

National Aeronautics and Space Administration
Headquarters
Washington, DC 20546-0001



July 17, 2012

Reply to Attn of:

General Law Practice Group

TO: Distribution

FROM: Alternate Designated Agency Ethics Official

SUBJECT: Determination Regarding Attendance by NASA employees at the Space Exploration Technologies Corporation (SpaceX) Dragon Spacecraft Viewing and Reception on July 18, 2012

On July 18, 2012, Space Exploration Technologies Corporation (SpaceX) will host a Dragon spacecraft viewing and reception at the Carnegie Library building at Mount Vernon Square, in Washington, D.C., from 6 to 8 p.m. As part of Phase 2 of the NASA Commercial Orbiter Transportation Services (COTS) initiative, the Dragon spacecraft was the first private spacecraft to visit the International Space Station.

Approximately 825 people were invited to attend the event, including representatives of NASA, the aerospace industry, Congressional members and their staff, state and local officials, DOD and personnel from other Federal agencies, academia, media, and members of the public. The estimated cost of the reception, including all food and beverages, is \$30.00 per person.

I find that the event meets the requirements of a "widely-attended gathering" as defined in 5 C.F.R. § 2635.204(g)(2). I further determine that there is an Agency interest in having NASA personnel attend the event because it will further Agency programs or operations. Attendance at the event will allow NASA attendees to exchange information with other attendees regarding various NASA programs, including COTS.

Accordingly, NASA employees who have been invited to attend may accept free attendance at the event. However, NASA employees whose duties substantially affect the sponsor, such as by way of procurement duties, should seek an individual determination pursuant to 5 C.F.R. § 2635.204(g)(3)(i) regarding participation in this event from their local ethics counselor. Moreover, NASA employees who are in non-career positions who are required to sign the ethics pledge under Executive Order 13490 may attend only if they reimburse the sponsor the cost of the event for themselves and any accompanying guest. I have been informed that an "honor basket" will be provided by the sponsor to allow reimbursement on-site.

Sometimes sponsors at an event may also provide small gift items. Attendees are reminded of the \$20 gift exception at 5 C.F.R. §2635.204(a) which provides that employees may accept

gifts valued at no more than \$20 per occasion and no more than \$50 from one source in a calendar year. Other than for presentation items with little intrinsic value and no additional function, such as pins or patches with mission emblems, NASA invitees must reimburse the sponsor for full value of any gift items exceeding these caps distributed by the sponsors. Moreover, non-career NASA employees subject to the ethics pledge should reimburse the sponsor, which is a lobbying organizations for purposes of the ethics pledge, the full value of any such gift items accepted even if these monetary caps are not exceeded.

We understand that SpaceX will be distributing a mission patch (valued at \$2), a hat (valued at \$10), or a t-shirt (valued at \$12). Career NASA employees attending may accept the gift so long as they will not have received more that \$50 in gifts from SpaceX during this calendar year.

A handwritten signature in black ink, appearing to read 'Adam F. Greenstone', written in a cursive style.

Adam F. Greenstone