

National Aeronautics and Space Administration
FOIA Annual Report
October 1, 2019
THROUGH
September 30, 2020

The following Annual Freedom of Information Act report covers the period October 1, 2018, through September 30, 2019, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING THE REPORT

1. Provide name, title, address, and telephone number of person(s) to be contacted with questions about the Report.

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2. Provide an electronic link for access to the Report on the agency Web site.

<http://www.nasa.gov/FOIA/reports.html>.

3. Explain how to obtain a copy of the Report in paper form.

Requesters may write to:
Freedom of Information Act (FOIA) Office

300 E. Street, SW, Code P-FOIA
Washington, DC 20546-0001
Email: FOIA@hq.nasa.gov

II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

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2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

Generally, when requests are not granted it is due to the fact that the documents are exempt from release in accordance with the FOIA. NASA processes a substantial number of requests for contracts as well as deliberative process materials.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Include the following definitions of terms used in this Report:
 - a) **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
 - b) **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.

- c) **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d) **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e) **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f) **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g) **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. Consultations are reported separately in Section of this Report.

- h) **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.
- i) **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

- j) **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k) **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. **Expedited Processing** - an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** - a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - iii. **Complex Request** - a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

2. Include the following concise descriptions of the nine FOIA exemptions:

- a) **Exemption 1** - classified national defense and foreign relations information
- b) **Exemption 2** - internal agency rules and practices
- c) **Exemption 3** - information that is prohibited from disclosure by another federal law
- d) **Exemption 4** - trade secrets and other confidential business information
- e) **Exemption 5** - inter-agency or intra-agency communications that are protected by legal privileges
- f) **Exemption 6** - information involving matters of personal privacy
- g) **Exemption 7** - records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and

procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

- h) **Exemption 8** - information relating to the supervision of financial institutions
- i) **Exemption 9** - geological information on wells

3. Agency Component Abbreviations

Component Abbreviation	Component Name
AFRC	Armstrong Flight Research Center
ARC	Ames Research Center
GRC	Glenn Research Center
GSFC	Goddard Space Flight Center
HQ	Headquarters
JPL	Jet Propulsion Laboratory
JSC	Johnson Space Center
KSC	Kennedy Space Center
LaRC	Langley Research Center
MSFC	Marshall Space Flight Center
NSSC	NASA Shared Services Center
OGC	Office of General Counsel (International Law Division): (Appeals and Litigation Only)
OIG	Office of the Inspector General
SSC	Stennis Space Center

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
10 U.S.C. § 2305(g)	Certain contractor proposals.	Roman v. NSA, Nos. 09-2947, 09-4281, 09-3344, 09-2504, 09-5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb. 22, 2012); Margolin v. NASA, No. 09-CV-00421-LRH-VPC, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011).	AFRC	1	6
			GRC	1	
			GSFC	3	
			HQ	1	
22 U.S.C. § 2778(e) (Arms Export Control Act)	Certain information pertaining to export license applications	Council for a Livable World v. U.S. Dep't of State, 1998 U.S. Dist. LEXIS 23642 at *11 (D.D.C. Jan. 21, 1998), amended (D.D.C. Nov. 23, 1998).	HQ	2	25
			JPL	3	
			JSC	7	
			KSC	10	
			LaRC	2	
			MSFC	1	
41 U.S.C. 2102 (amending 41 U.S.C.)	Contractor bid or proposal information;	Legal & Safety Employer Rsch., Inc. v. U.S. Dep't	ARC	1	6
			GSFC	2	

423(a)(1)* (Procurement Integrity Act)	source selection information.	of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	HQ	1	
			JSC	2	

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
AFRC	0	21	20	1
ARC	4	36	38	2
GRC	4	21	23	2
GSFC	17	82	83	16
HQ	17	282	281	18
JPL	2	47	48	1
JSC	16	137	140	13
KSC	3	75	63	15
LaRC	1	18	15	4
MSFC	4	27	22	9
NSSC	1	28	28	1
OGC	0	0	0	0
OIG	7	51	56	2

SSC	0	16	16	0
AGENCY OVERALL	76	841	833	84

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
AFRC	1	7	2	4	0	1	2	3	0	0	0	0	20
ARC	3	16	2	6	0	0	3	3	0	2	0	3	38
GRC	1	13	0	2	0	0	3	2	1	1	0	0	23
GSFC	22	30	2	11	0	3	1	3	3	1	3	4	83
HQ	47	55	1	48	3	17	9	43	13	6	7	32	281
JPL	3	6	1	6	2	1	1	13	2	4	7	2	48
JSC	25	27	11	16	2	8	20	4	4	2	3	18	140
KSC	7	14	8	7	0	0	9	13	2	0	1	2	63
LaRC	2	0	2	1	0	3	2	3	1	0	0	1	15
MSFC	8	3	0	6	0	2	2	1	0	0	0	0	22
NSSC	4	15	0	1	0	0	3	1	0	2	0	2	28
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	3	28	4	13	0	2	3	1	2	0	0	0	56

SSC	3	1	0	1	0	1	2	3	4	1	0	0	16
AGENCY OVERALL	129	215	33	122	7	38	60	93	32	19	21	64	833

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
AFRC	N/A	0	0
ARC	Directed requester to publicly available information.	3	3
GRC	N/A	0	0
GSFC	Directed requester to publicly available information.	4	4
HQ	Directed requester to publicly available information.	32	32
JPL	Directed requester to publicly available information.	2	2
JSC	Directed requester to publicly available information.	18	18
KSC	Directed requester to publicly available information.	2	2
LaRC	Directed requester to publicly available	1	1

	information.		
MSFC	N/A	0	0
NSSC	Directed requester to publicly available information.	2	2
OGC	N/A	0	0
OIG	N/A	0	0
SSC	N/A	0	0
AGENCY OVERALL			64

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
AFRC	0	0	1	5	1	7	0	0	1	0	1	2	0	0
ARC	0	0	1	13	2	13	0	0	4	0	3	4	0	0
GRC	0	2	1	5	2	12	0	0	0	0	0	1	0	0
GSFC	0	1	5	25	1	7	0	0	0	0	0	0	0	0
HQ	0	7	4	11	19	48	1	0	4	0	2	2	0	1
JPL	0	0	3	3	0	5	0	0	0	0	0	0	0	0
JSC	0	5	10	18	10	27	0	0	3	0	2	2	0	0
KSC	0	0	10	13	7	8	0	0	2	0	0	2	0	0
LaRC	0	0	2	0	0	0	0	0	0	0	0	0	0	0
MSFC	0	0	1	1	0	2	0	0	0	0	0	0	0	0
NSSC	0	0	0	12	1	9	0	0	2	0	6	0	0	0

OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	1	0	1	9	27	9	0	27	3	7	0	0	0
SSC	0	0	0	0	0	1	0	0	0	0	1	0	0	0
AGENCY OVERALL	0	16	38	107	52	166	10	0	43	3	22	13	0	1

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
OGC	11	20	30	1
OIG	0	4	4	0
AGENCY OVERALL	11	24	34	1

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OGC	6	7	3	14	30
OIG	3	1	0	0	4
AGENCY OVERALL	9	8	3	14	34

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	1	2	7	3	4	1	0	0	0	0	0	0	0
OIG	0	0	0	0	1	1	1	0	3	0	0	0	0	0
AGENCY OVERALL	0	1	2	7	4	5	2	0	3	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
OGC	5	0	1	1	2	2	1	0	1	1	0
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	5	0	1	1	2	2	1	0	1	1	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	36	51.87	5	201
OIG	14	12.50	1	21
AGENCY OVERALL	32.50	47.24	1	201

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
OGC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-09-16
	Number	0	0	0	0	0	0	0	0	0	10

	of Days Pending											
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-09-16
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	10

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AFRC	2	9.78	<1	50	27	34.75	1	106	N/A	N/A	N/A	N/A
ARC	7	17.38	<1	78	13	21.75	<1	78	N/A	N/A	N/A	N/A
GRC	8	9.88	1	36	25	27.18	3	54	N/A	N/A	N/A	N/A
GSFC	11	20.52	1	183	37	47.33	<1	158	N/A	N/A	N/A	N/A
HQ	7	10.74	<1	121	31	38.41	<1	346	N/A	N/A	N/A	N/A
JPL	N/A	N/A	N/A	N/A	16.5	20.77	<1	131	N/A	N/A	N/A	N/A
JSC	8	12.16	<1	137	36	46.35	1	192	N/A	N/A	N/A	N/A
KSC	4.5	6.5	<1	16	19	24.54	2	96	N/A	N/A	N/A	N/A

LaRC	1	2.86	<1	8	23	26.75	15	46	N/A	N/A	N/A	N/A
MSFC	10.5	9.17	<1	16	22	34.2	<1	118	N/A	N/A	N/A	N/A
NSSC	10.5	11	<1	32	20	25.59	5	58	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	7	8.29	<1	22	21.5	26.72	<1	97	N/A	N/A	N/A	N/A
SSC	4	4.38	<1	11	1	1	<1	<1	N/A	N/A	N/A	N/A
AGENCY OVERALL	7	11.62	<1	183	25	34.33	<1	346	N/A	N/A	N/A	N/A

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AFRC	11	18.25	<1	50	27	31.5	11	61	N/A	N/A	N/A	N/A
ARC	19	24.86	4	78	24	29.33	4	78	N/A	N/A	N/A	N/A
GRC	9	11.14	2	36	38	32.43	7	54	N/A	N/A	N/A	N/A
GSFC	7.5	24.88	1	183	36.5	43.11	<1	158	N/A	N/A	N/A	N/A
HQ	10	11.26	<1	42	36	47.08	3	346	N/A	N/A	N/A	N/A
JPL	N/A	N/A	N/A	N/A	25	32.67	9	131	N/A	N/A	N/A	N/A
JSC	13	22.18	2	137	44.5	54.23	5	192	N/A	N/A	N/A	N/A
KSC	11	10.67	5	16	22	25.83	9	51	N/A	N/A	N/A	N/A
LaRC	1	1	<1	<1	25	25	25	25	N/A	N/A	N/A	N/A

MSFC	8.5	8.5	<1	16	34	41.78	16	118	N/A	N/A	N/A	N/A
NSSC	13	16.6	9	32	27	29.71	15	58	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	9	11.86	6	22	21.5	25.58	2	97	N/A	N/A	N/A	N/A
SSC	6.5	6.75	3	11	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AGENCY OVERALL	10	15.62	<1	183	29	39.95	<1	346	N/A	N/A	N/A	N/A

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AFRC	7	1	1	0	0	0	0	0	0	0	0	0	0	9
ARC	9	3	0	1	0	0	0	0	0	0	0	0	0	13
GRC	7	1	0	0	0	0	0	0	0	0	0	0	0	8
GSFC	20	9	0	1	0	0	0	0	0	1	0	0	0	31
HQ	120	16	3	0	0	1	1	0	0	0	0	0	0	141
JPL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JSC	66	4	2	2	0	0	1	0	0	0	0	0	0	75
KSC	8	0	0	0	0	0	0	0	0	0	0	0	0	8
LaRC	7	0	0	0	0	0	0	0	0	0	0	0	0	7
MSFC	6	0	0	0	0	0	0	0	0	0	0	0	0	6
NSSC	7	1	0	0	0	0	0	0	0	0	0	0	0	8
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0

OIG	16	1	0	0	0	0	0	0	0	0	0	0	0	17
SSC	8	0	0	0	0	0	0	0	0	0	0	0	0	8
AGENCY OVERALL	281	36	6	4	0	1	2	0	0	1	0	0	0	331

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AFRC	3	3	0	1	0	1	0	0	0	0	0	0	0	8
ARC	13	3	2	2	0	0	0	0	0	0	0	0	0	20
GRC	5	2	4	0	0	0	0	0	0	0	0	0	0	11
GSFC	15	9	3	8	3	1	0	3	0	0	0	0	0	42
HQ	24	24	11	5	6	0	0	0	0	0	0	1	0	71
JPL	14	7	0	0	0	0	1	0	0	0	0	0	0	22
JSC	14	16	5	10	1	3	2	0	0	1	0	0	0	52
KSC	22	12	3	1	1	0	0	0	0	0	0	0	0	39
LaRC	1	2	1	0	0	0	0	0	0	0	0	0	0	4
MSFC	6	5	2	0	1	1	0	0	0	0	0	0	0	15
NSSC	9	4	4	0	0	0	0	0	0	0	0	0	0	17
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	16	12	6	0	2	0	0	0	0	0	0	0	0	36
SSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY	142	99	41	27	14	6	3	3	0	1	0	1	0	337

OVERALL														
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VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AFRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ARC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JPL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
KSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
LaRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NSSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
AFRC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
ARC	0	N/A	N/A	2	74	74	0	N/A	N/A
GRC	0	N/A	N/A	2	23	23	0	N/A	N/A
GSFC	15	35	53.93	1	6	6	0	N/A	N/A
HQ	3	9	8.67	9	82	141.78	0	N/A	N/A
JPL	0	N/A	N/A	1	29	29	0	N/A	N/A
JSC	11	11	25.64	1	34	34	0	N/A	N/A
KSC	0	N/A	N/A	13	21	37	0	N/A	N/A
LaRC	0	N/A	N/A	4	38.5	31	0	N/A	N/A
MSFC	0	N/A	N/A	7	32	39.43	0	N/A	N/A
NSSC	0	N/A	N/A	1	13	13	0	N/A	N/A
OGC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OIG	1	1	1	1	22	22	0	N/A	N/A
SSC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
AGENCY OVERALL	30	20	37.3	42	32.5	58.45	0	N/A	N/A

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component	10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request

AFRC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
ARC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-07-27	2020-05-06
	Number of Days Pending	0	0	0	0	0	0	0	0	46	102
GRC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-07-17	2020-08-19
	Number of Days Pending	0	0	0	0	0	0	0	0	17	29
GSFC	Date of Receipt	2020-09-01	2020-08-27	2020-08-11	2020-08-04	2020-07-14	2020-05-19	2020-06-08	2020-04-20	2020-03-11	2020-03-11
	Number of Days Pending	20	23	35	40	55	79	80	114	142	142
HQ	Date of Receipt	2020-09-08	2020-08-20	2020-07-23	2020-07-16	2020-05-08	2020-05-26	2020-03-11	2020-01-29	2020-06-13	2019-04-29
	Number of Days Pending	16	31	45	57	71	82	142	171	327	350
JPL	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-08-19
	Number of Days Pending	0	0	0	0	0	0	0	0	0	29
JSC	Date of	2020-	2020-	2020-	2020-	2020-	2020-	2020-	2020-	2020-	2020-

	Receipt	09-11	09-18	09-16	05-07	08-31	08-28	08-24	07-27	07-14	05-07
	Number of Days Pending	6	8	10	11	21	22	34	46	55	101
KSC	Date of Receipt	2020-06-18	2020-09-08	2020-08-10	2020-08-31	2020-08-28	2020-08-28	2020-08-03	2020-07-27	2020-04-29	2020-02-19
	Number of Days Pending	14	16	21	21	22	22	38	46	107	156
LaRC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2020-09-30	2020-08-11	2020-07-24	2020-07-30
	Number of Days Pending	0	0	0	0	0	0	1	35	42	46
MSFC	Date of Receipt	N/A	N/A	N/A	2020-08-18	2020-09-10	2020-08-25	2020-07-29	2020-08-13	2020-08-04	2019-12-27
	Number of Days Pending	0	0	0	1	14	30	32	33	40	126
NSSC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-08-12
	Number of Days Pending	0	0	0	0	0	0	0	0	0	13
OGC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OIG	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-09-30	2020-08-28

	Number of Days Pending	0	0	0	0	0	0	0	0	1	20
SSC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Receipt	2020-04-29	2020-04-20	2020-12-27	2020-03-11	2020-03-11	2020-03-11	2020-02-19	2020-01-29	2019-06-13	2019-04-29
	Number of Days Pending	107	114	126	142	142	142	156	171	327	350

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
AFRC	0	2	1	1	1
ARC	0	2	1	1	2
GRC	0	2	1	1	2
GSFC	0	5	3	13.6	4
HQ	0	25	1	12.12	20
JPL	0	4	10	11	3
JSC	0	6	15	31	2
KSC	0	11	1	3.45	11

LaRC	0	1	1	1	1
MSFC	0	2	1	1	2
NSSC	0	3	1	1	3
OGC	0	0	N/A	N/A	0
OIG	0	0	N/A	N/A	0
SSC	0	0	N/A	N/A	0
AGENCY OVERALL	0	63	1	10	51

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
AFRC	0	1	1	1
ARC	0	1	1	1
GRC	0	0	N/A	N/A
GSFC	0	0	N/A	N/A
HQ	2	4	2	6.17
JPL	0	2	8	8
JSC	3	2	1	10.4
KSC	0	10	6	8.38
LaRC	0	0	N/A	N/A
MSFC	0	0	N/A	N/A

NSSC	0	0	N/A	N/A
OGC	0	0	N/A	N/A
OIG	0	0	N/A	N/A
SSC	0	0	N/A	N/A
AGENCY OVERALL	5	20	4	7.04

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
AFRC	0	0.25	0.25	29845.75	0.00	29845.75
ARC	0	0.25	0.25	29280.00	0.00	29280.00
GRC	0	0.25	0.25	30389.80	0.00	30389.80
GSFC	1	0.00	1.00	122648.95	0.00	122648.95
HQ	2	0.50	2.50	399891.00	0.00	399891.00
JPL	0	0.33	0.33	42988.18	0.00	42988.18
JSC	1	0.00	1.00	131102.84	0.00	131102.84
KSC	0	0.50	0.50	52785.66	0.00	52785.66
LaRC	0	0.50	0.50	52067.50	0.00	52067.50
MSFC	1	0.00	1.00	75620.21	0.00	75620.21

NSSC	0	0.25	0.25	29053.30	0.00	29053.30
OGC	0	0.25	0.25	35675.25	684.96	36360.21
OIG	0	1.00	1.00	140000.00	0.00	140000.00
SSC	0	0.25	0.25	21400.00	0.00	21400.00
AGENCY OVERALL	5	4.33	9.33	1192748.44	684.96	1193433.40

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
AFRC	788.98	2.6400
ARC	1181.75	4.0400
GRC	2291.55	7.5400
GSFC	2508.00	2.0400
HQ	1439.21	0.3600
JPL	1147.76	2.6700
JSC	9347.90	7.1300
KSC	414.50	0.7900
LaRC	0.00	0.0000
MSFC	216.75	0.2900
NSSC	921.25	3.1700
OGC	0.00	0.0000
OIG	0.00	0.0000

SSC	0.00	0.0000
AGENCY OVERALL	20257.65	1.7000

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
AFRC	0
ARC	0
GRC	0
GSFC	0
HQ	0
JPL	0
JSC	0
KSC	0
LaRC	0
MSFC	0
NSSC	0
OGC	0
OIG	0
SSC	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
AFRC	0	0
ARC	0	0
GRC	0	0
GSFC	0	0
HQ	0	5400
JPL	0	0
JSC	0	0
KSC	0	0
LaRC	0	0
MSFC	0	0
NSSC	0	0
OGC	0	0
OIG	0	0
SSC	0	0
AGENCY OVERALL	0	5400

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
AFRC	0	N/A
ARC	2	N/A
GRC	0	N/A
GSFC	9	N/A
HQ	9	N/A
JPL	0	N/A
JSC	6	N/A
KSC	4	N/A
LaRC	3	N/A
MSFC	5	N/A
NSSC	0	N/A
OGC	0	0
OIG	1	0
SSC	0	N/A
AGENCY OVERALL	39	0

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
AFRC	0	2	1	1
ARC	0	0	0	0
GRC	0	0	0	0
GSFC	1	0	1	0
HQ	2	15	16	1
JPL	0	0	0	0
JSC	0	1	1	0
KSC	0	1	1	0
LaRC	0	0	0	0
MSFC	0	0	0	0
NSSC	0	0	0	0
OGC	0	0	0	0
OIG	0	4	4	0
SSC	0	0	0	0
AGENCY OVERALL	3	23	24	2

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
AFRC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-09-30
	Number of Days	0	0	0	0	0	0	0	0	0	1
ARC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
GRC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
GSFC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
HQ	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-09-16
	Number of Days	0	0	0	0	0	0	0	0	0	10
JPL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
JSC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
KSC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number	0	0	0	0	0	0	0	0	0	0

	of Days											
LaRC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
MSFC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
NSSC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OGC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
SSC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-09-30	2020-09-16	
	Number of Days	0	0	0	0	0	0	0	0	1	10	

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
AFRC	13	21	14	20
ARC	31	36	32	38
GRC	26	21	27	23
GSFC	89	82	86	83
HQ	252	282	249	281
JPL	58	47	57	48
JSC	115	137	108	140
KSC	78	75	77	63
LaRC	39	18	39	15
MSFC	41	27	44	22
NSSC	24	28	25	28
OGC	0	0	0	0
OIG	36	51	32	56
SSC	10	16	10	16
AGENCY OVERALL	812	841	800	833

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
AFRC	0	0
ARC	2	2
GRC	1	0
GSFC	10	9
HQ	4	9
JPL	0	0
JSC	5	6
KSC	0	4
LaRC	0	3
MSFC	0	5
NSSC	0	0
OGC	0	0
OIG	6	1
SSC	0	0
AGENCY OVERALL	28	39

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT
-- APPEALS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OGC	26	20	15	30
OIG	1	4	1	4
AGENCY OVERALL	27	24	16	34

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT
-- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OGC	5	0
OIG	0	0
AGENCY OVERALL	5	0