | 0.0000 |
| OIG | 0.00 | 0.0000 |

| | | |
|-------------------|---------|--------|
| SSC | 0.00 | 0.0000 |
| AGENCY OVERALL | 9560.22 | 0.7700 |

XI.A. NUMBER OF TIMES SUBSECTION (C) USED

| Agency / Component | Number of Times Subsection Used |
|--------------------|------------------------------------|
| AFRC | 0 |
| ARC | 0 |
| GRC | 0 |
| GSFC | 0 |
| HQ | 0 |
| JPL | 0 |
| JSC | 0 |
| KSC | 0 |
| LaRC | 0 |
| MSFC | 0 |
| NSSC | 0 |
| OGC | 0 |
| OIG | 0 |
| SSC | 0 |

| | |
|-------------------|---|
| AGENCY OVERALL | 0 |
|-------------------|---|

XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

| Agency / Component | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
|-----------------------|--|---|
| AFRC | 1 | 0 |
| ARC | 1 | 0 |
| GRC | 1 | 0 |
| GSFC | 0 | 0 |
| HQ | 2 | 11070 |
| JPL | 0 | 0 |
| JSC | 0 | 0 |
| KSC | 0 | 0 |
| LaRC | 0 | 0 |
| MSFC | 0 | 0 |
| NSSC | 0 | 0 |
| OGC | 0 | 0 |

| | | |
|-------------------|---|-------|
| OIG | 0 | 0 |
| SSC | 1 | 0 |
| AGENCY OVERALL | 6 | 11070 |

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
|-----------------------|--|---|
| AFRC | 0 | N/A |
| ARC | 0 | N/A |
| GRC | 0 | N/A |
| GSFC | 0 | N/A |
| HQ | 18 | N/A |
| JPL | 0 | N/A |
| JSC | 6 | N/A |
| KSC | 3 | N/A |
| LaRC | 0 | N/A |
| MSFC | 1 | N/A |
| NSSC | 0 | N/A |

| | | |
|----------------|----|-----|
| OGC | 0 | 8 |
| OIG | 0 | 0 |
| SSC | 0 | N/A |
| AGENCY OVERALL | 28 | 8 |

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

| Agency / Component | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year | Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year |
|--------------------|---|--|--|---|
| AFRC | 1 | 0 | 1 | 0 |
| ARC | 0 | 0 | 0 | 0 |
| GRC | 0 | 0 | 0 | 0 |
| GSFC | 0 | 0 | 0 | 0 |
| HQ | 1 | 13 | 14 | 0 |
| JPL | 0 | 0 | 0 | 0 |
| JSC | 0 | 2 | 2 | 0 |
| KSC | 0 | 2 | 2 | 0 |

| | | | | | | | | | | | |
|----------------|----------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| OIG | Date | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| SSC | Date | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
|--------------------|---|---|--|--|
| | Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| AFRC | 21 | 7 | 20 | 8 |
| ARC | 36 | 24 | 38 | 25 |
| GRC | 21 | 7 | 23 | 9 |
| GSFC | 82 | 52 | 83 | 67 |
| HQ | 282 | 328 | 281 | 312 |
| JPL | 47 | 46 | 48 | 46 |

| | | | | |
|-------------------|-----|-----|-----|-----|
| JSC | 137 | 121 | 140 | 124 |
| KSC | 75 | 53 | 63 | 59 |
| LaRC | 18 | 29 | 15 | 32 |
| MSFC | 27 | 40 | 22 | 40 |
| NSSC | 28 | 21 | 28 | 21 |
| OGC | 0 | 0 | 0 | 0 |
| OIG | 51 | 27 | 56 | 29 |
| SSC | 16 | 4 | 16 | 3 |
| AGENCY OVERALL | 841 | 759 | 833 | 775 |

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --
BACKLOGGED REQUESTS**

| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
|--------------------|--|---|
| AFRC | 0 | 0 |
| ARC | 2 | 0 |
| GRC | 0 | 0 |
| GSFC | 9 | 0 |
| HQ | 9 | 18 |

| | | |
|-------------------|----|----|
| JPL | 0 | 0 |
| JSC | 6 | 6 |
| KSC | 4 | 3 |
| LaRC | 3 | 0 |
| MSFC | 5 | 1 |
| NSSC | 0 | 0 |
| OGC | 0 | 0 |
| OIG | 1 | 0 |
| SSC | 0 | 0 |
| AGENCY OVERALL | 39 | 28 |

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

| Agency / Component | NUMBER OF APPEALS <u>RECEIVED</u> | | NUMBER OF APPEALS <u>PROCESSED</u> | |
|-----------------------|--|--|---|---|
| | Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| OGC | 20 | 12 | 30 | 5 |
| OIG | 4 | 1 | 4 | 1 |
| AGENCY | 24 | 13 | 34 | 6 |

| | | | | |
|---------|--|--|--|--|
| OVERALL | | | | |
|---------|--|--|--|--|

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
|--------------------|---|--|
| OGC | 0 | 8 |
| OIG | 0 | 0 |
| AGENCY OVERALL | 0 | 8 |